

# Testing quantum theory with generalized noncontextuality

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<sup>1</sup>Institute for Quantum Optics and Quantum Information (IQOQI), Vienna

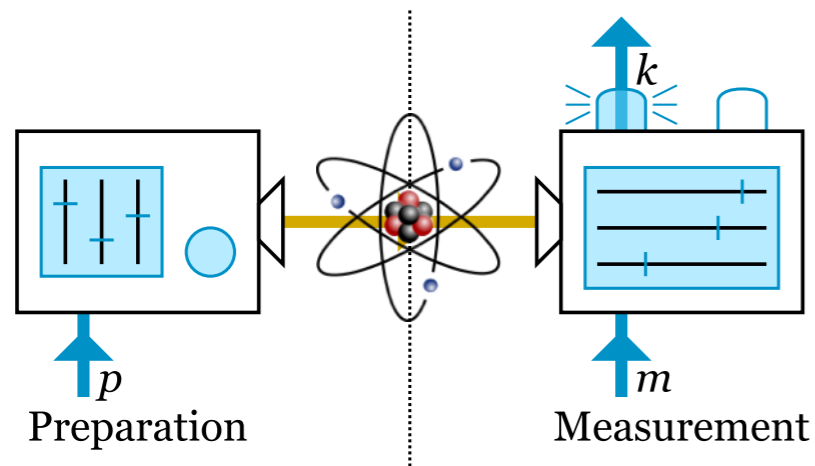
<sup>2</sup>Vienna Center for Quantum Science and Technology (VCQ), Vienna

<sup>3</sup>Perimeter Institute for Theoretical Physics (PI), Waterloo, Canada



## Two motivations

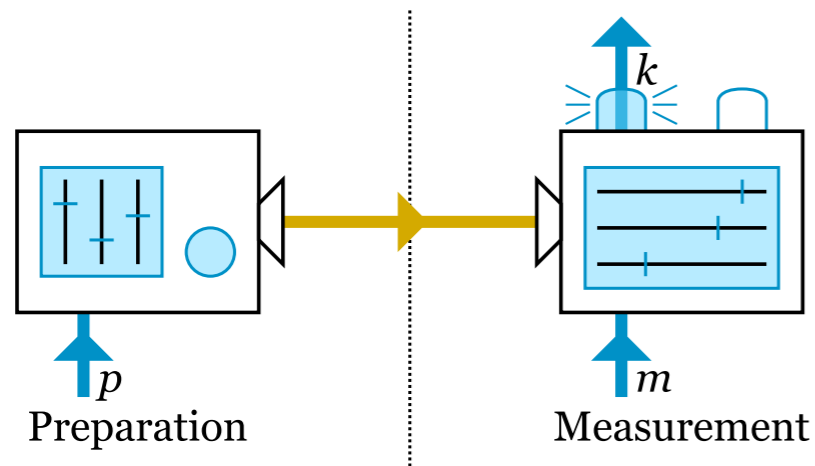
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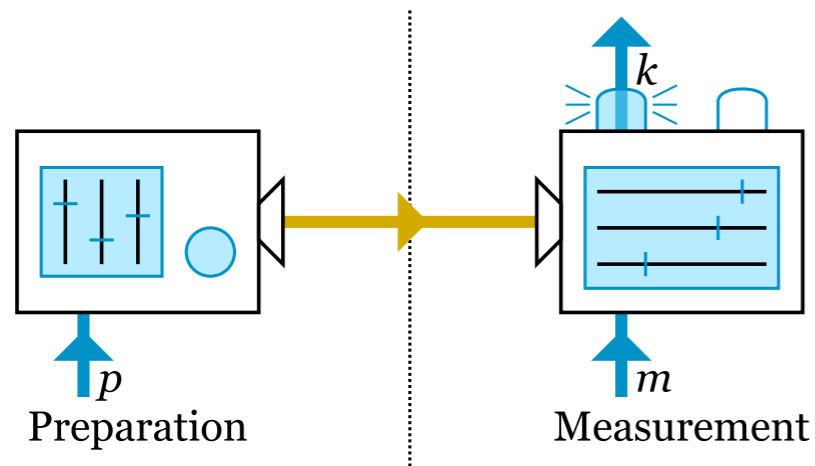
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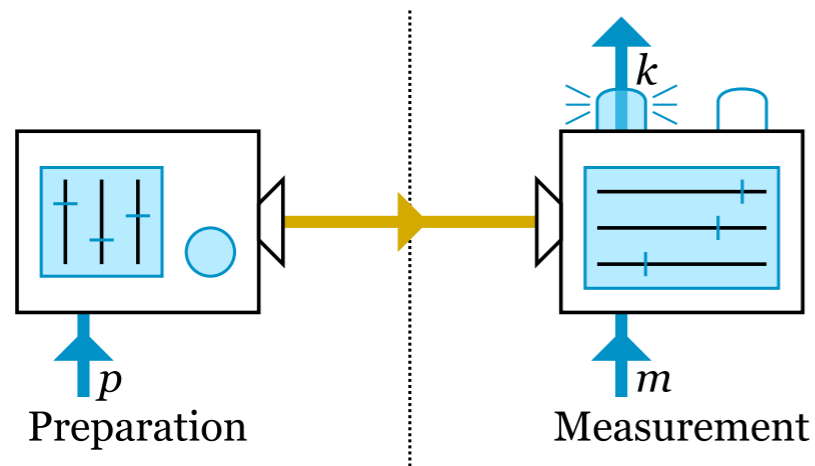


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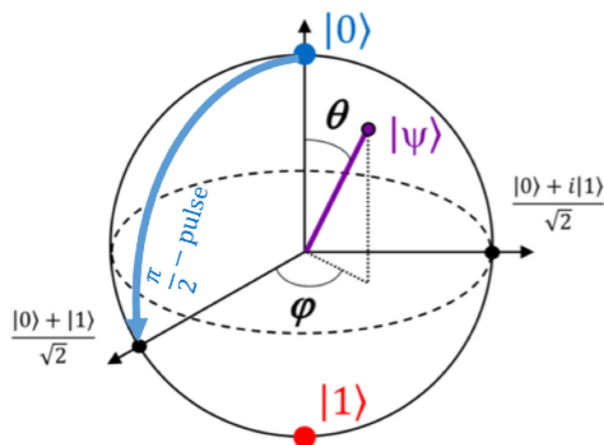
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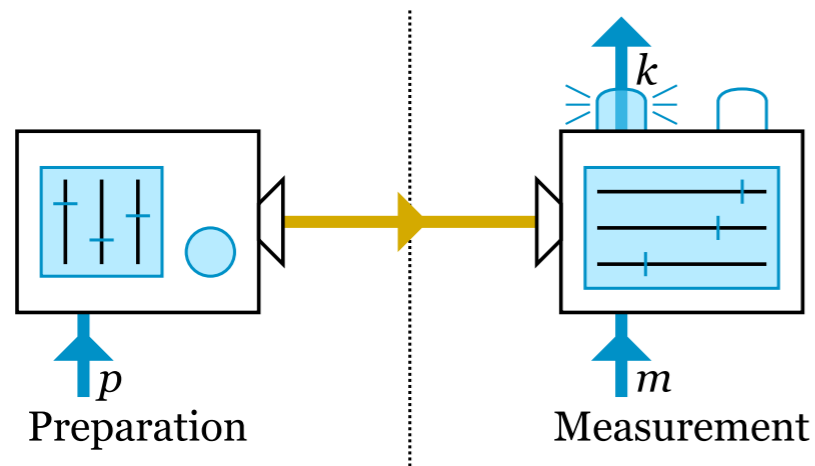
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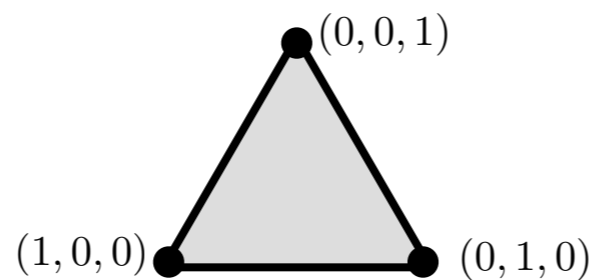
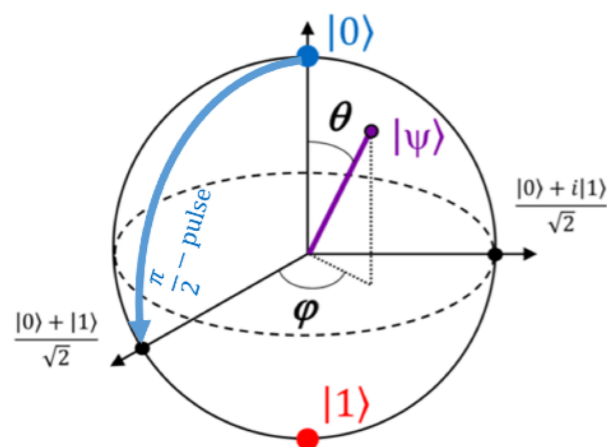
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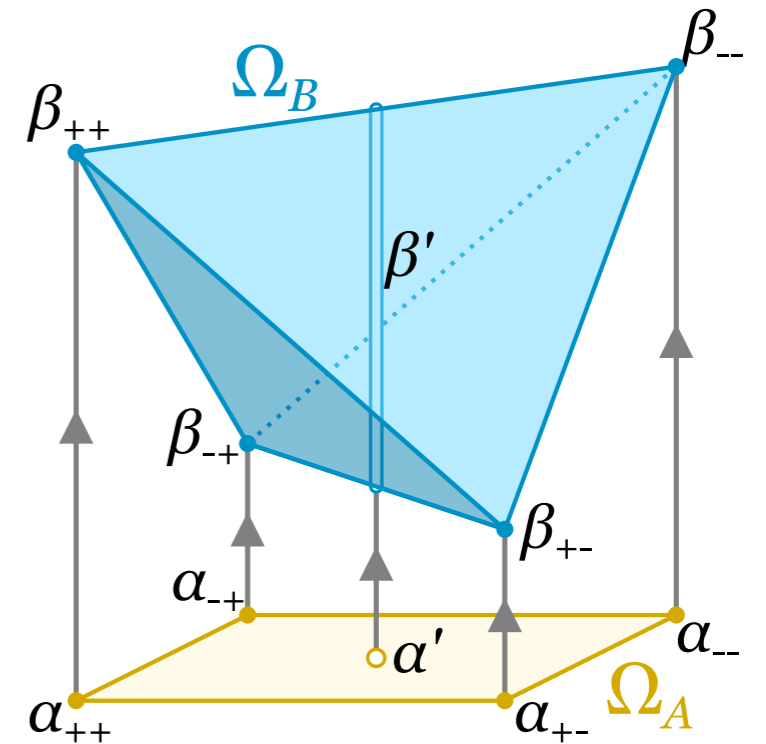
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- classical probability theory
- noisy qubits etc.
- QT w/ superselection rules
- ... ?

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# Overview

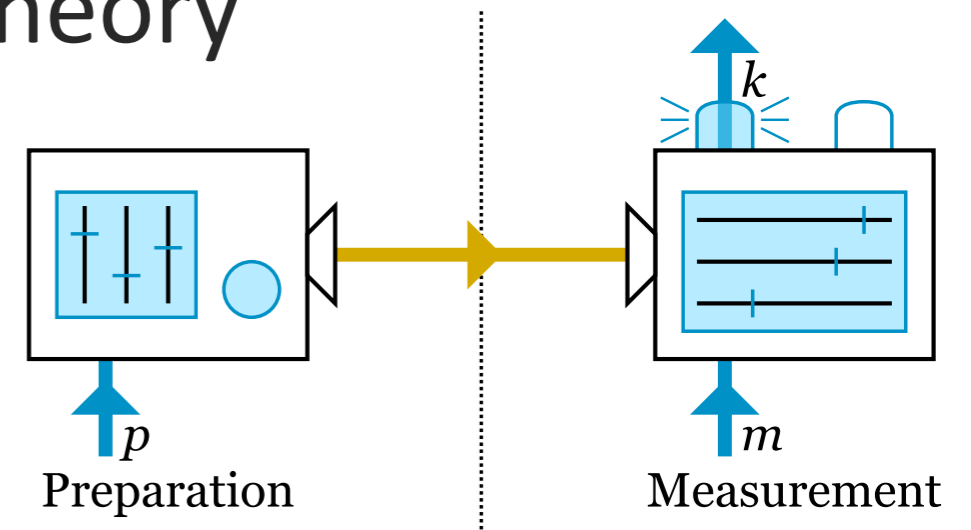
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2. Simulations, embeddings, and contextuality

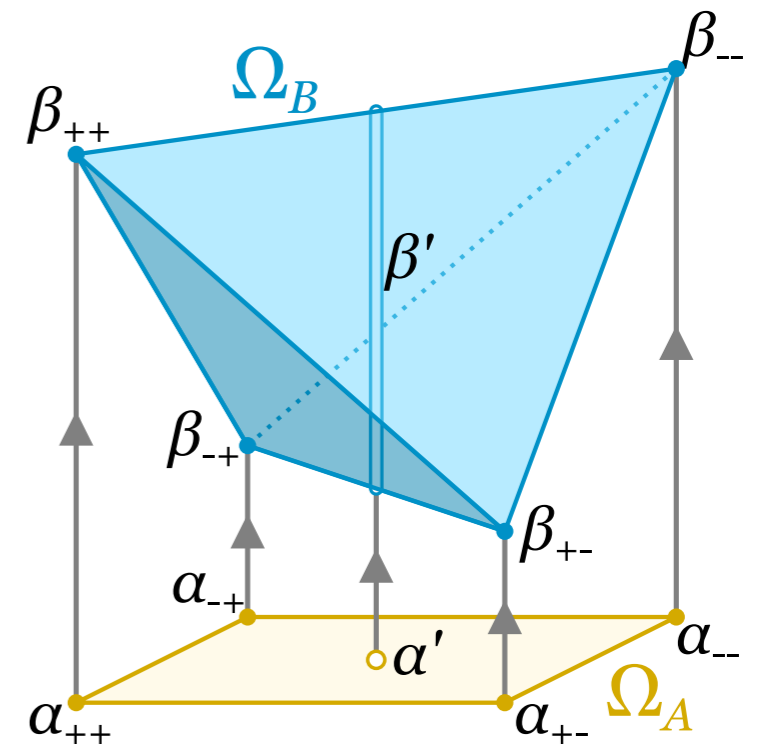
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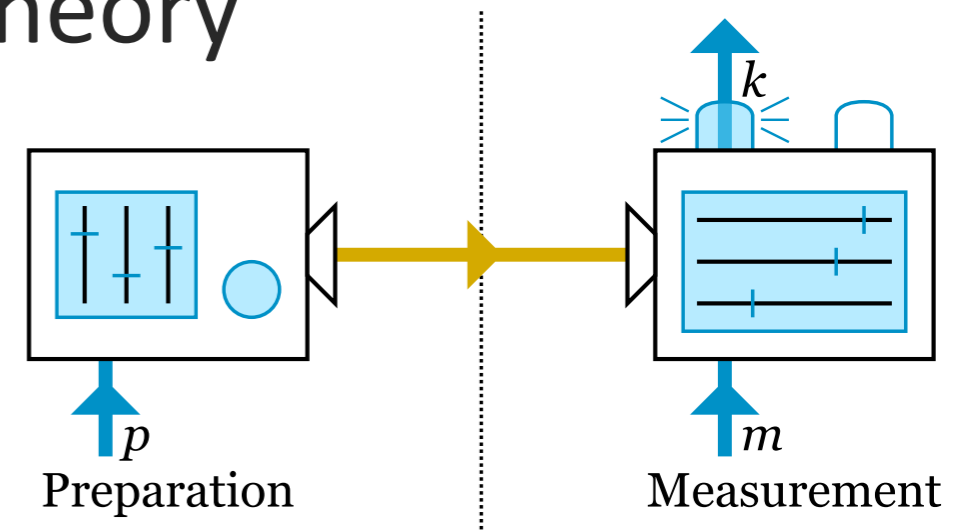
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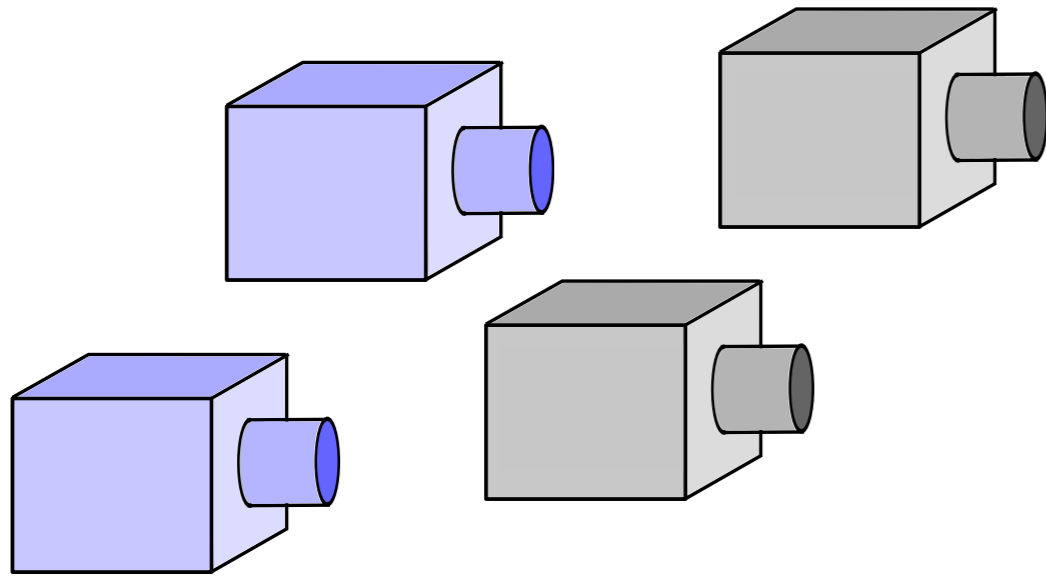
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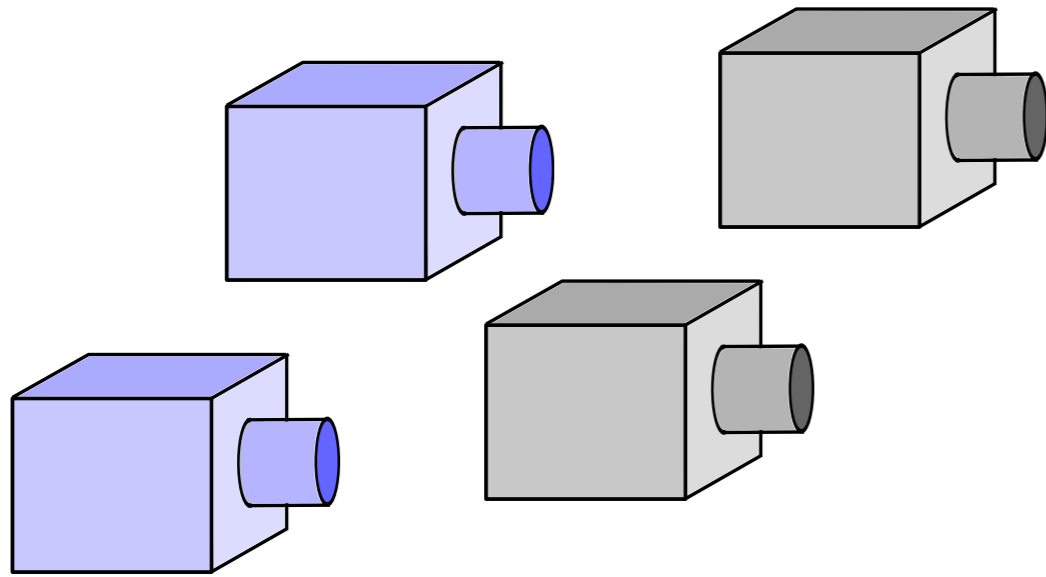


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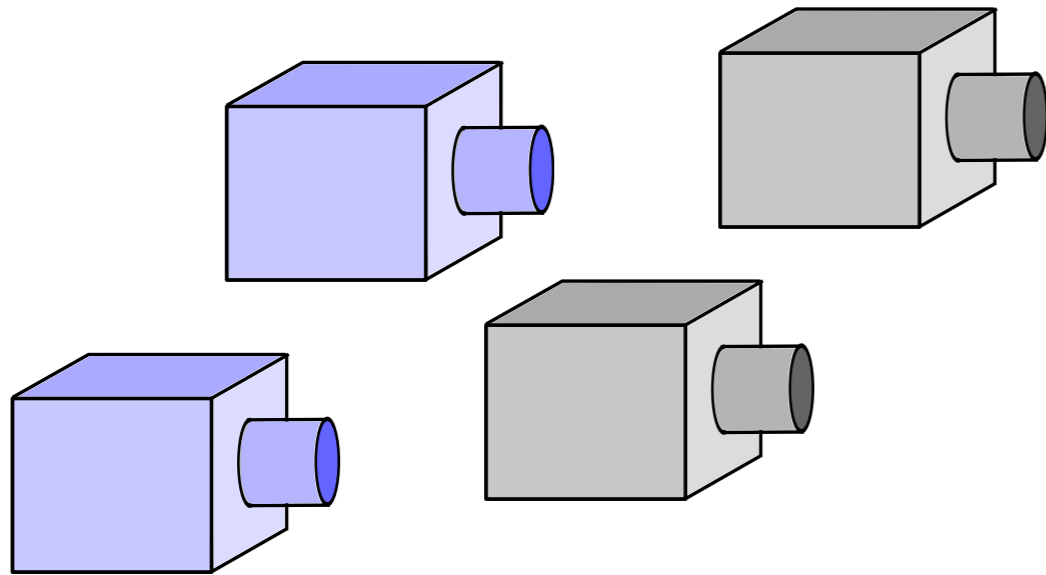
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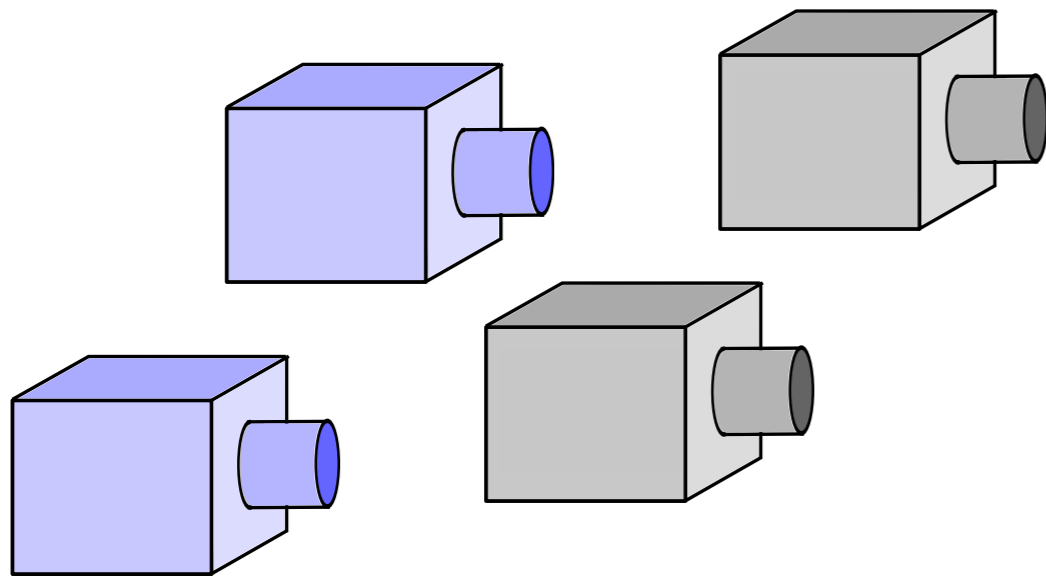


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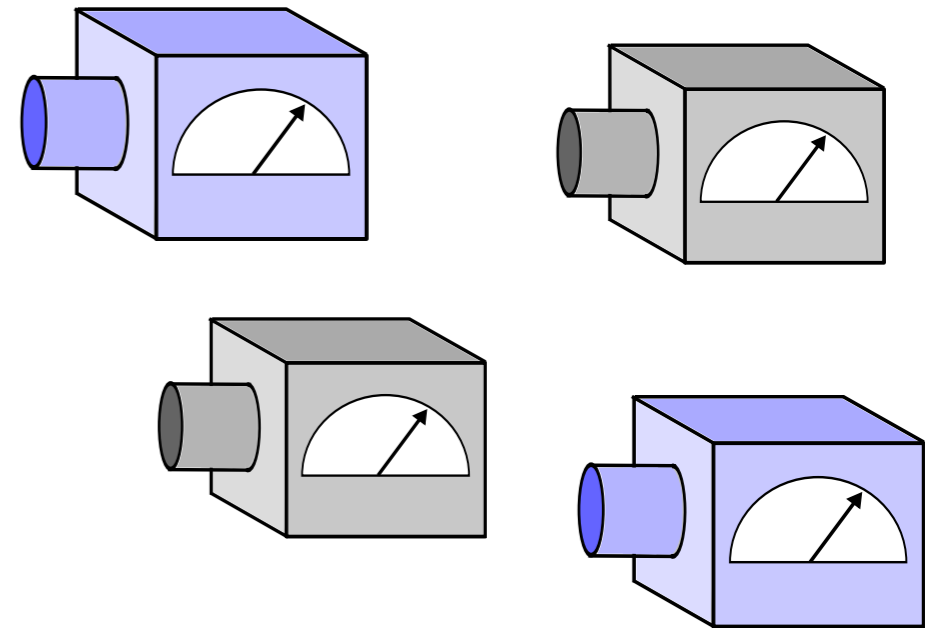
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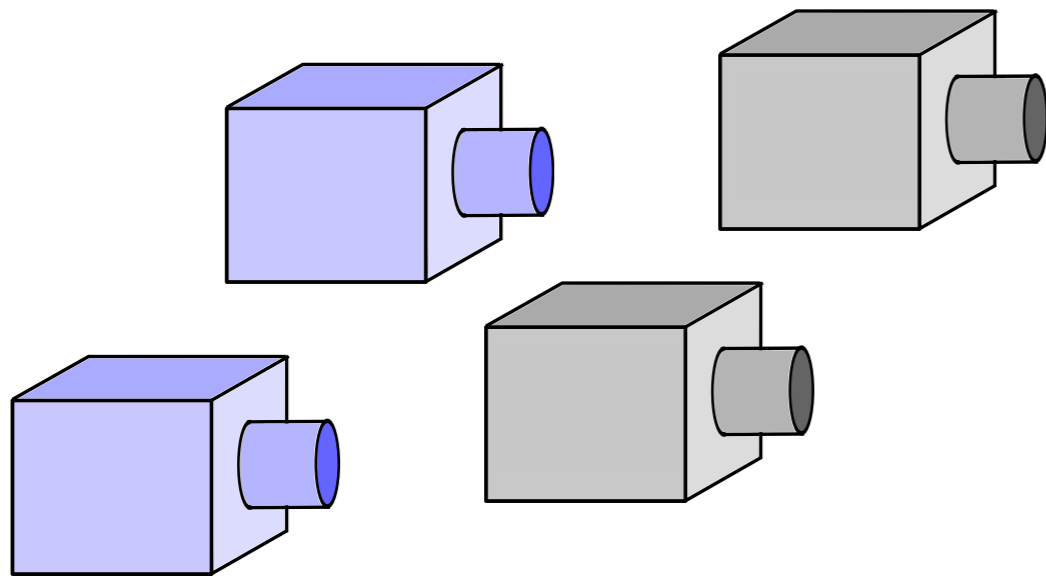


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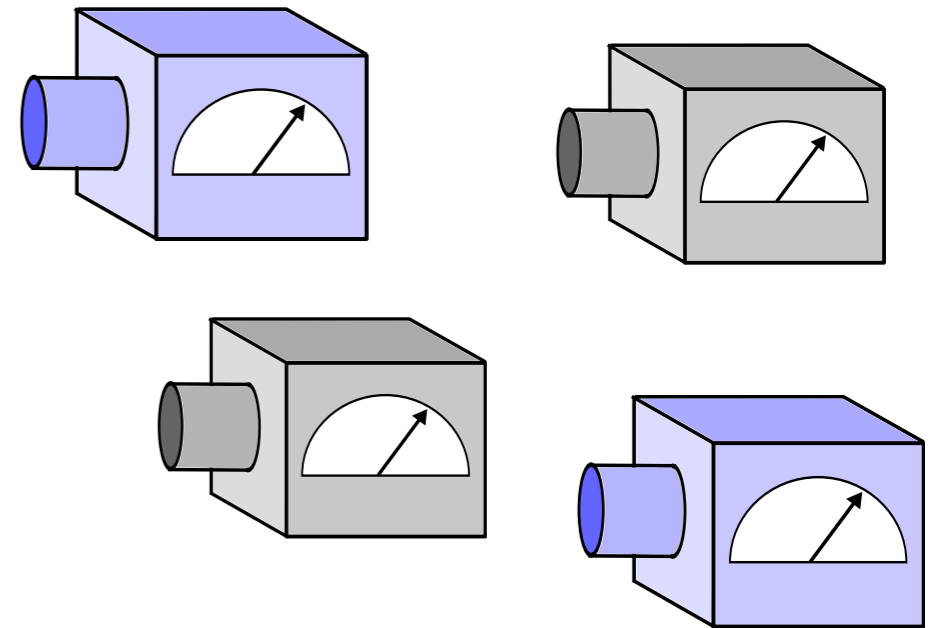
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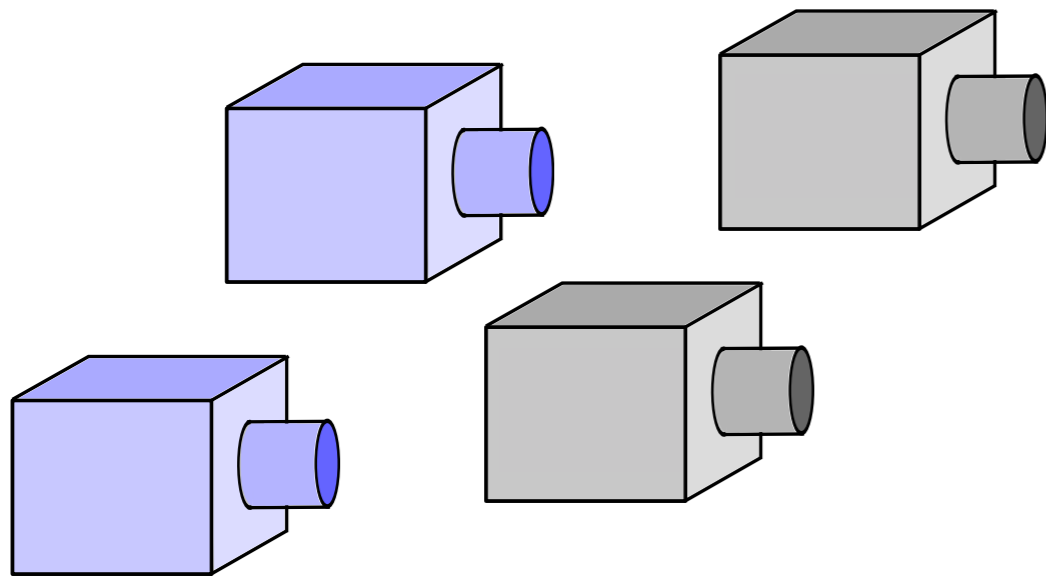
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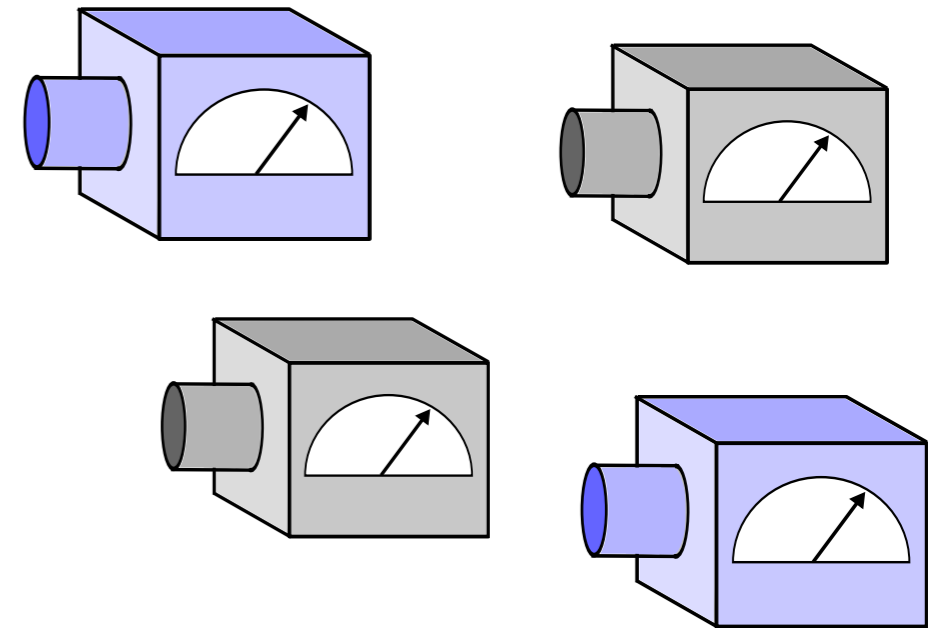
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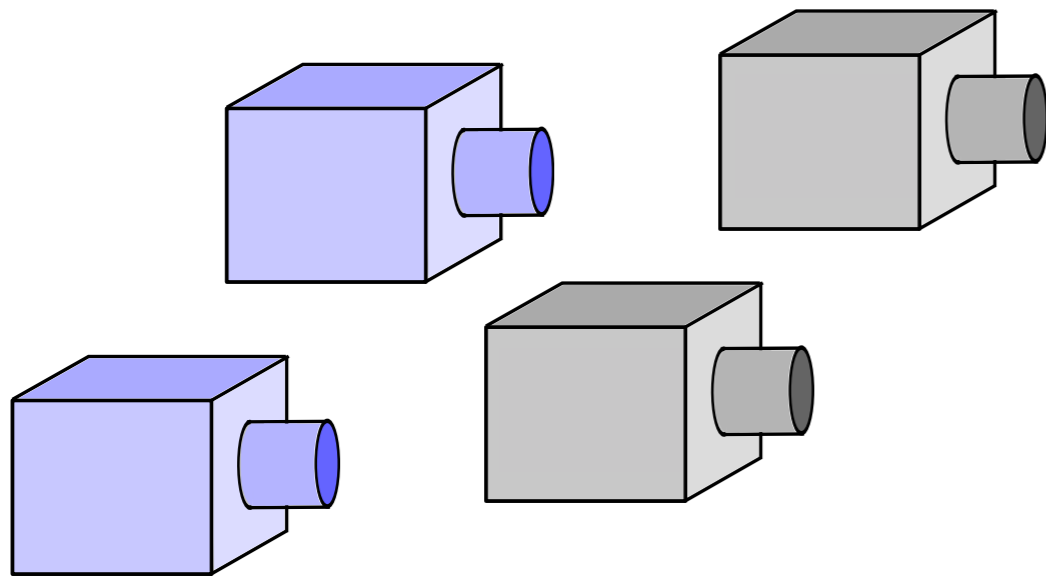


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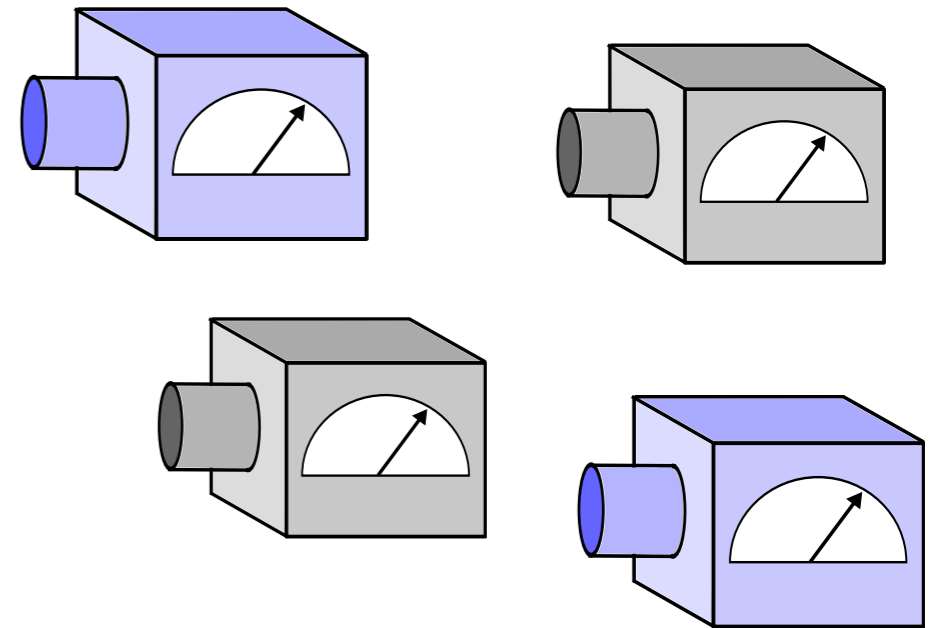
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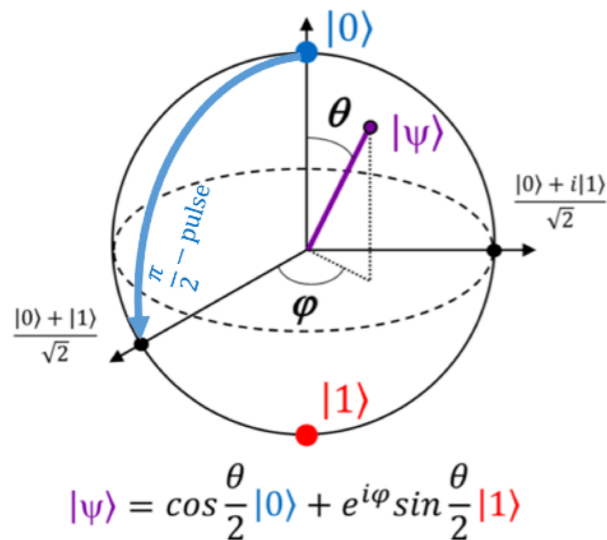
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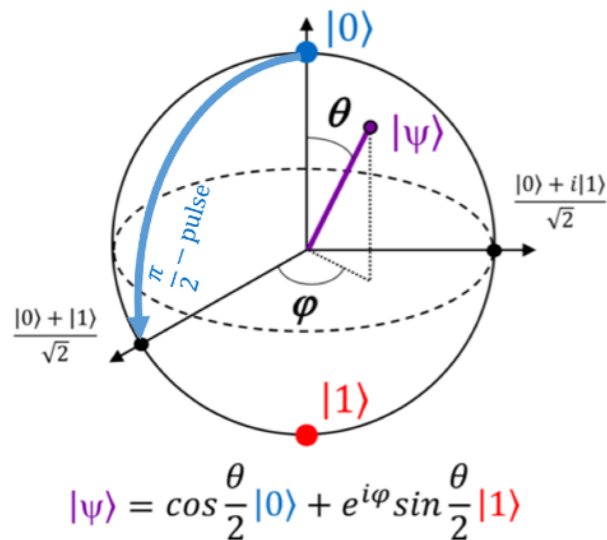
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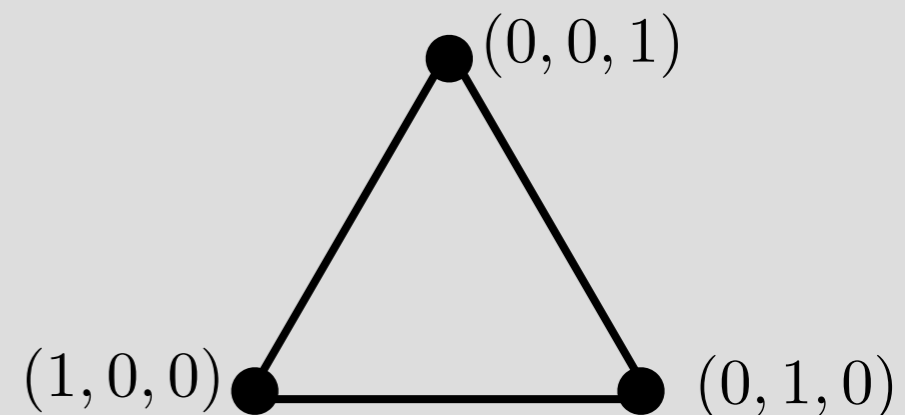
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**Classical probability theory (QT):**  $\mathcal{C}_n$

$A = \mathbb{R}^n \simeq A^*$

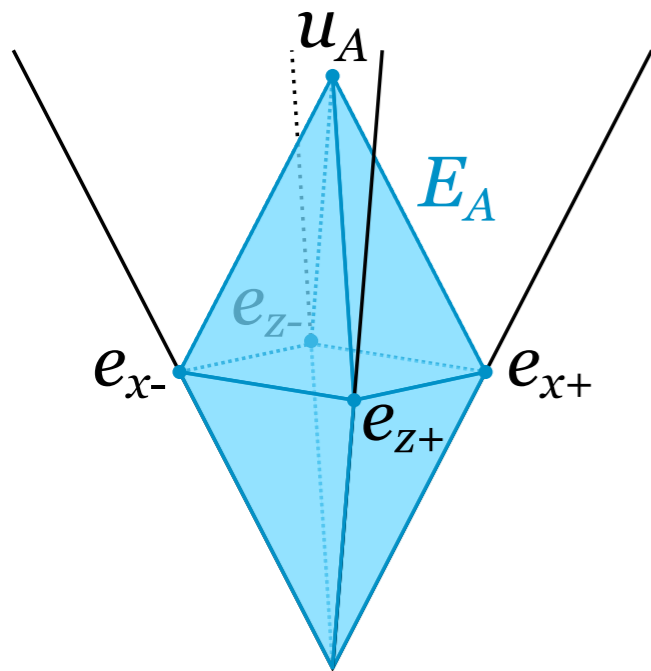
$E_A = \{(e_1, \dots, e_n) \mid 0 \leq e_i \leq 1\}$

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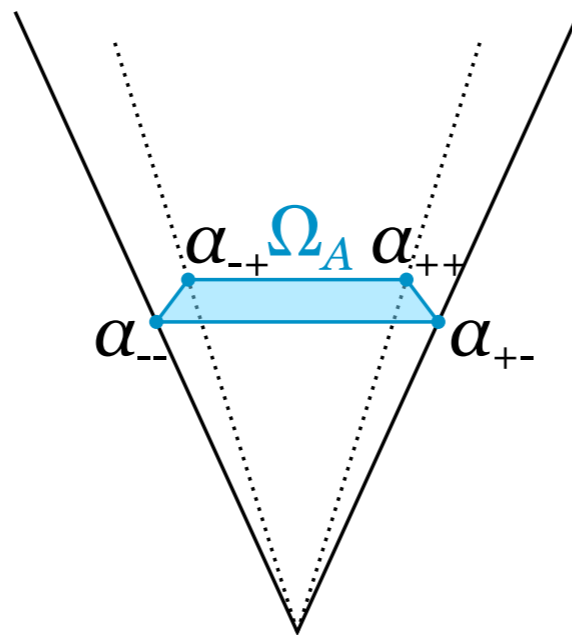


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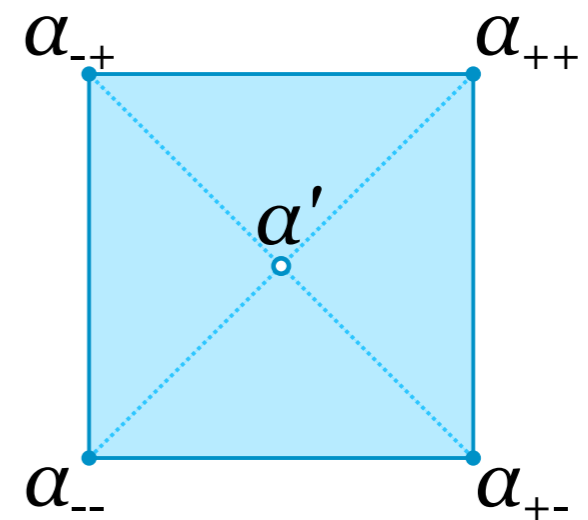
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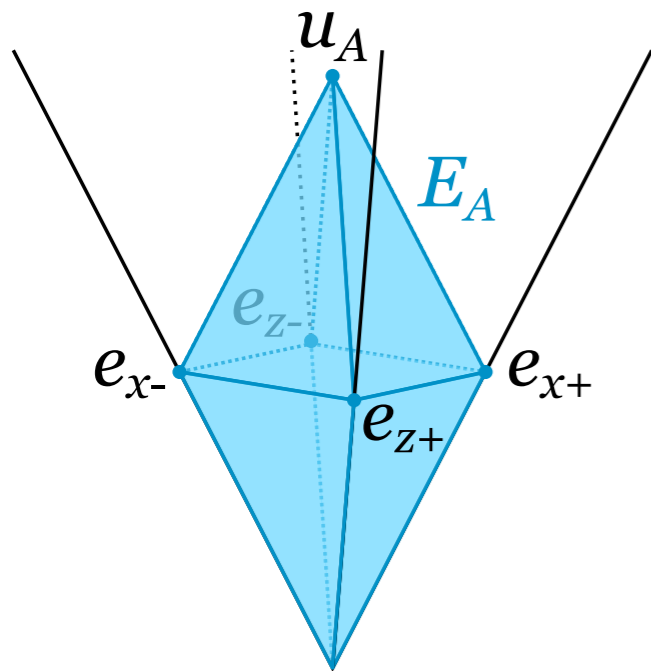
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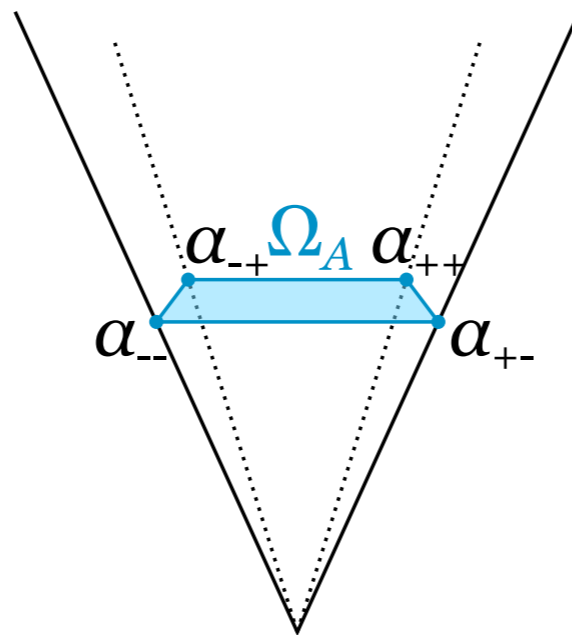
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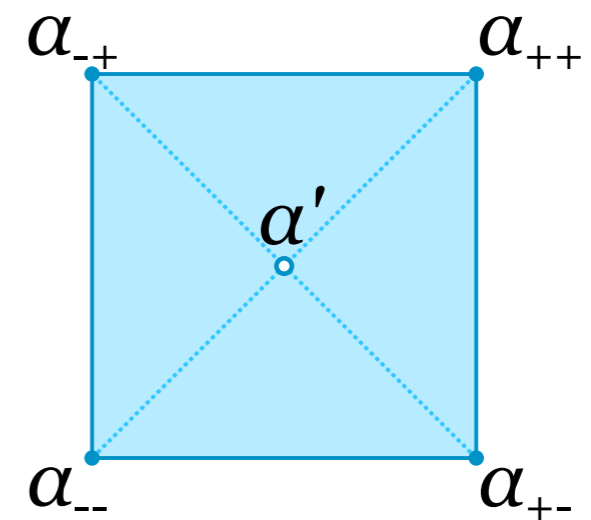
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The four pure states  $\alpha_{\pm, \pm}$  are **pairwise** perfectly distinguishable, but **not jointly**  $\implies$  this cannot be a classical or quantum system.

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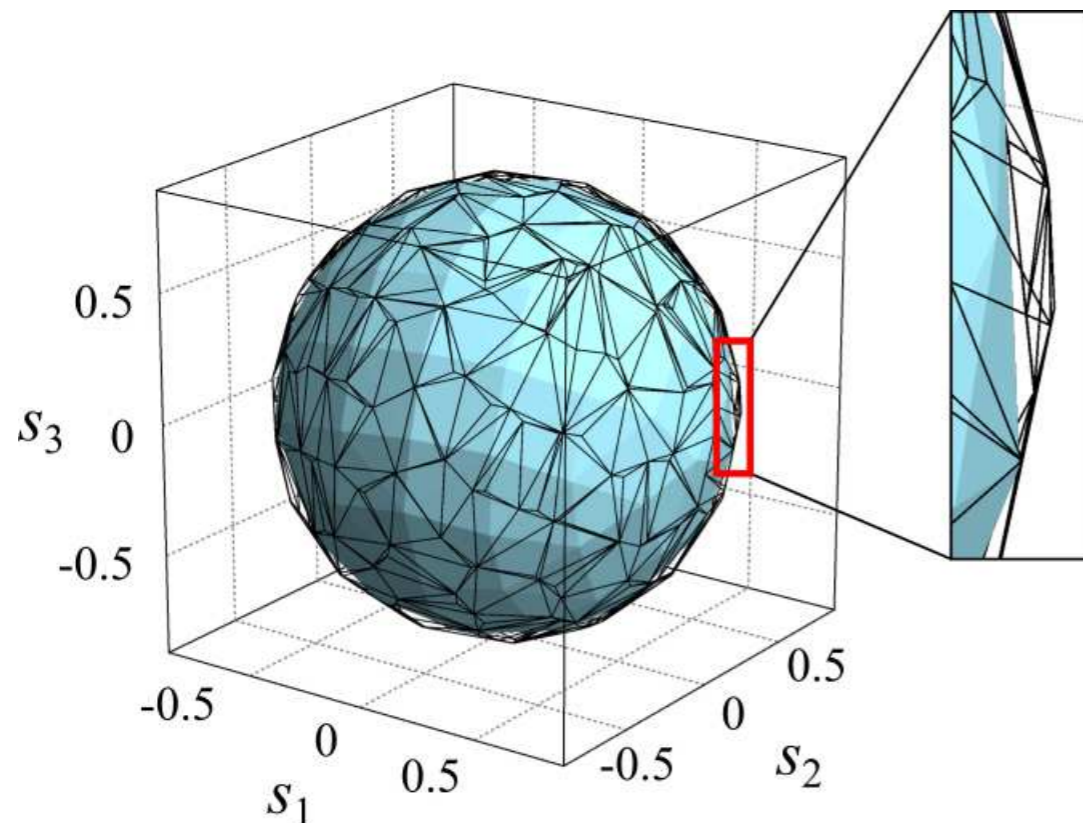
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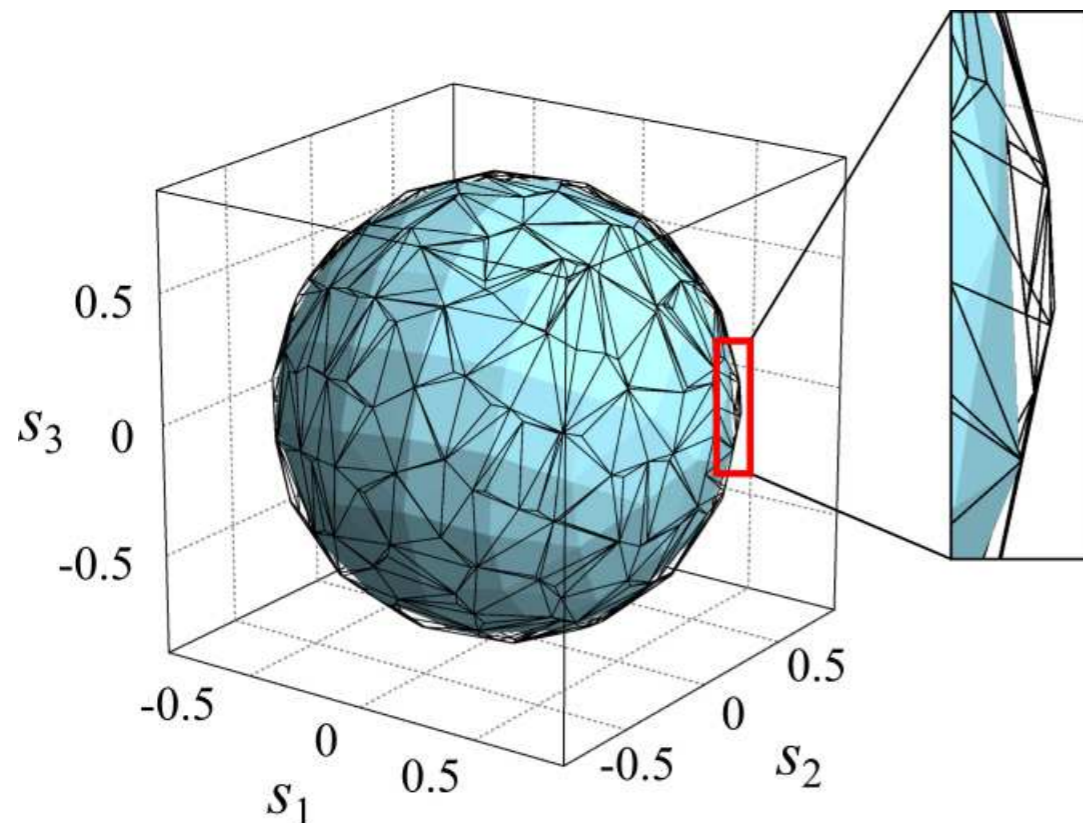


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**Tomographic completeness loophole:**  
can never be sure that we probed the system *completely*.

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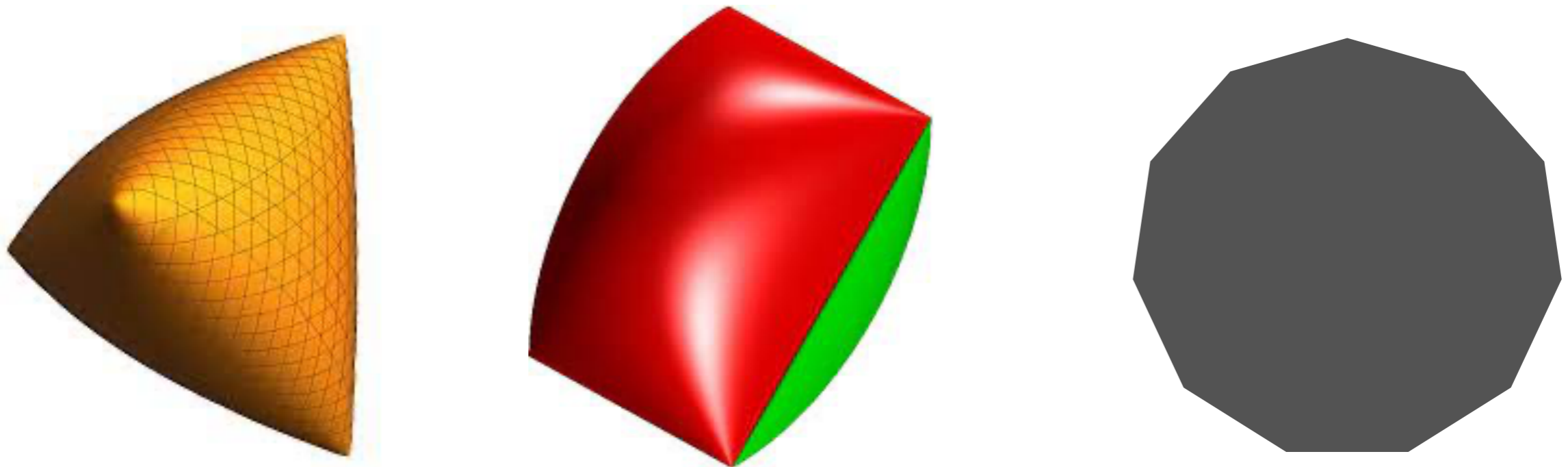
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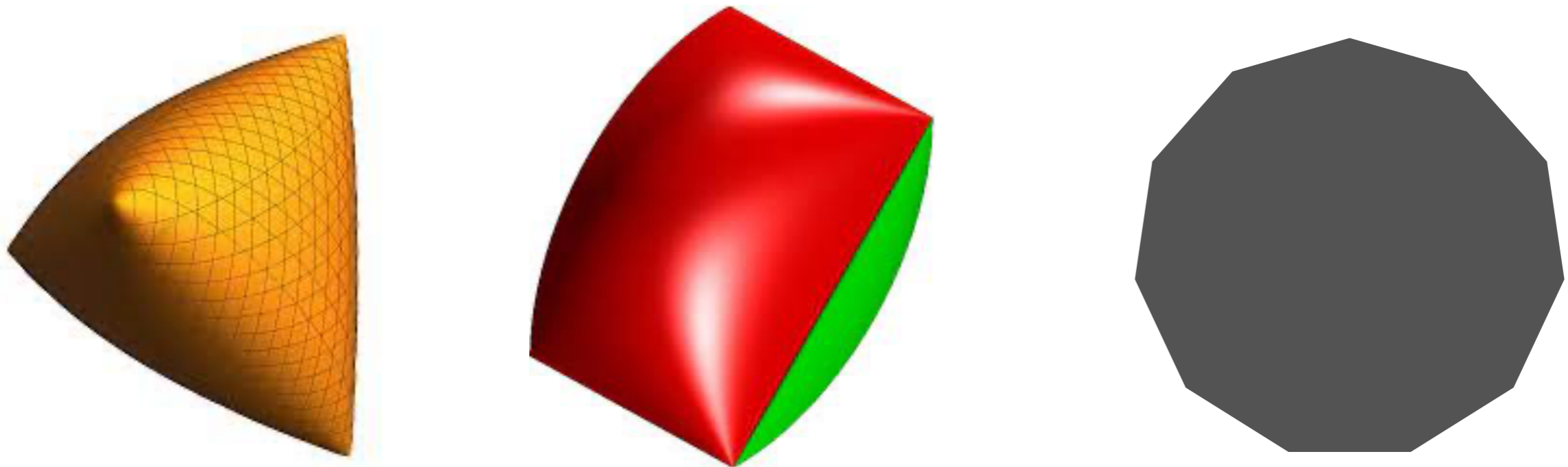


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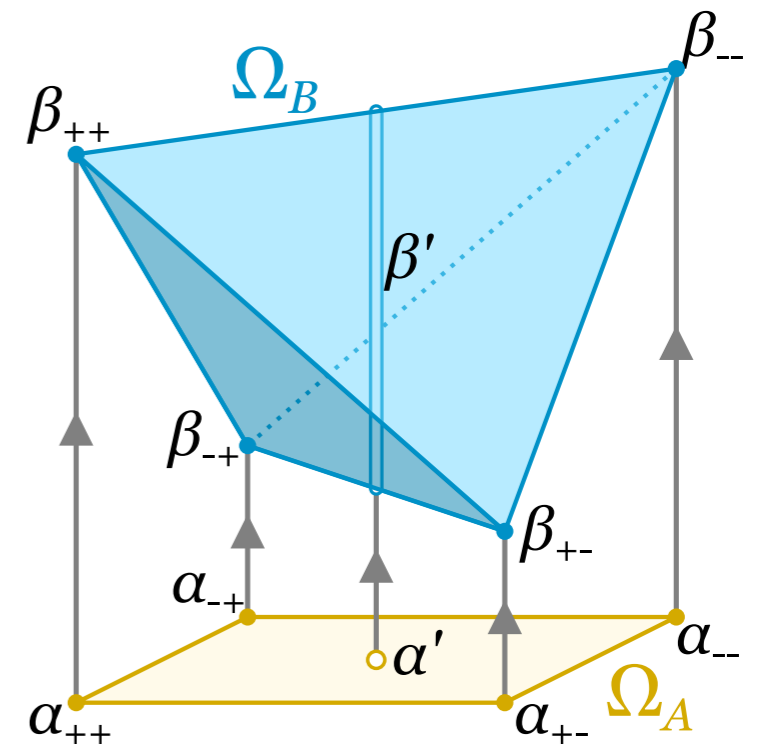
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Is **fundamental QT** a plausible explanation of a given **effective GPT**?

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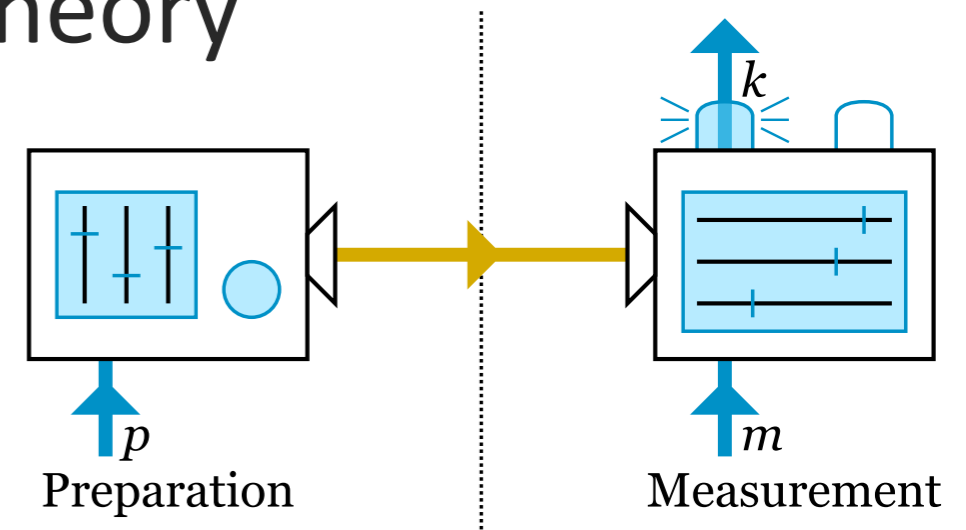
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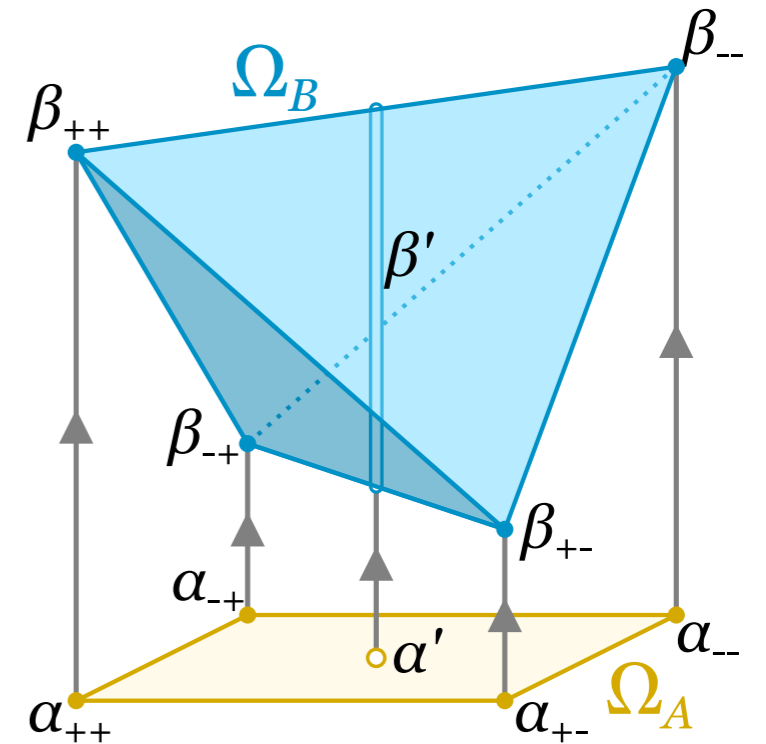
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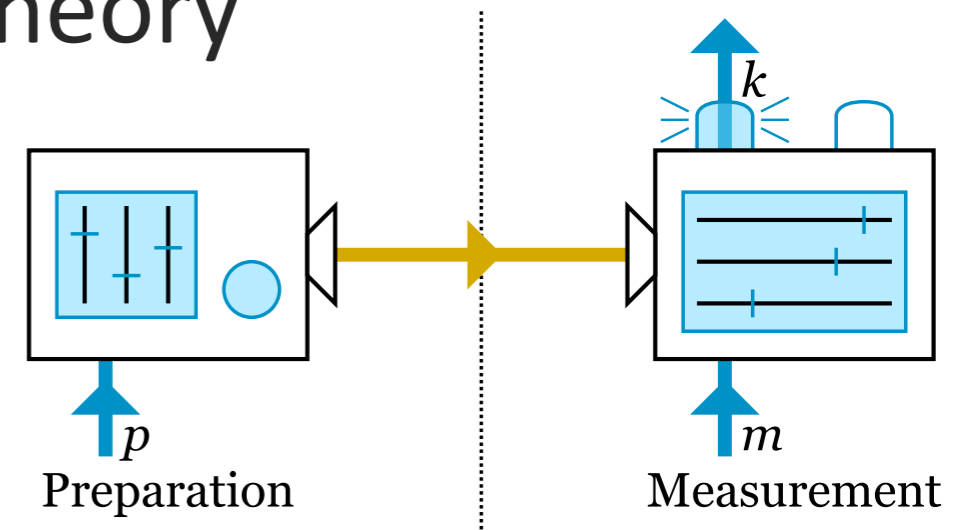
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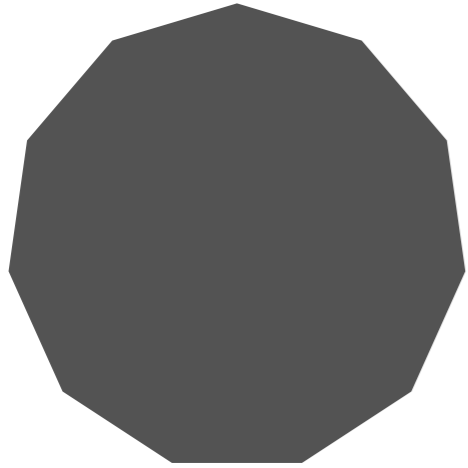
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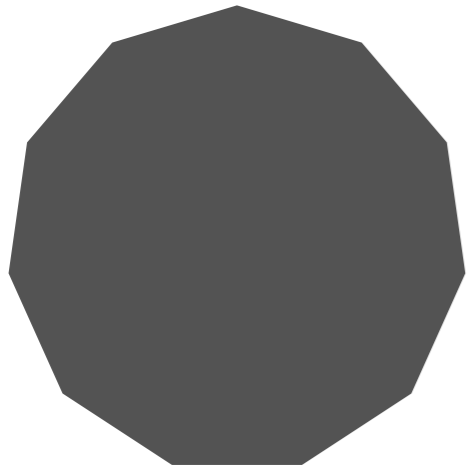


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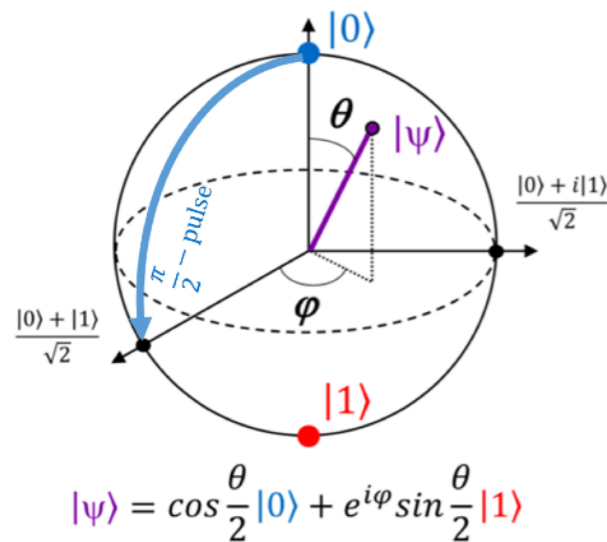
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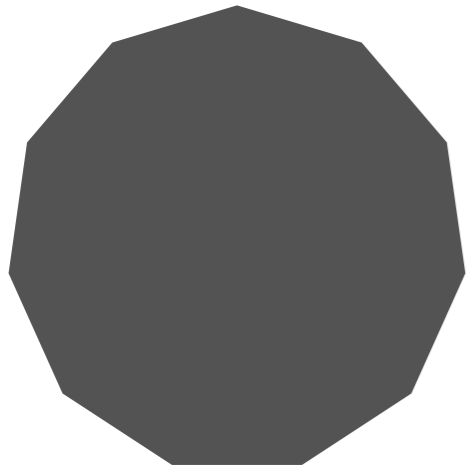


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for example  $\mathcal{Q}_n$  for very large  $n$  or  $n = \infty$ .

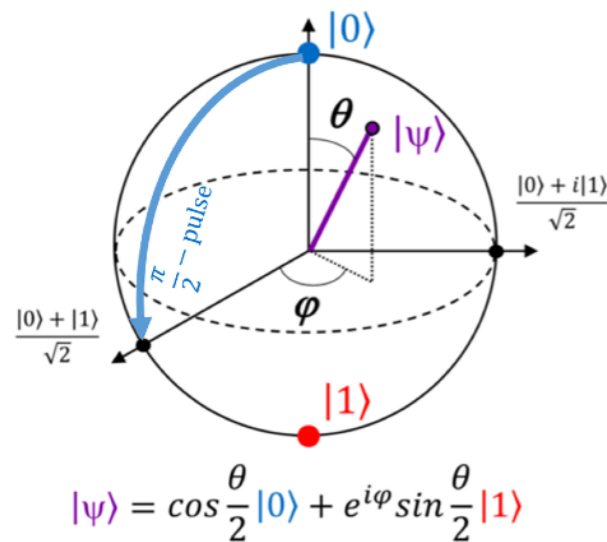


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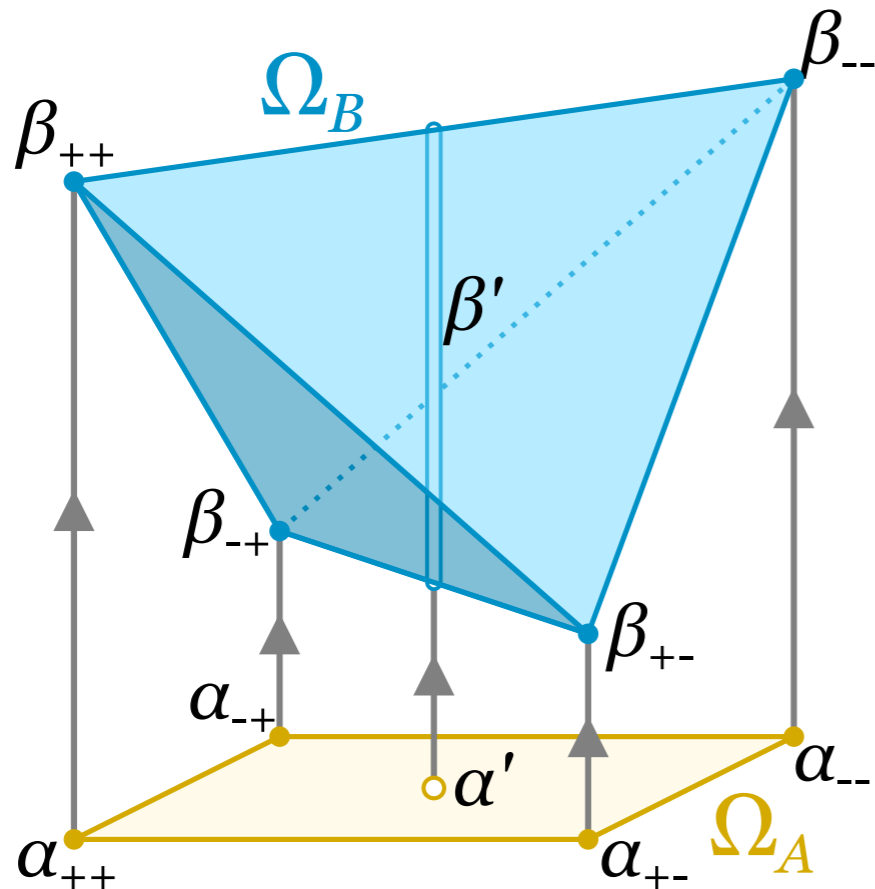
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**Effectively** preparing state  $\omega_A$  means **fundamentally** preparing some  $\omega_B$ , but  $\omega_B$  may depend on the preparation *procedure*, i.e. the *context*. Collect all those states into a set  $\Omega_B(\omega_A) := \{\omega_B\}$ .

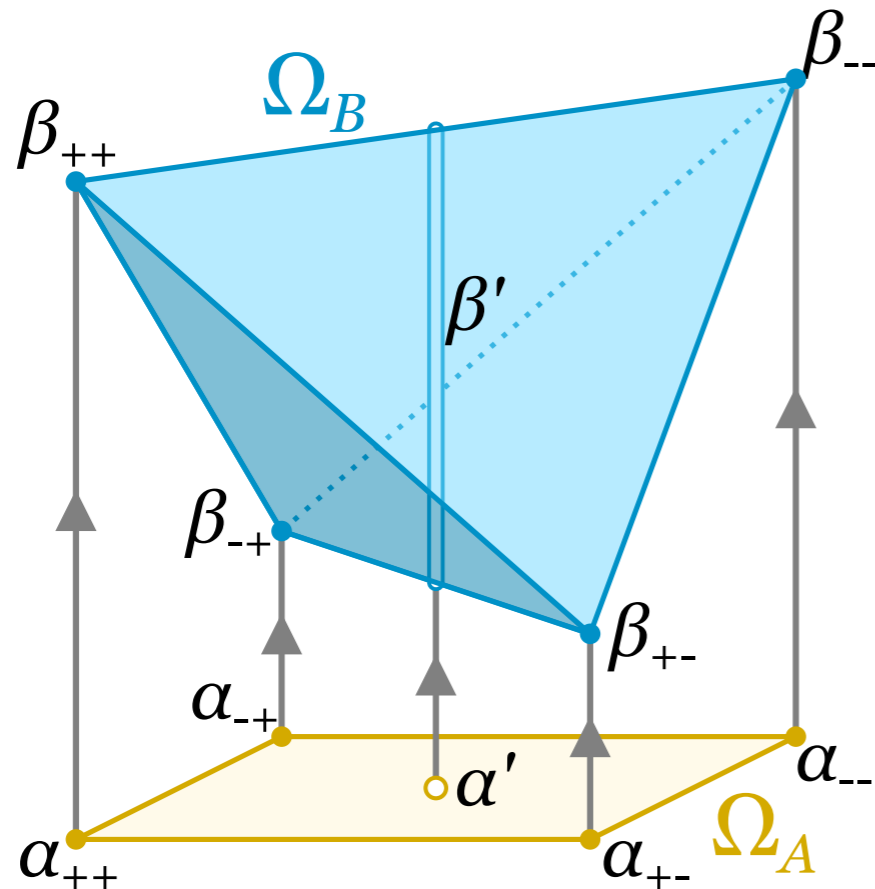
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**Example (“Holevo projection”):** simulating the gbit  $\mathcal{A} = (\mathbb{R}^3, \Omega_A, E_A)$  with a classical 4-level system  $\mathcal{C}_4$ .



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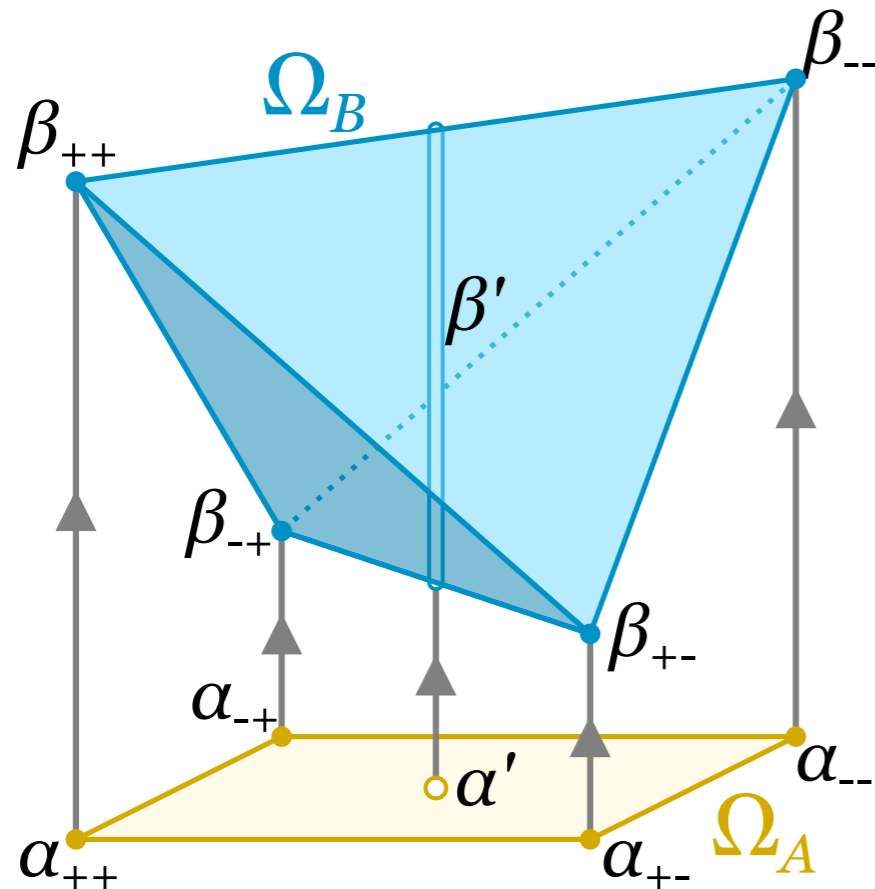


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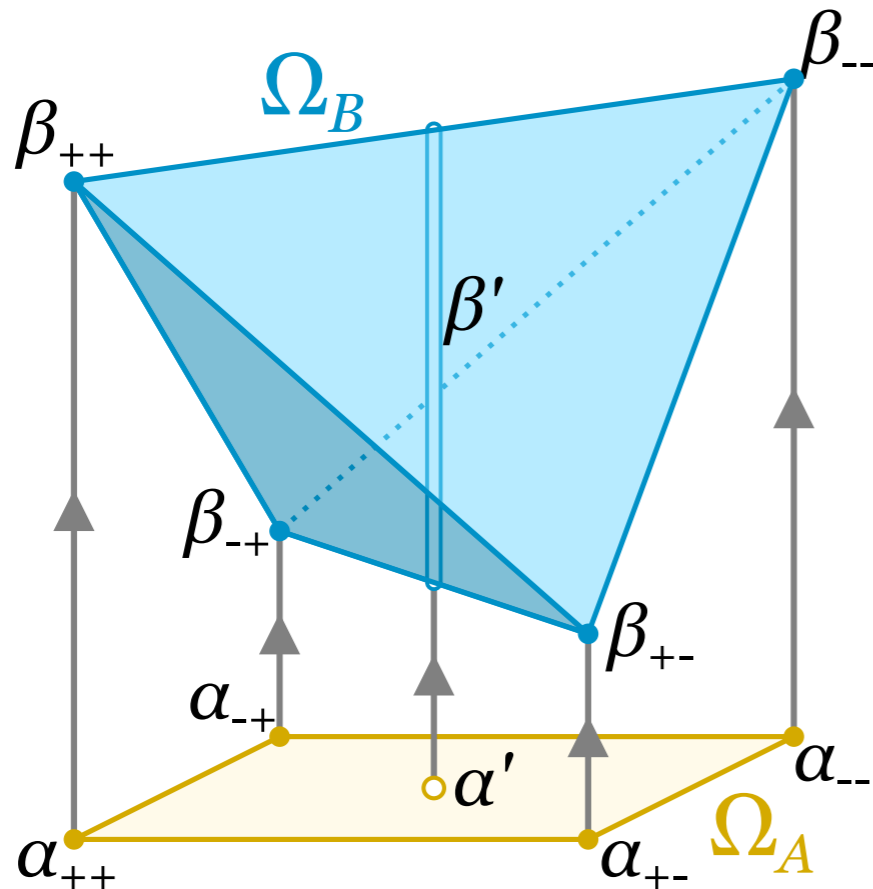
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This is an instance of implausible fine-tuning:  
the statistical differences among the fundamental states  
are miraculously *exactly* “washed out” on the effective level.

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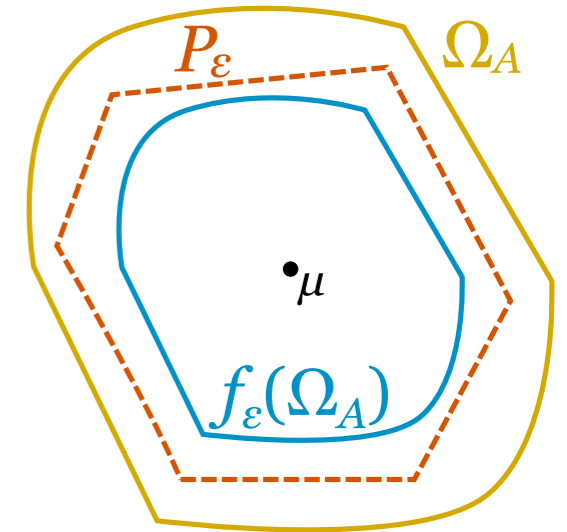
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Classical probability theory can *contextually* simulate all GPTs:

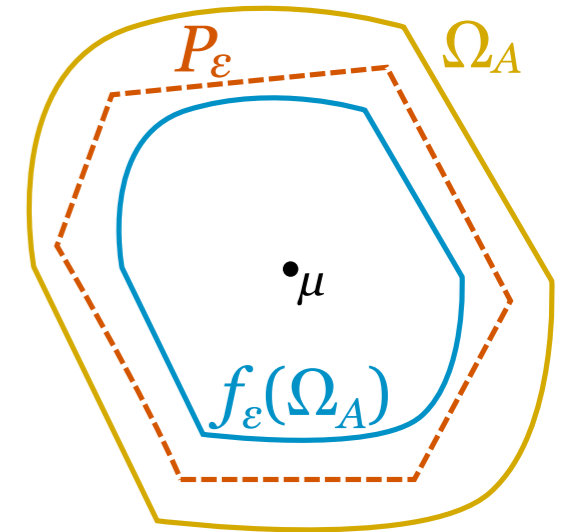
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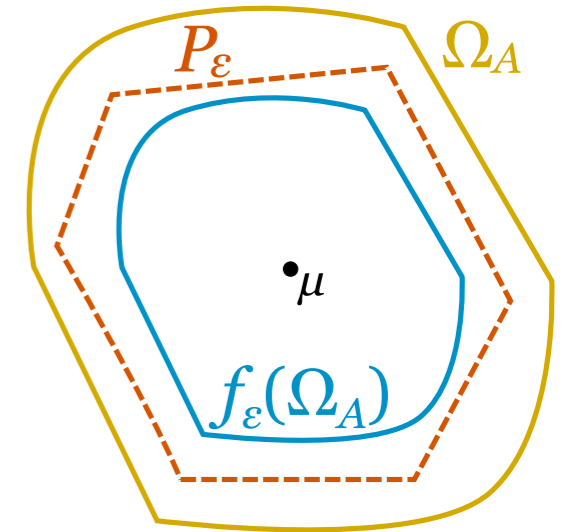
In special case  $\mathcal{B} = \mathcal{C}_n$  (fundamental GPT is classical), this notion reduces exactly to **Spekkens'** notion [3] of contextuality.

$$P(k|p, m) = \sum_{\lambda \in \Lambda} \mu_p(\lambda) \chi_{k,m}(\lambda)$$

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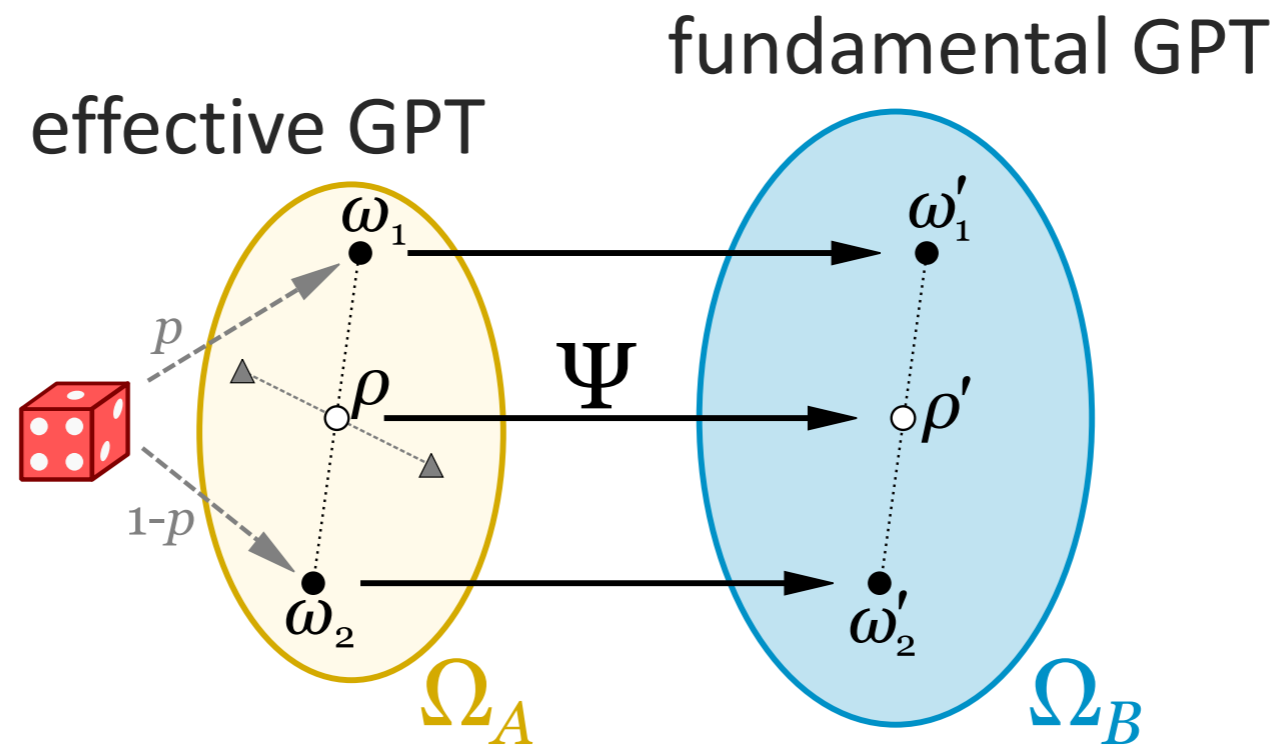
$$P(k|p, m) = \sum_{\lambda \in \Lambda} \mu_p(\lambda) \chi_{k,m}(\lambda)$$

**Theorem 1.** *Every discrete ontological model of an operational theory defines an exact simulation of the corresponding GPT by some  $\mathcal{C}_n$ , and vice versa. Moreover, the simulation is preparation-noncontextual / measurement-noncontextual / noncontextual if and only if the corresponding ontological model has this property.*

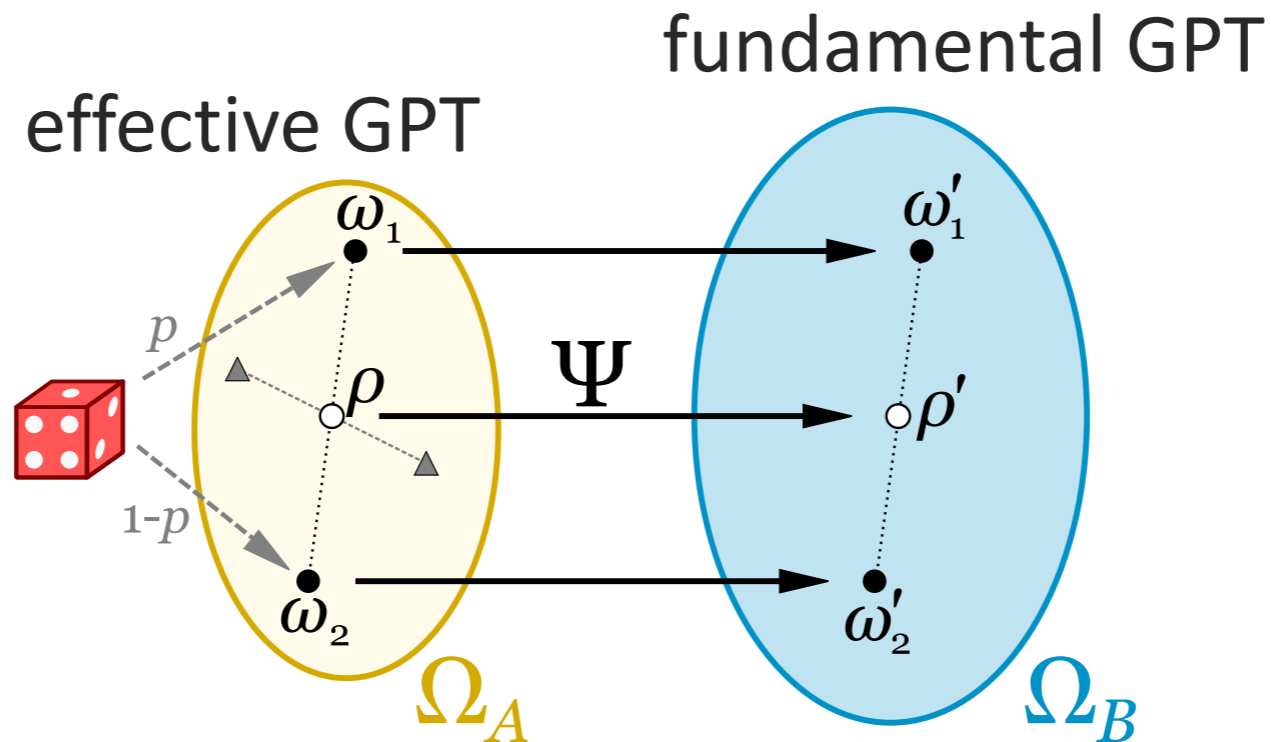
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# Noncontextual simulations are **embeddings**

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# Noncontextual simulations are embeddings



**Definition 2** (Embedding). Let  $\mathcal{A} = (A, \Omega_A, E_A)$  and  $\mathcal{B} = (B, \Omega_B, E_B)$  be GPTs, and let  $\varepsilon \geq 0$ . A pair of linear maps  $\Phi : A \rightarrow B$  and  $\Psi : A^* \rightarrow B^*$  is said to be an  $\varepsilon$ -embedding of  $\mathcal{A}$  into  $\mathcal{B}$  if

- (i)  $\Phi$  and  $\Psi$  are positive and  $\Psi$  is normalization-preserving, i.e.  $\Phi(E_A) \subseteq E_B$  and  $\Psi(\Omega_A) \subseteq \Omega_B$ ;
- (ii)  $\Phi$  and  $\Psi$  preserve outcome probabilities up to  $\varepsilon$ ; i.e.  $|(\omega, e) - (\Psi(\omega), \Phi(e))| \leq \varepsilon$  for all  $e \in E_A, \omega \in \Omega_A$ .

**Lemma 2.** Every noncontextual  $\varepsilon$ -simulation of  $\mathcal{A}$  by  $\mathcal{B}$  defines an  $\varepsilon$ -embedding of  $\mathcal{A}$  into  $\mathcal{B}$ , and vice versa.

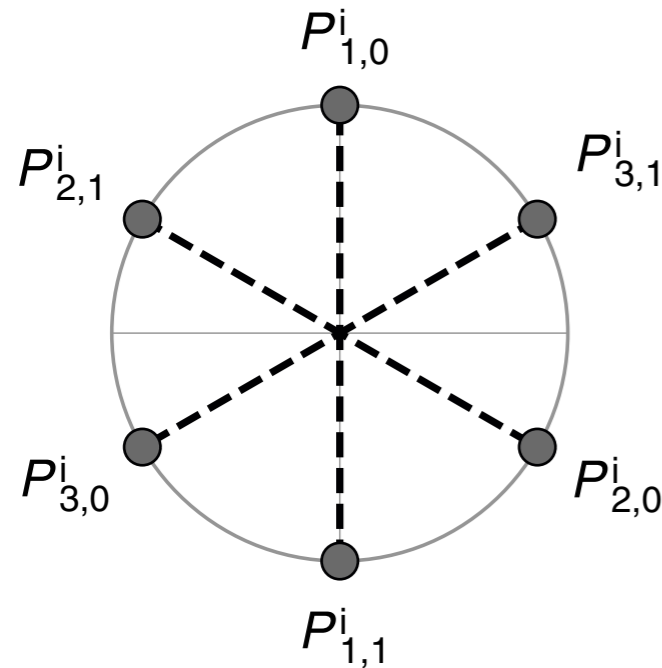
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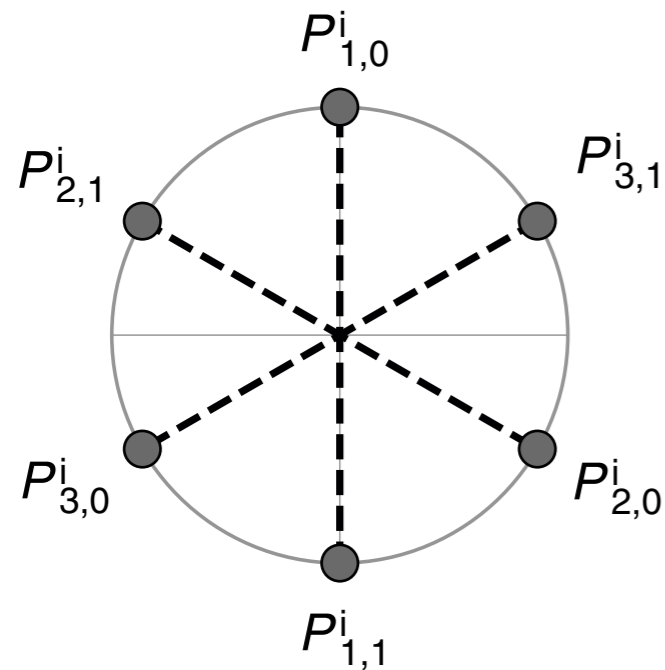
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**Quantitative statement:**

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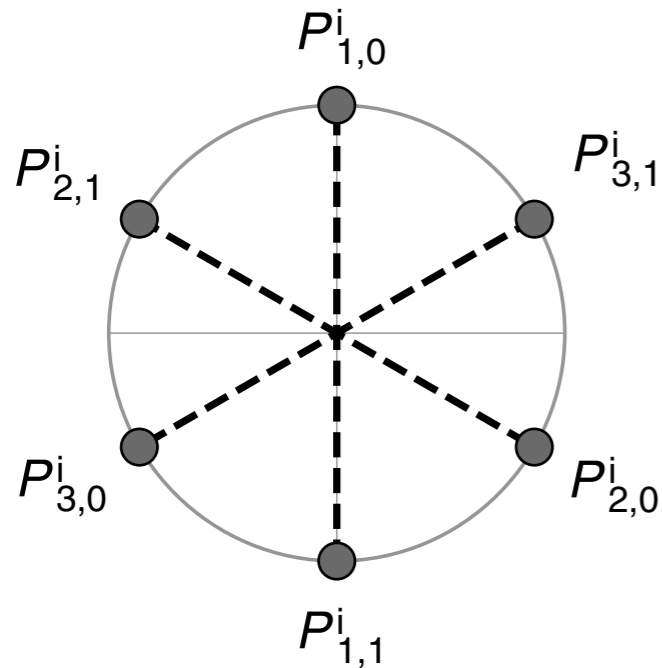
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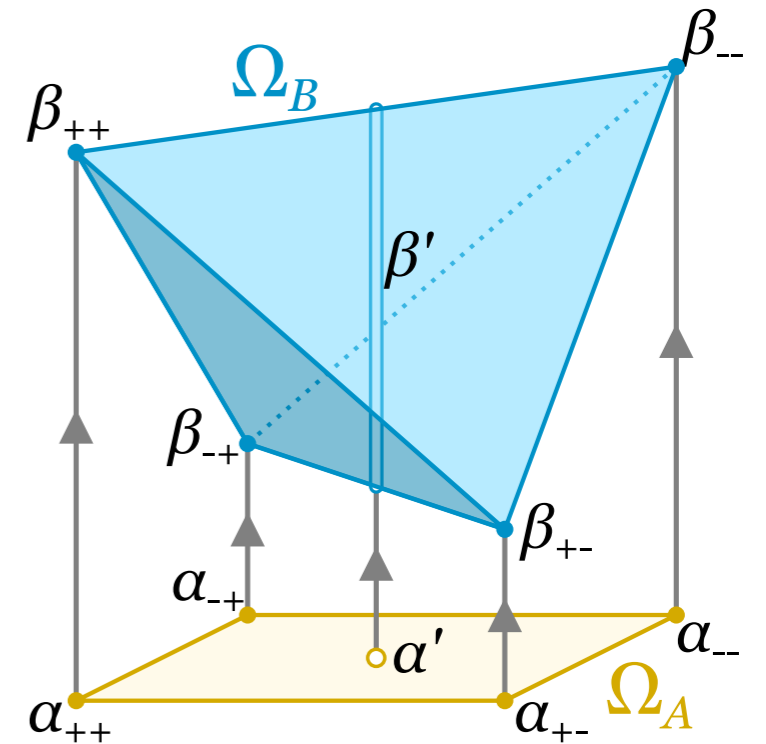
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Proof of  $\varepsilon$ -nonembeddability admits experimental falsification of noncontextuality.

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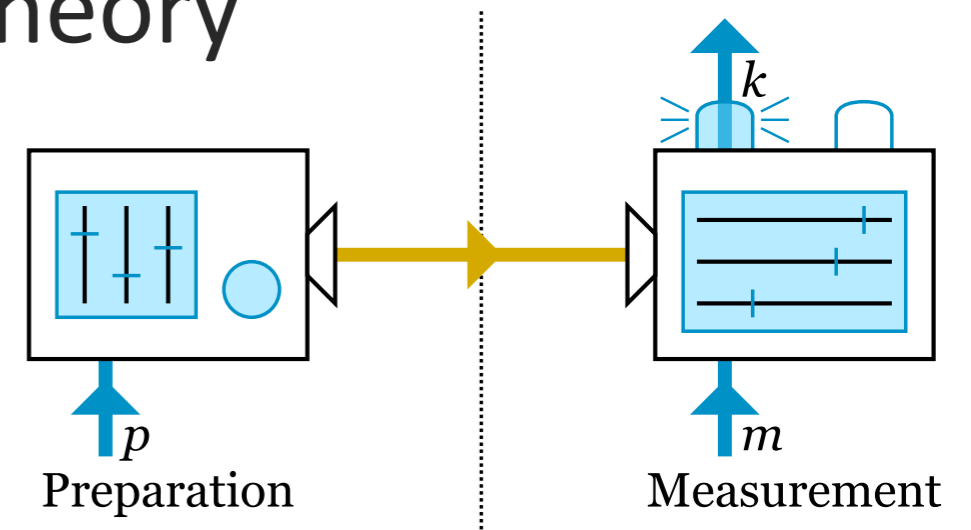
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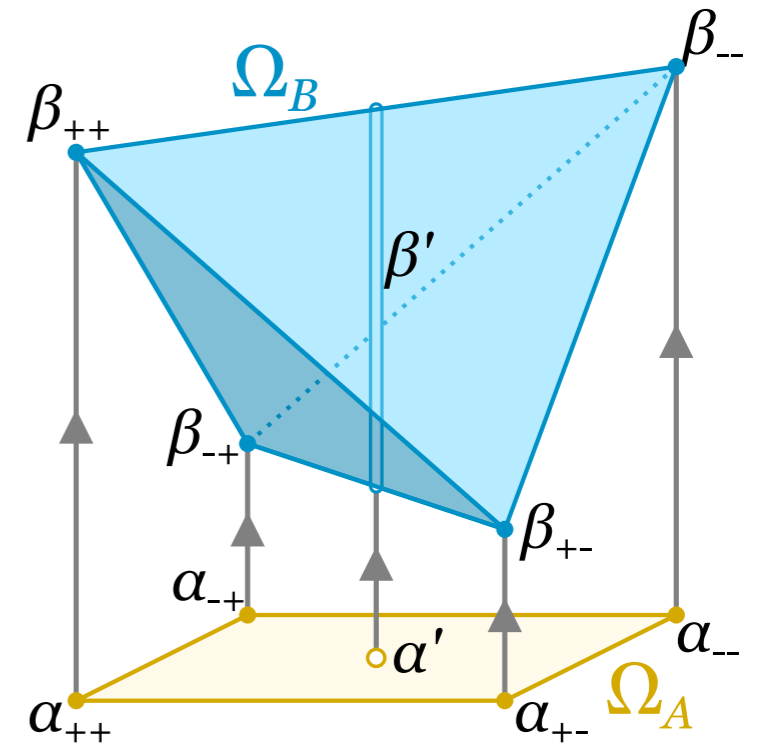
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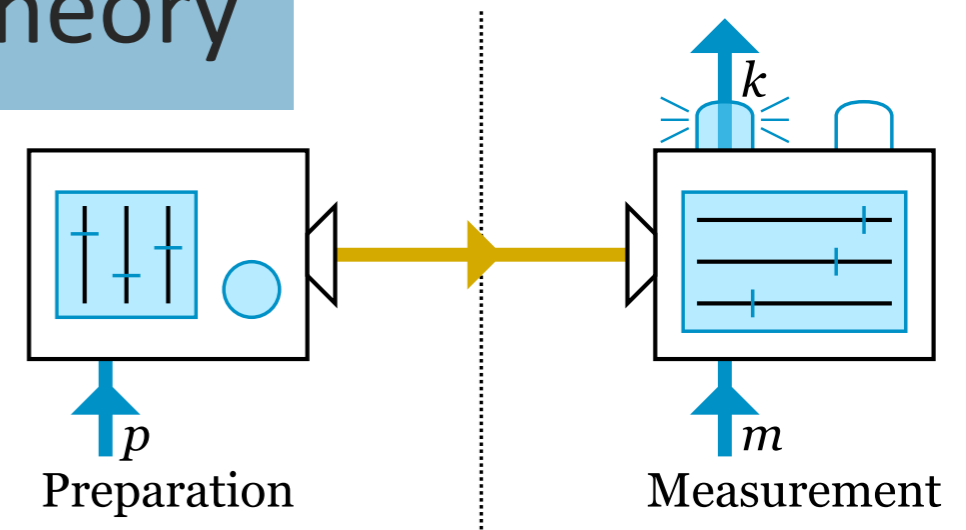
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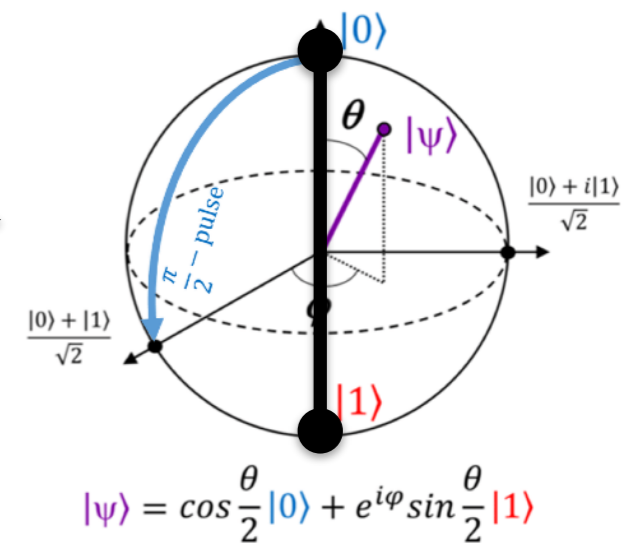
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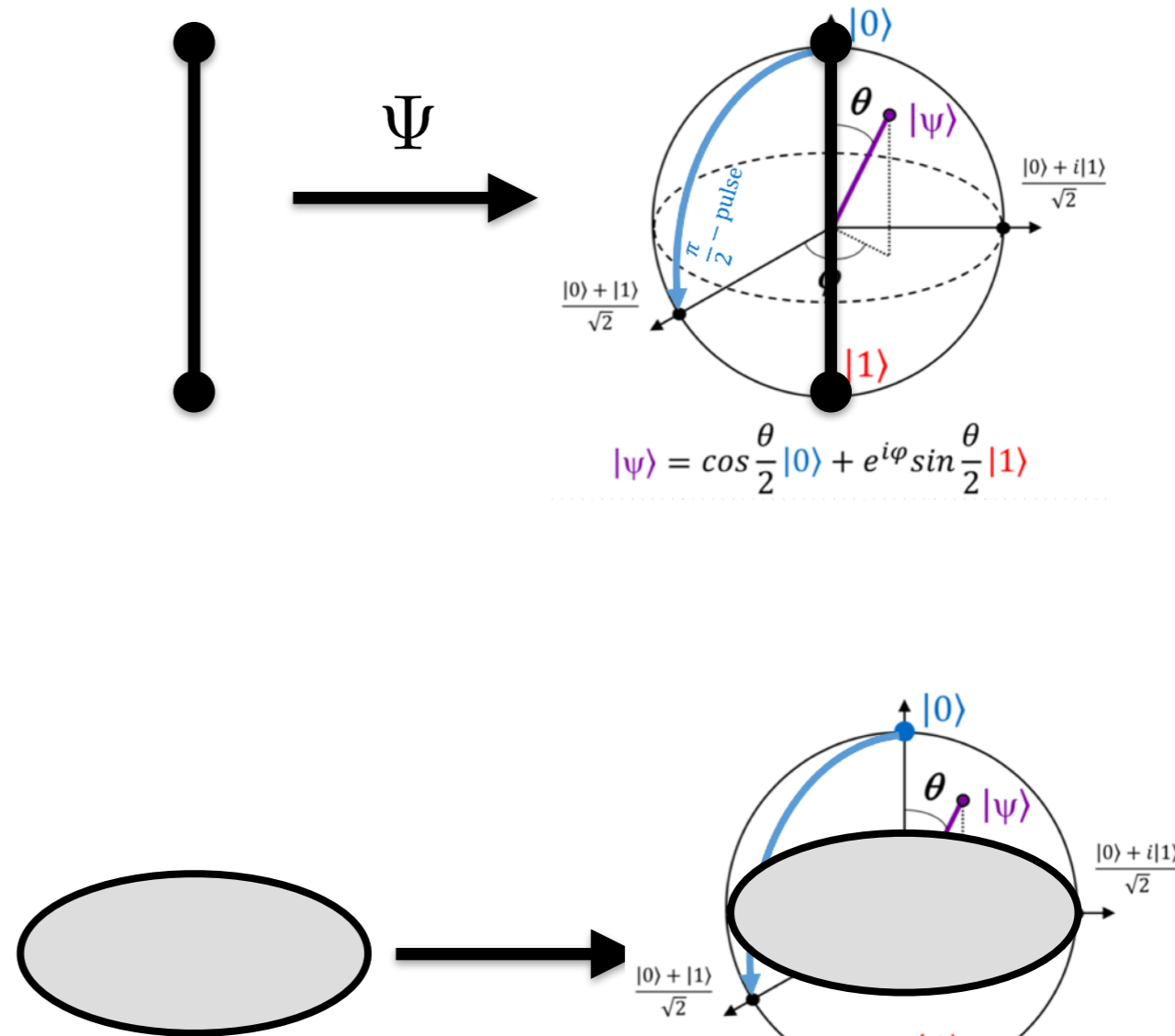
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Similarly, **QT over the real numbers** can be embedded into QT.



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Focus on the “**unrestricted** GPTs” where all vectors yielding valid probabilities on all states are effects:  $\mathcal{A} = (A, \Omega_A, E_A)$

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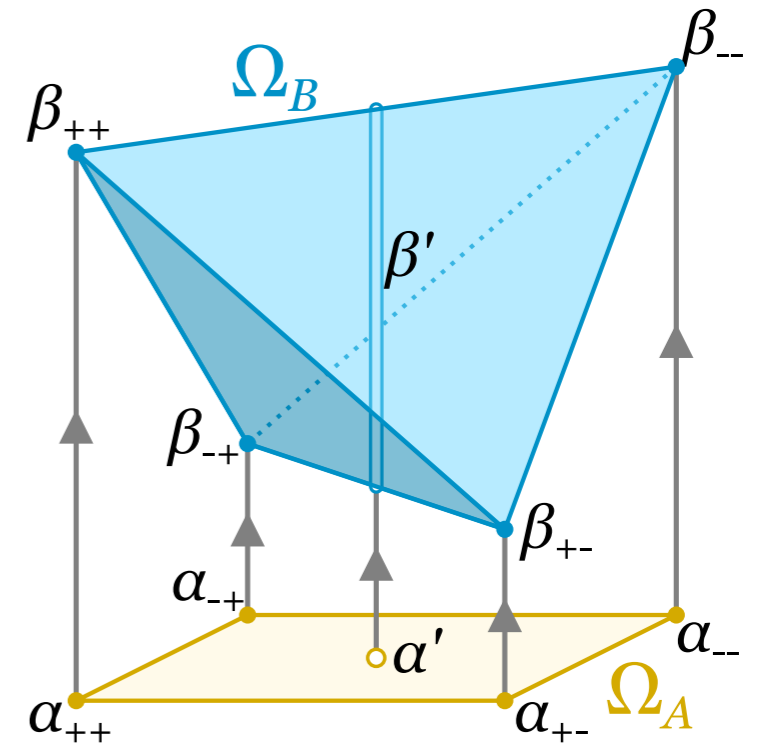
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We should not be (and are not) surprised to find any of those in the lab.

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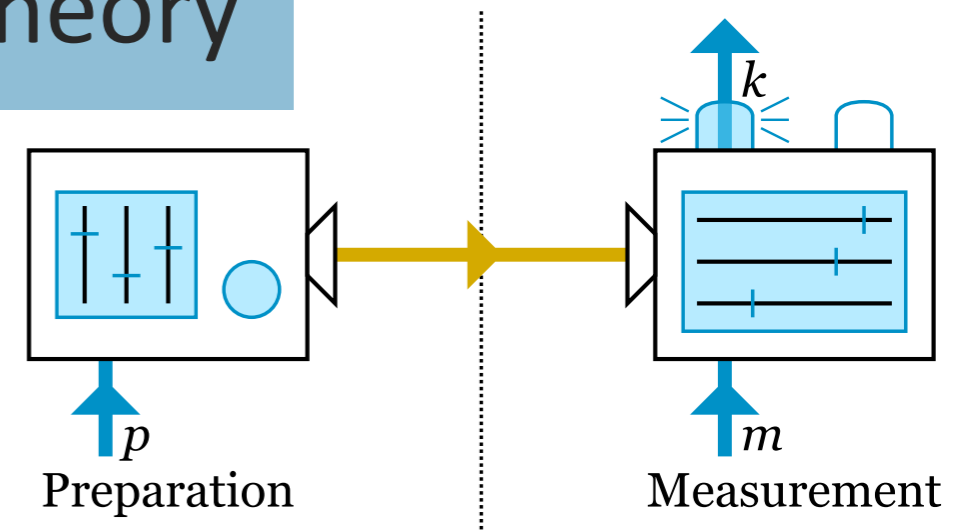
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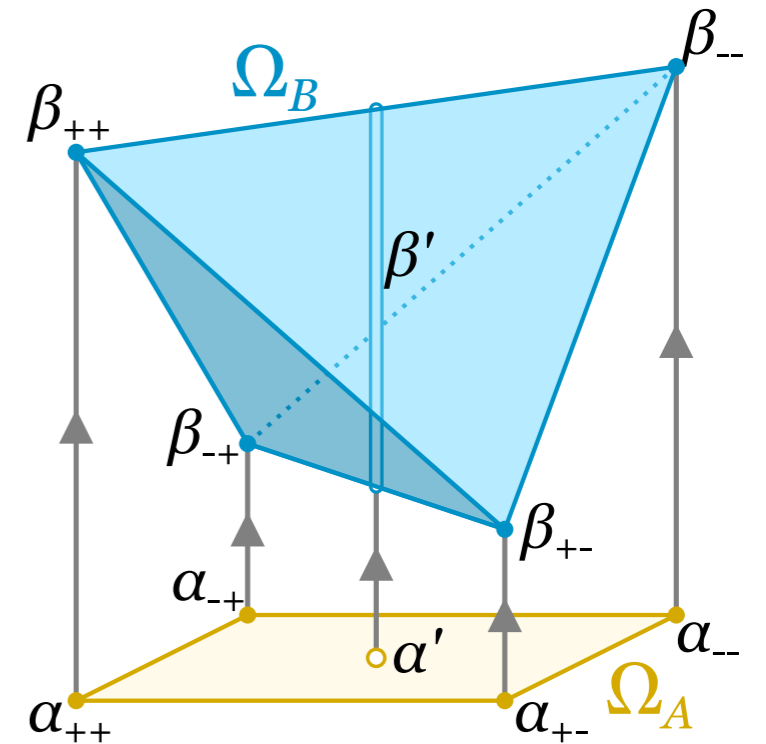
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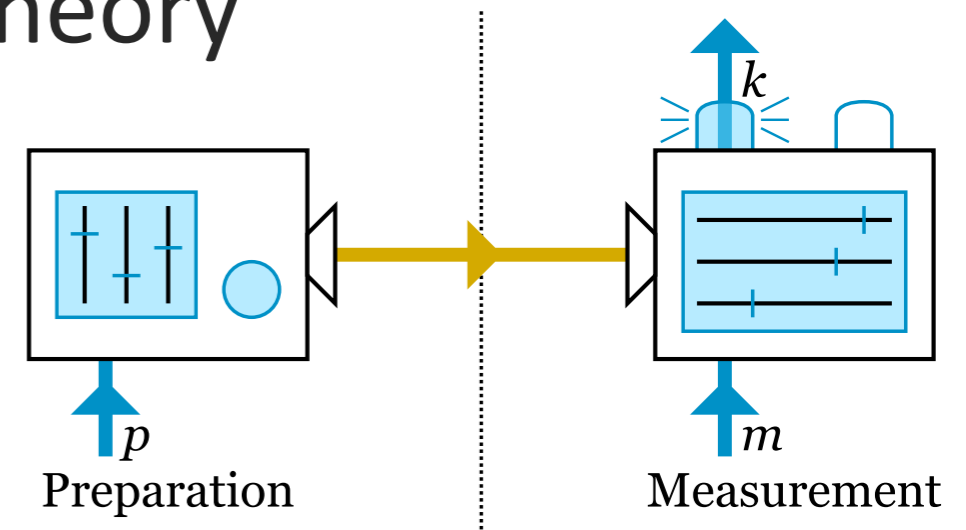
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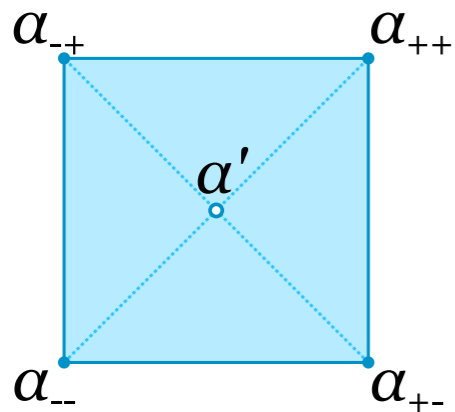
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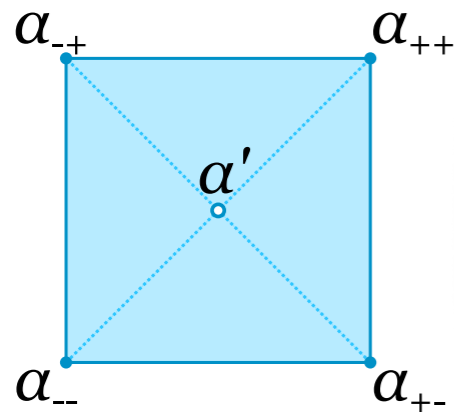
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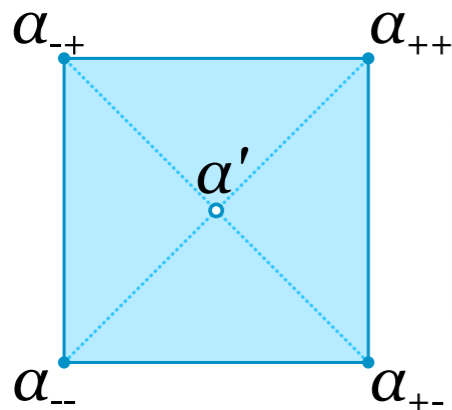


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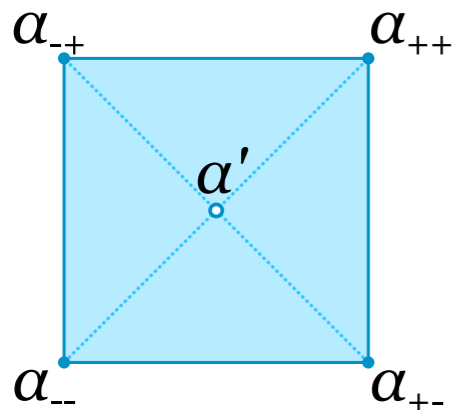
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**Proof sketch:** the four pure states  $\alpha_{\pm\pm}$  are simulated by four quantum states  $\rho_{\pm\pm}$  which are pairwise *almost* perfectly distinguishable. Imagine a device that approx. distinguishes all four successively. Contradicts  $\frac{1}{2}\rho_{-+} + \frac{1}{2}\rho_{+-} = \frac{1}{2}\rho_{--} + \frac{1}{2}\rho_{++}$ .

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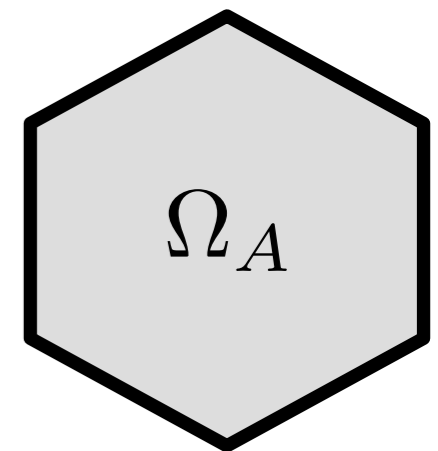
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**Example.** If  $\mathcal{A}$  is an even-sided polygon, then some states on  $\mathcal{A}\mathcal{A}$  violate the Tsirelson bound of  $2\sqrt{2}$  for the Bell-CHSH inequality. From this, we can compute some  $\varepsilon > 0$  such that  $\mathcal{A}$  cannot be  $\varepsilon$ -embedded.





## Summary

- Have generalized Spekkens' notion of generalized noncontextuality:  
*“Processes that are statistically indistinguishable in an effective theory should not require explanation by multiple distinguishable processes in a more fundamental theory.”*
- → approximate **simulations** and **embeddings** of one GPT by another.
- We have classified all unrestricted GPTs exactly embeddable into QT...
- ... and we have given methods for certifying the impossibility of an approximate embedding. *Not optimal. Open: find a better method!*
- This admits a **novel experimental test of QT** that does not suffer from a “tomographic completeness loophole”.

arXiv:2112.09719

# Thank you!