## Interference, spacetime, and the structure of quantum information

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## Outline

- Quantum theory from principles

"Why does the qubit have 3 degrees of freedom?"
- Take 1: continuous-reversible interaction
- Take 2: relativity of simultaneity on interferometer



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## - Quantum theory from principles


"Why does the qubit have 3 degrees of freedom?"

- Take 1: continuous-reversible interaction
- Take 2: relativity of simultaneity on interferometer



## 1. Quantum theory from simple principles

John A. Wheeler, NY Times, 2000:
"Quantum physics [...] has explained the structure of atoms and molecules, [...] the behavior of semiconductors [...] and the comings and goings of particles from neutrinos to quarks.


Successful, yes, but mysterious, too. Why does the quantum exist?"

## 1. Quantum theory from simple principles

All probabilistic theories
PR boxes
QT
$\bullet$

CPT
QT
$\bullet$

CPT

- Some more non-local than QT;
- share some features with QT: no-cloning, entanglement, ...


# Goal: <br> Simple principles that yield exactly QT. 

All probabilistic theories


CPT

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Lorentz transformations from

- relativity principle,
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СРТ

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- Some more non-local than QT;
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Now: I. Sketch how to describe those theories;
II. give a set of principles for QT.

## Essentially by an arbitrary convex state space.

 And here's why \& how.

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And here's why \& how.


Preparation, transformation, measurement.

## How to describe a "general probabilistic theory"

## Example: classical coin toss.



- On every push of button, the preparation device performs a biased coin toss.


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- The transformation device, for example, inverts the coin (if heads then tails, and vice versa).


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## How to describe a "general probabilistic theory"

Example: classical coin toss.


- On every push of button, the preparation device produces a biased coin toss.
- The transformation device, for example, inverts the coin (if heads then tails, and vice versa).
- The measurement outcome is "heads" or "tails".


Preparation, transformation, measurement.

## Example: classical coin toss.



- The preparation device prepares a physical system in a state $\omega$. Here

$$
\omega=\binom{\text { Prob(heads) }}{\text { Prob(tails) }}=\binom{p}{1-p}
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State space $\Omega$ : the set of all possible states


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## Example: classical coin toss.



- The preparation device prepares a physical system in a state $\omega$.
- Transformation: $\quad T\binom{p}{1-p}=\binom{1-p}{p}$



## How to describe a "general probabilistic theory"

## Example: classical coin toss.



- The preparation device prepares a physical system in a state $\omega$.

Maps states to states and is linear.


## Example: classical coin toss.



- Every measurement outcome has a probability, depending linearly on the state:



## Example: classical coin toss.



- Every measurement outcome has a probability, depending linearly on the state:

$$
\operatorname{Prob}(\text { heads } \mid \omega)=p=\binom{1}{0} \cdot\binom{p}{1-p}=e \cdot \omega
$$



How to describe a "general probabilistic theory"
Example: quantum spin-1/2 particle.


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- The preparation device prepares a spin-1/2 particle in quantum state $\omega$.

$$
\alpha|\uparrow\rangle+\beta|\downarrow\rangle
$$

More generally: $\omega$ is $2 \times 2$ density matrix.


Example: quantum spin-1/2 particle.


- The preparation device prepares a spin-1/2 particle in quantum state $\omega$.

$$
\cos \frac{\theta}{2}|\uparrow\rangle+e^{i \phi} \sin \frac{\theta}{2}|\downarrow\rangle
$$

More generally: $\omega$ is $2 x 2$ density matrix.


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- Unitary transformation of the density matrix:

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- Measurement in arbitrary spin direction $d$ :

$$
\operatorname{Prob}(\uparrow \mid \omega)=\operatorname{Tr}\left(P_{d} \omega\right)
$$



The set of all possible states of a given physical system is called the state space $\Omega$.


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QT: $\Omega_{N}=$ set of $N \times N$ density matrices
CPT: $\Omega_{N}=$ set of prob. distributions

$$
\left(p_{1}, \ldots, p_{N}\right) .
$$



Thus $\Omega$ is a convex set.

## How to describe a "general probabilistic theory"

(Almost) everything can be inferred from shape of state space.

1
classical
bit


Arbitrary convex state space

quantum bit


Classical trit
(3-level-system)

"gbit"


Quantum trit: 8D "orbitope"

## 2. Quantum theory from simple principles

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Starting with Lucien Hardy 2001, lots of recent activity:

All probabilistic theories
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- L. Hardy, Quantum theory from five reasonable axioms, arXiv:quant-ph/0101012
- B. Dakic and C. Brukner, Quantum Theory and Beyond: Is Entanglement Special?, arXiv:0911.0695 (also "Deep Beauty"-book)
- LI. Masanes and MM, A derivation of quantum theory from physical requirements, New J. Phys. 13, 063001 (2011)
- G. Chiribella, G. M. D'Ariano, and P. Perinotto, Informational derivation of quantum theory, Phys. Rev. A 84, 012311 (2011)
- L. Hardy, Reformulating and reconstructing quantum theory, arXiv:1104.2066


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Starting with Lucien Hardy 2001, lots of recent activity.

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СРТ

However, all these used assumptions on composition of systems in a crucial way. Disadvantages:

## 2. Quantum theory from simple principles

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Simple principles that yield exactly QT.

Starting with Lucien Hardy 2001, lots of recent activity.

However, all these used assumptions on composition of systems in a crucial way. Disadvantages:

- QT has already shown: we have bad intuition on composition!
- Very hard to modify postulates to get to "QT's closest cousins"


## A single-system reconstruction of QT

H. Barnum, MM, and C. Ududec, Higher-order interference and single-system postulates characterizing quantum theory, New J. Phys. 16, 123029 (2014).

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Theorem: If a state space satisfies

1. Classical decomposability
2. Strong Symmetry
3. No Third-Order Interference
4. Energy Observability
then it is a quantum state space.


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then it is a quantum state space,
i.e. the states are the $N \times N$
complex density matrices, reversible transformations are $\rho \mapsto U \rho U^{\dagger}$ with $U$ unitary or antiunitary, and
 the measurements are the POVMs.

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Generalizes the observation that in QT, we have

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generator of time evolution conserved observable

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then it is one of the following:

- N-level quantum theory over $\mathbb{R}, \mathbb{C}$ or $\mathbb{H}$,
- 3-level quantum theory over the octonions,
- 2-level "Bloch balls" with any number of degrees of freedom (not necessarily 3 as in the qubit),
- N -level discrete classical probability distributions (CPT).


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Most fascinating question will be: What if we drop Postulate 3?

But for now, let's understand Postulates 1 and 2...

## Classical decomposability

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Every state $\omega \in \Omega$ can be written as a convex combination of perfectly distinguishable pure states $\omega_{1}, \ldots, \omega_{n}$ :

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\omega=\sum_{i} \lambda_{i} \omega_{i} .
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They are perfectly distinguishable if there is a measurement $e_{1}, \ldots, e_{n}$ such that $e_{i}\left(\omega_{j}\right)=\delta_{i j}$.


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If $\omega_{1}, \ldots, \omega_{n}$ are pure and perfectly distinguishable, and so are $\varphi_{1}, \ldots, \varphi_{n}$, then there is a reversible transformation $T$ such that

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Strong symmetry for qubit easy to see in the Bloch ball representation:


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p_{i, j, \ldots}:= & \text { probability of event, } \\
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$p_{i, j, \ldots}:=$ probability of event, if slits $i, j, \ldots$ are open


Classical probability theory: $\quad p_{1,2}=p_{1}+p_{2}$.
Quantum theory: $\quad p_{1,2} \neq p_{1}+p_{2}$. Interference!

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$p_{i, j, \ldots}:=$ probability of event, if slits $i, j, \ldots$ are open

## Surprisingly (?),

 quantum theory satisfies$$
\begin{aligned}
p_{1,2,3}= & p_{1,2}+p_{1,3}+p_{2,3} \\
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## No 3rd-order interference in QT!

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## Sorkin:

$$
\begin{aligned}
I_{2}(A, B) & \equiv|A \amalg B|-|A|-|B| \\
I_{3}(A, B, C) & \equiv|A \amalg B \amalg C|-|A \amalg B|-|B \amalg C|-|A \amalg C|+|A|+|B|+\mid C
\end{aligned}
$$

or in general,

$$
\begin{aligned}
I_{n}\left(A_{1}, A_{2}, \cdots, A_{n}\right) & \equiv\left|A_{1} \amalg A_{2} \amalg \cdots A_{n}\right| \\
& -\sum_{n}|(n-1) \operatorname{sets}|+\sum \mid(n-2) \text { sets } \mid \cdots \\
& \pm \sum_{j=1}^{n}\left|A_{j}\right|
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\end{aligned}
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## Classical probability theory: $I_{2}=I_{3}=I_{4}=\ldots=0$.

Quantum theory: $I_{2} \neq 0, \quad I_{3}=I_{4}=\ldots=0$.

## Experimental tests for higher-order interference

## stence M ${ }_{\text {AAAS }}$

## Ruling Out Multi-Order Interference in Quantum Mechanics

 Urbasi Sinha et al.Science 329, 418 (2010);
DOI: 10.1126/science. 1190545
(U. Sinha, C. Couteau, T. Jennewein, R. Laflamme, G. Weihs)


## Experimental tests for higher-order interference

## Science \IAAAS

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Result: $\quad \kappa \leq 10^{-2}$.


## Why does QT not have 3rd-order interference?

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o-(: : :


## Why does QT not have 3rd-order interference?



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$$
\begin{aligned}
\left(\begin{array}{ll}
\bullet & \bullet \\
\bullet & \bullet
\end{array}\right)= & \left(\begin{array}{lll}
\bullet & \bullet & 0 \\
\bullet & \bullet & 0 \\
0 & 0 & 0
\end{array}\right)+\left(\begin{array}{lll}
\bullet & 0 & \bullet \\
0 & 0 & 0 \\
\bullet & 0 & \bullet
\end{array}\right)+\left(\begin{array}{lll}
0 & 0 & 0 \\
0 & \bullet & \bullet \\
0 & \bullet & \bullet
\end{array}\right) \\
& -\left(\begin{array}{lll}
\bullet & 0 & 0 \\
0 & 0 & 0 \\
0 & 0 & 0
\end{array}\right)-\left(\begin{array}{lll}
0 & 0 & 0 \\
0 & \bullet & 0 \\
0 & 0 & 0
\end{array}\right)-\left(\begin{array}{lll}
0 & 0 & 0 \\
0 & 0 & 0 \\
0 & 0 & \bullet
\end{array}\right) \\
p_{1,2,3}= & p_{1,2}+p_{1,3}+p_{2,3} \\
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\end{aligned}
$$

## Why does CPT not have 2nd-order interference?

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$$
\left(\begin{array}{l}
\bullet \\
\bullet \\
\bullet
\end{array}\right)=\left(\begin{array}{l}
\bullet \\
0 \\
0
\end{array}\right)+\left(\begin{array}{l}
0 \\
\bullet \\
0
\end{array}\right)+\left(\begin{array}{l}
0 \\
0 \\
\bullet
\end{array}\right)
$$

$$
p_{1,2,3}=p_{1}+p_{2}+p_{3}
$$

## Which natural GPTs have 3rd-order interference?

Some "artificial" GPTs exhibit order-3 interference:

C. Ududec, Perspectives on the Formalism of Quantum Theory, PhD thesis, University of Waterloo, 2012.

## But what natural generalizations of QT could we test for in experiments?

## Which natural GPTs have 3rd-order interference?

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But what natural generalizations of QT could we test for in experiments?

"1st-order" (trivial) interference


2nd-order interference


3rd-order interference?

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H. Barnum, MM, and C. Ududec, Higher-order interference and single-system postulates characterizing quantum theory, New J. Phys. 16, 123029 (2014).

## Theorem:

1. Classical decomposability
2. Strong Symmetry
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What if we drop Postulate 3 ?

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## OPEN QUESTION!

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## A single-system reconstruction of QT

## Theorem:

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We know that $1+2$ alone imply many things quantum:

- Analogues of orthogonal projectors, eigenvalues, and eigenspaces,
- their face lattice is an orthomodular lattice ( $\rightarrow$ quantum logic),
- they satisfy Specker's Principle (contextuality),
- all bit subsystems are Bloch balls,
- their state cones are strongly self-dual.


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On the other hand, the new solutions violate some things quantum:

- They admit higher-order interference,
- the covering law of quantum logic is violated,
- the image of a pure state under a projection can be mixed,
- they have two inequivalent versions of min-entropy.


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## FIND AT LEAST ONE EXAMPLE!

## Outline

- Quantum theory from principles

"Why does the qubit have 3 degrees of freedom?"
- Take 1: continuous-reversible interaction
- Take 2: relativity of simultaneity on interferometer



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## 3D of the Bloch ball: continuous interaction

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The quantum bit Bloch ball satisfies these postulates:

$$
|\psi\rangle=\cos \frac{\theta}{2}|0\rangle+e^{i \varphi} \sin \frac{\theta}{2}|1\rangle
$$



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Bloch ball bits of arbitrary dimension satisfy $1+2$.

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Suppose we want to combine two d-dim. Ball state spaces

into a composite state space $\mathbf{A B}$.

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- No-signalling;
- local tomography: joint states are uniquely determined by the statistics and correlations of local measurements;
- AB contains all product states ("independent preparations"), product transformations, and product measurements.


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Then, for any $d \geq 2$, there are infinitely many possibilities!

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LI. Masanes, MM, D. Pérez-García, and R. Augusiak, Entanglement and the three-dimensionality of the Bloch ball, J. Math. Phys. 55, 122203 (2014).


A

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A


B

Theorem: Assume in addition:
There exists at least one continuous reversible transformation $T_{A B} \neq T_{A} \otimes T_{B} \quad$ ("interaction").

Then only $d=3$ is possible, and only one possible composite, namely the quantum state space of two qubits.

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## Proof sketch:



Product preparation; evolution for short time $t$; product measurement

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Product preparation; evolution for short time $t$; product measurement

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\begin{aligned}
& \text { If } \mathcal{M}_{x}^{A}\left(\omega_{x}^{A}\right)=\mathcal{M}_{y}^{B}\left(\omega_{y}^{B}\right)=1 \text { then } \\
& \frac{d}{d t}\left(\mathcal{M}_{x}^{A} \otimes \mathcal{M}_{y}^{B}\right) e^{t X}\left(\omega_{x}^{A} \otimes \omega_{y}^{B}\right)=0 .
\end{aligned}
$$

(probabilities not larger than 1)

2. 3D Bloch ball: interaction

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$$

$\Rightarrow$ Constraints on $X$.
If $d \neq 3$ then only
$X=X^{A}+X^{B}$ possible
$\Rightarrow$ no interaction.
(probabilities not larger than 1 )
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For a while, we thought that there is an additional 7-dimensional solution, with Lie group $G_{2}$ acting locally...
... but in the end we showed that this is not the case, unfortunately.

$$
W^{\prime}=W-\int_{\mathcal{H}} d A(\hat{A} \otimes \hat{\mathbf{1}}) W(\hat{A} \otimes \hat{\mathbf{1}})^{-1}-\int_{\mathcal{H}} d B(\hat{\mathbf{1}} \otimes \hat{B}) W(\hat{\mathbf{1}} \otimes \hat{B})^{-1}
$$

$$
=\left[\begin{array}{cccc}
0 & \mathbf{0} & \mathbf{0} & \mathbf{0} \\
\mathbf{0} & \mathbf{0} & \mathbf{0} & \sum_{i} \mathbf{e}_{i}^{\mathrm{T}} \otimes Y_{i} \\
\mathbf{0} & \mathbf{0} & \mathbf{0} & \sum_{i} X_{i} \otimes \mathbf{e}_{i}^{\mathrm{T}} \\
\mathbf{0} & -\sum_{i} \mathbf{e}_{i} \otimes Y_{i}^{\mathrm{T}} & -\sum_{i} X_{i}^{\mathrm{T}} \otimes \mathbf{e}_{i} & \sum_{j}\left(U_{j}^{\prime} \otimes S_{j}^{\prime}+R_{j}^{\prime} \otimes V_{j}^{\prime}\right)
\end{array}\right] \in \tilde{\mathfrak{g}},
$$

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There are $d=3$ independent measurements on a qubit because SO( $d-1$ ) is commutative and non-trivial only for $d=3$.
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Surprisingly, this shows up in a completely different context: in special relativity!

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## Relativistic constraints on the state space

## A. Garner, MM, O. Dahlsten, arXiv:1412.7112



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North-pole state: particle definitely in upper branch.

## Relativistic constraints on the state space

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South-pole state: particle definitely in lower branch.

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State on equator $z=0$ : probability $1 / 2$ for each.

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d-dim. "Bloch sphere"

State on equator $z=0$ : probability $1 / 2$ for each.
$p(u p)=\frac{1}{2}(z+1)$

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What transformations $T$ can we perform locally in one arm...
... without any information loss?

## Relativistic constraints on the state space

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$T$ must be a rotation of the Bloch ball (reversible+linear)...
... and must preserve $p$ (up), i.e. preserve the $z$-axis.

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Assumption: $\quad \mathcal{G}_{A}=\mathcal{G}_{B} \simeq \operatorname{SO}(d-1)$.

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\text { Assumption: } \quad \mathcal{G}_{A}=\mathcal{G}_{B} \simeq \operatorname{SO}(d-1) \text {. }
$$



Relativity: there is one frame of reference in which
$T_{A}$ happens first, and then $T_{B} \ldots$

Assumption: $\quad \mathcal{G}_{A}=\mathcal{G}_{B} \simeq \operatorname{SO}(d-1)$.


Relativity: ... and another one in which it's the other way around.

Assumption: $\quad \mathcal{G}_{A}=\mathcal{G}_{B} \simeq \operatorname{SO}(d-1)$.


Detector click statistics is Lorentz-invariant
$\Rightarrow T_{A} T_{B}=T_{B} T_{A}$ for all $T_{A}, T_{B} \in \operatorname{SO}(d-1)$.

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Recall: "SO(d-1) commutative and non-trivial" was main math. reason for $d=3$ in "interaction derivation", too $\rightarrow$ physical interpretation!

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## Relativistic constraints on the state space

Weaker assumption: $\quad \mathcal{G}_{A}$ and $\mathcal{G}_{B}$ isomorphic


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$\Rightarrow d \leq 5$. Quaternionic QM survives.

## Classification of possibilities

## A. Garner, MM, O. Dahlsten, arXiv:1412.7112

Theorem 2. Suppose that (i) $\mathcal{G}_{A}$ and $\mathcal{G}_{B}$ are isomorphic; (ii) they generate the full phase group; (iii) every pure state can be mapped to every other by a reversible transformation. Then relativity of simultaneity allows for the following possibilities and no more:

- $d=2$ (the quantum bit over the real numbers), with $\mathcal{G}=\mathrm{O}(2)$ and $\mathcal{G}_{A}=\mathcal{G}_{B}=\mathbb{Z}_{2} ;$
- $d=3$ (the standard complex quantum bit), with $\mathcal{G}=\mathrm{SO}(3)$ and $\mathcal{G}_{A}=\mathcal{G}_{B}=\mathrm{SO}(2)=\mathrm{U}(1)$;
- $d=4$, with $\mathcal{G} \simeq \mathrm{U}(2)$ and $\mathcal{G}_{A}=\mathcal{G}_{B}=\mathrm{SO}(2)=$ $\mathrm{U}(1)$,
- $d=5$ (the quaternionic quantum bit), with $\mathcal{G}=$ $\mathrm{SO}(5), \mathcal{G}_{A}$ the left- and $\mathcal{G}_{B}$ the right-isoclinic rotations in $\mathrm{SO}(4)$ (or vice versa), such that both are isomorphic to $\mathrm{SU}(2)$ and $\mathcal{G}_{A} \cap \mathcal{G}_{B}=\{+\mathbb{1},-\mathbb{1}\}$.


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## Classification of possibilities

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\mathcal{G}_{A}=\mathcal{G}_{B}
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$\mathcal{G}_{A} \simeq \mathcal{G}_{B}$

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## Relativistic constraints on the state space

## Consequences for actual interference experiments:

## PHYSICAL REVIEW LETTERS

# Proposed Test for Complex versus Quaternion Quantum Theory 

Asher Peres<br>Department of Physics, Technion-Israel Institute of Technology, Haifa, Israel (Received 7 December 1978)

If scattering amplitudes are ordinary complex numbers (not quaternions) then there is a universal algebraic relationship between the six coherent cross sections of any three scatterers (taken singly and pairwise). A violation of this relationship would indicate either that scattering amplitudes are quaternions, or that the superposition principle fails. Some experimental tests are proposed, involving neutron diffraction by crystals made of three different isotopes, neutron interferometry, and $K_{\mathcal{S}}$-meson regeneration.

## Relativistic constraints on the state space

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## PHYSICAL REVIEW LETTERS

- Generalized Peres Test
- Quaternion quantum mechanics?
- Octonion quantum mechanics?

Proposed Test fo

Department of Physi

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THE 5-PATH INTERFEROMETER
G. Weihs (2013)


## Relativistic constraints on the state space

## Science <br> \1AAAS

U. Sinha, C. Couteau, T. Jennewein, R. Laflamme, G. Weihs, Ruling Out Multi-Order Interference in Quantum Mechanics, Science 329, 418 (2010).

3. Relativity of simultaneity

## What can we learn from this?



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- Structure of quantum theory is closely related to the structure of spacetime.
- Is QT and the path integral the only possible theory describing detector click probabilities in relativistic spacetime?


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- Structure of quantum theory is closely related to the structure of spacetime.
- Is QT and the path integral the only possible theory describing detector click probabilities in relativistic spacetime?
- Can we learn something about quantum gravity by studying this relationship? Is the structure of QT modified in regimes where the structure of spacetime is modified?


## Further evidence

## spatial rotations


quantum 2-level state space
transformations of the probabilistic state


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## spatial rotations


quantum 2-level state space
transformations of the probabilistic state

arbitrary state space
C. F. von Weizsäcker (>1954):
"ur theory"



## Further evidence

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quantum 2-level transformations of the probabilistic state

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## Standard perspective:

"That's all trivial, because the qubit is just a representation of SU(2)!"

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quantum 2-level transformations of the state space probabilistic state

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Standard perspective:
"That's all trivial, because the qubit is just a representation of SU(2)!"


Different view: it's highly remarkable!

## 4. Conclusions



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- QT can be derived from simple postulates.
- Open Problem: are there natural "higher-order interference" state spaces?



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LI. Masanes, MM, D. Pérez-García, and R. Augusiak, Entanglement and the three-dimensionality of the Bloch ball, J. Math. Phys. 55, 122203 (2014).
- The Bloch ball is 3D because otherwise bits could not interact.
A. Garner, MM, O. Dahlsten, arXiv:1412.7112
- The bloch ball is 3D (or maybe 5D) due to relativity of simultaneity.


