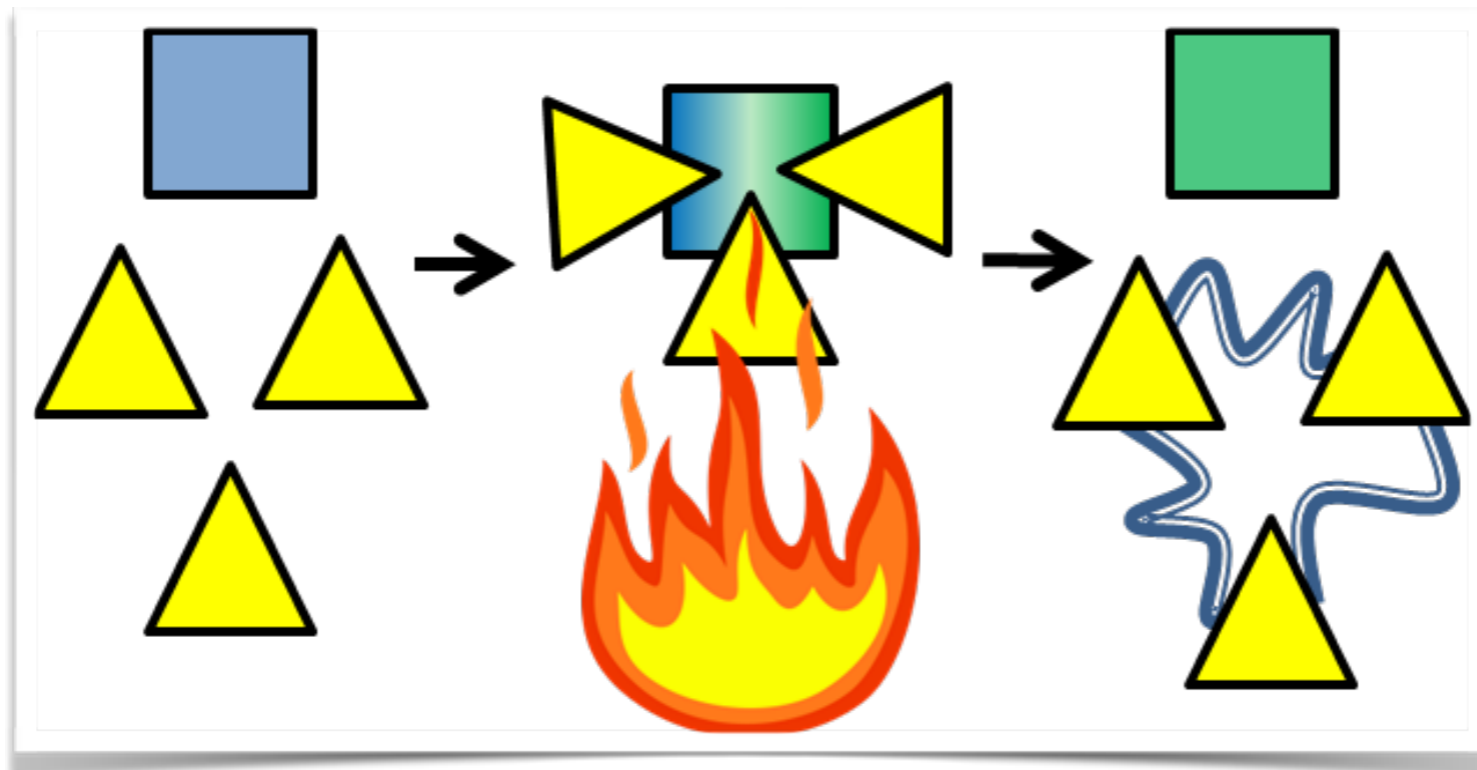


Stochastic independence as a resource in small-scale thermodynamics

Markus P. Müller

Departments of Applied Mathematics and Philosophy, UWO
Perimeter Institute for Theoretical Physics, Waterloo

Joint work with Matteo Lostaglio and Michele Pastena



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SEIT 1386



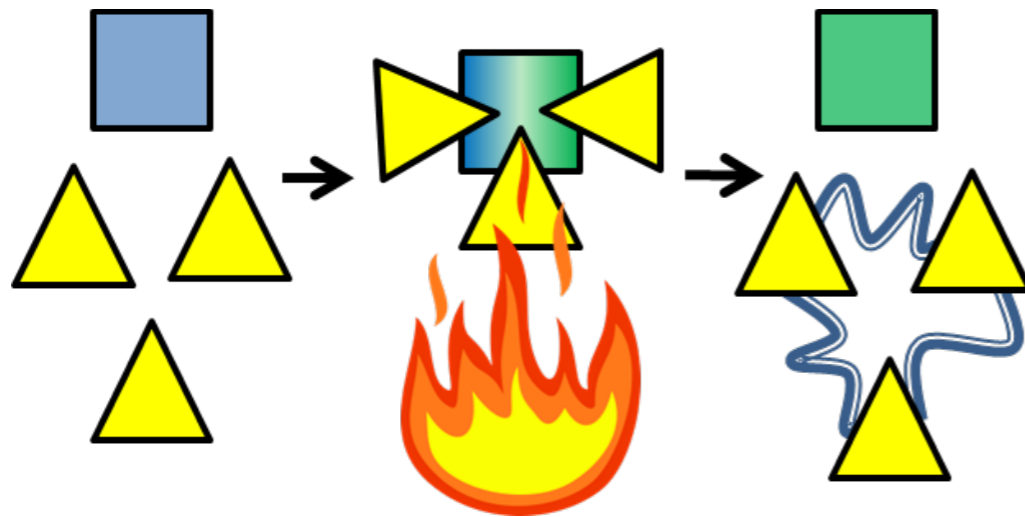
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1. Background: the second laws

Severe constraints of small-scale thermodynamics

F. Brandao, M. Horodecki, N. Ng, J. Oppenheim, and S. Wehner, *The second laws of quantum thermodynamics*, Proc. Natl. Acad. Sci. USA **112** (2015)

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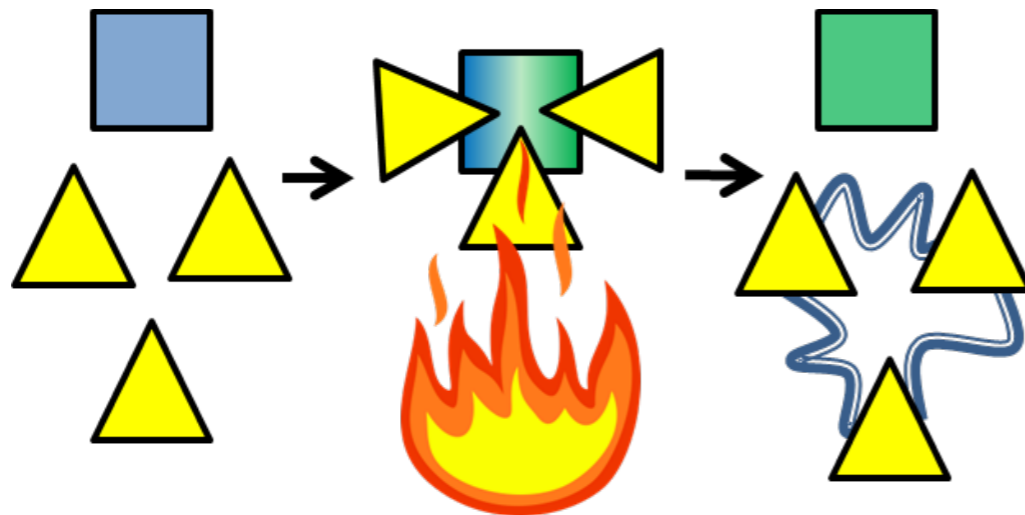
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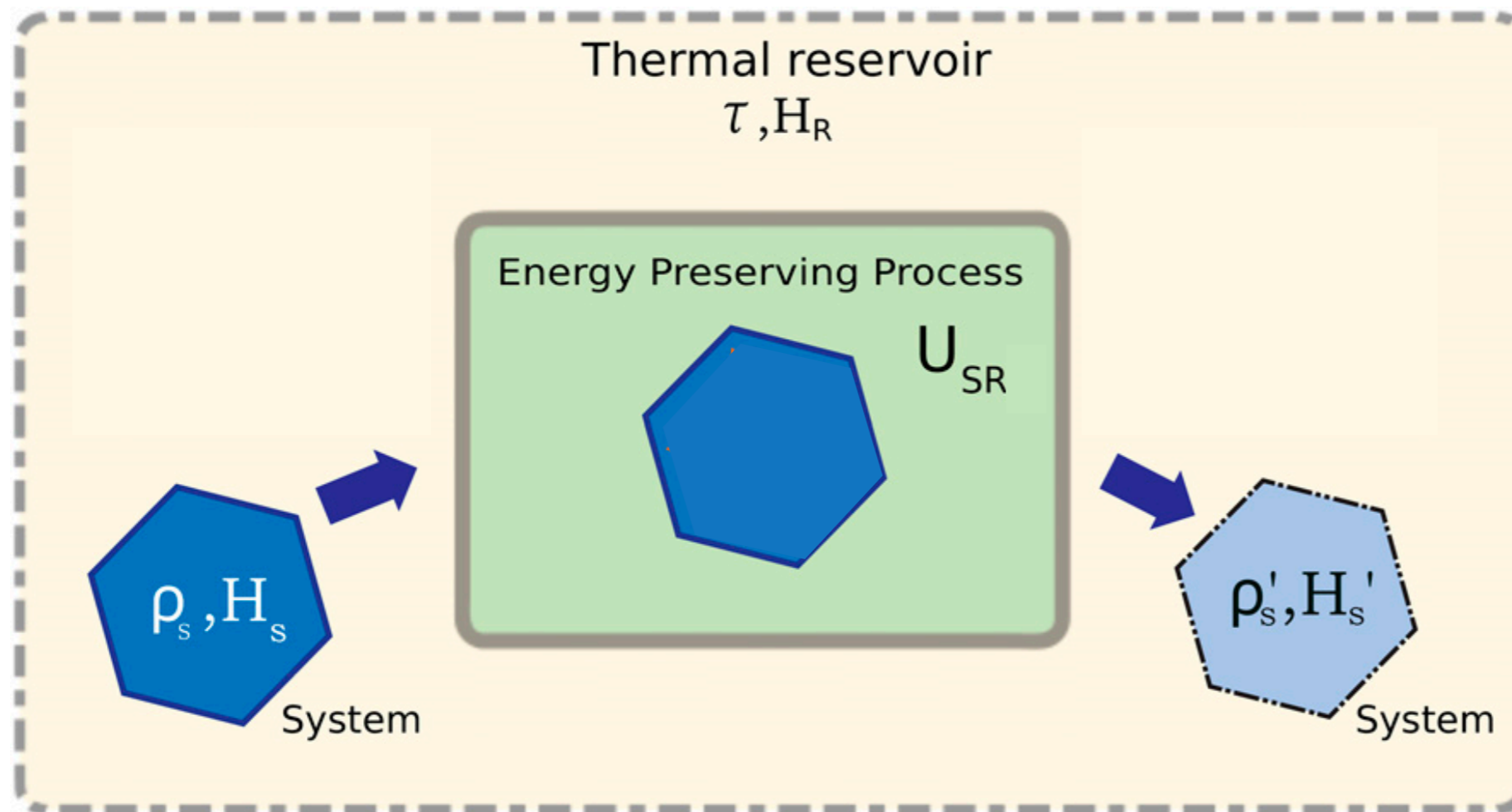


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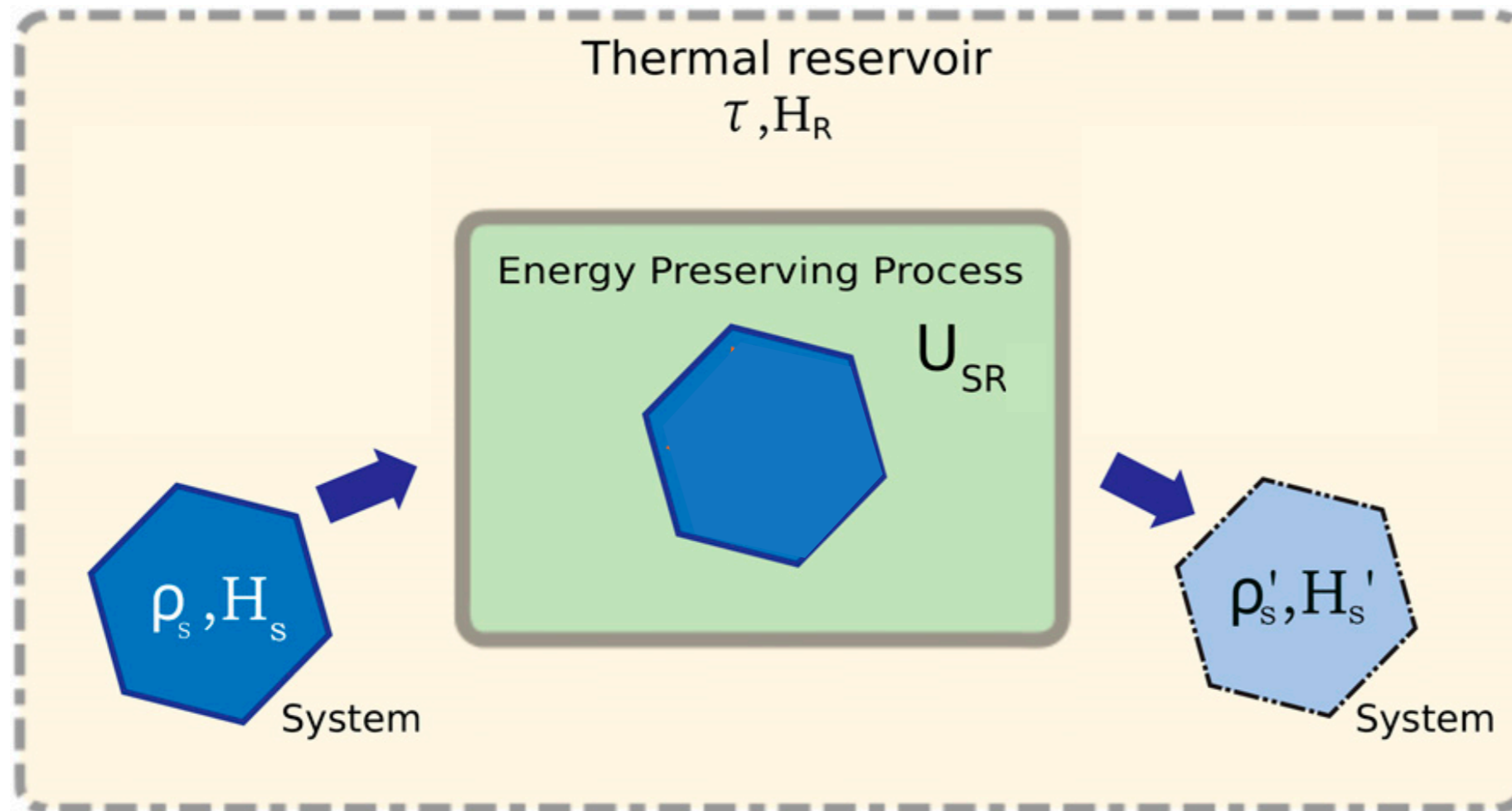
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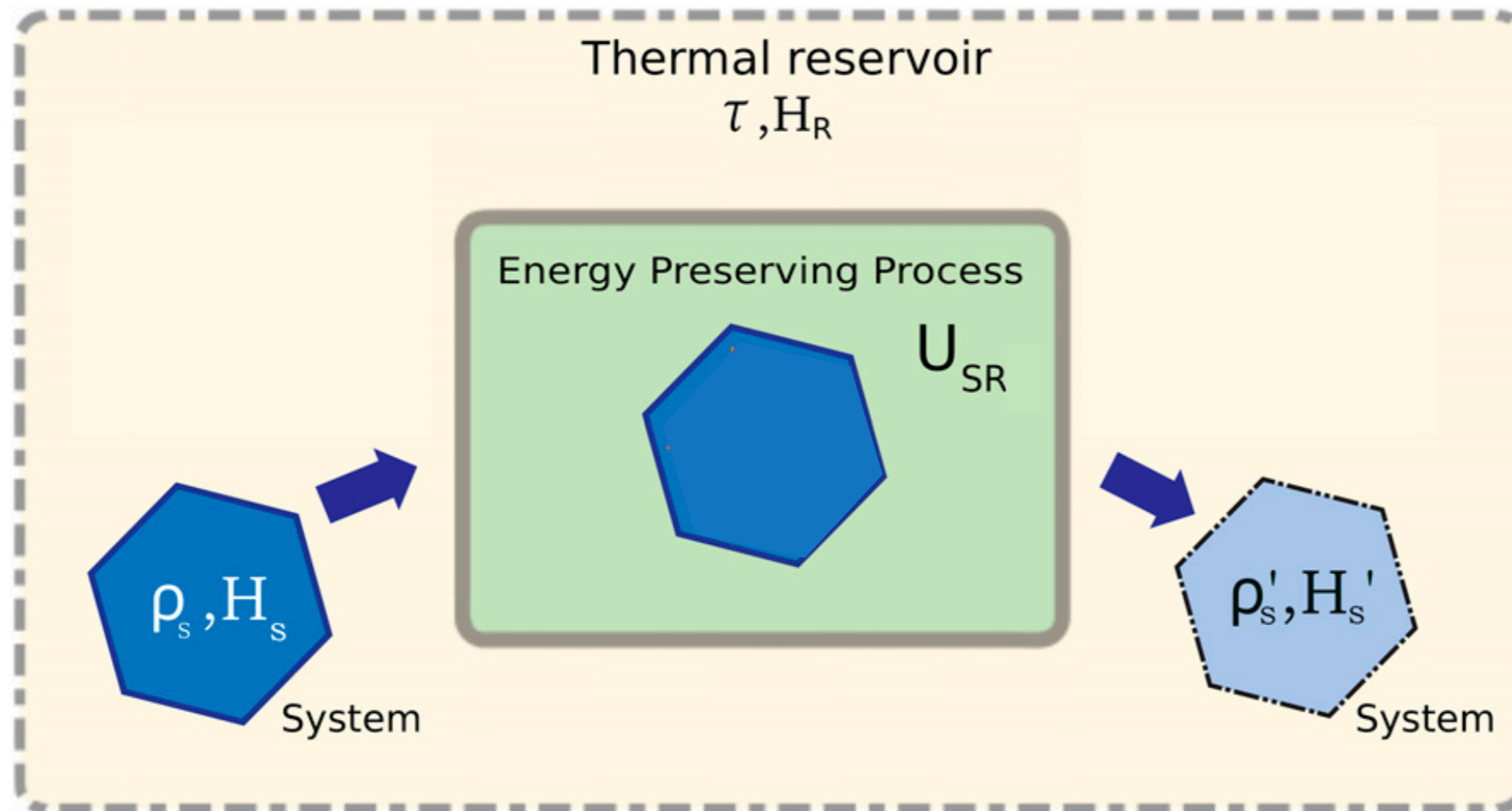
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$$[U_{SR}, H_S + H_R] = 0, \quad \tau_R = \exp(-H_R / (k_B T)) / Z.$$

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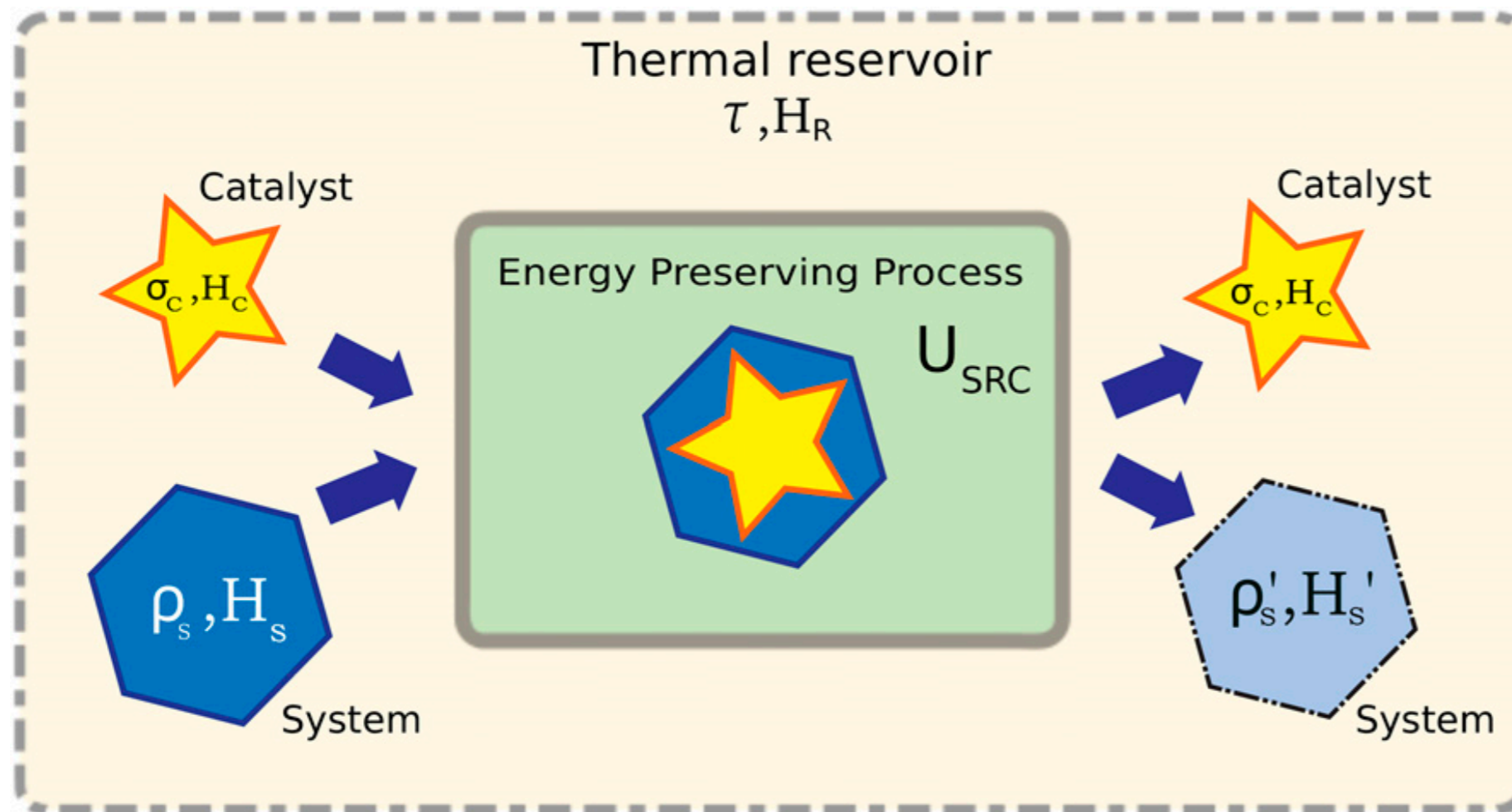
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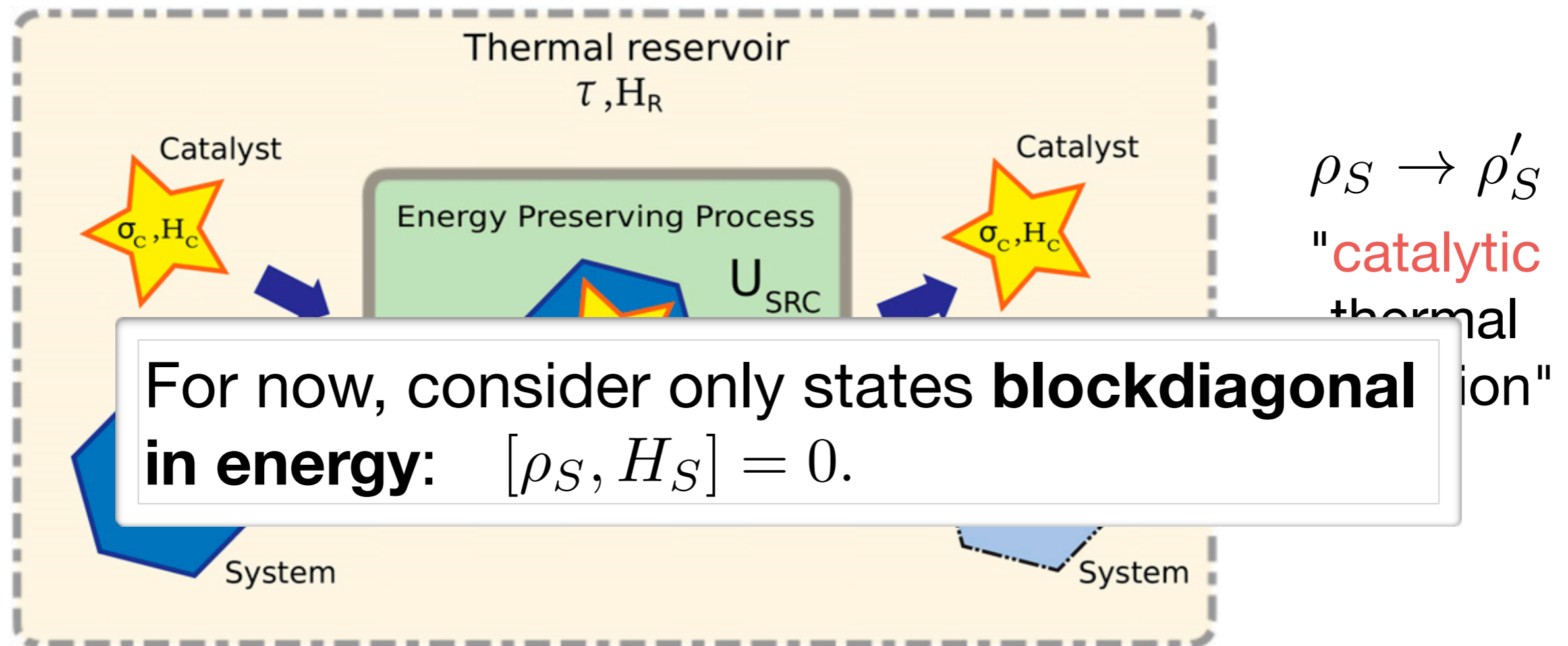
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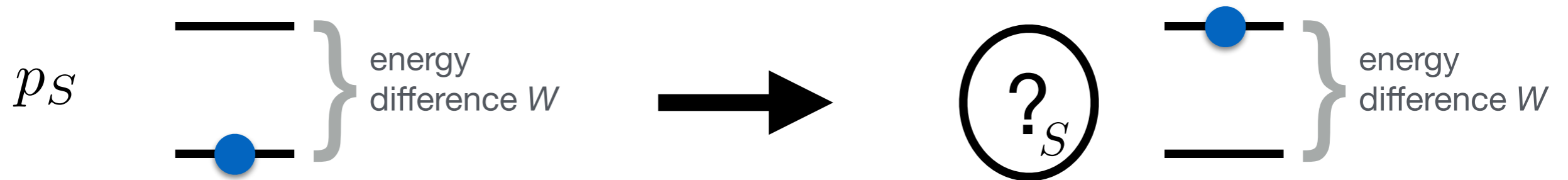


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Work extraction: what is the largest possible W such that



by a **catalytic thermal operation**?

Work extraction and work cost

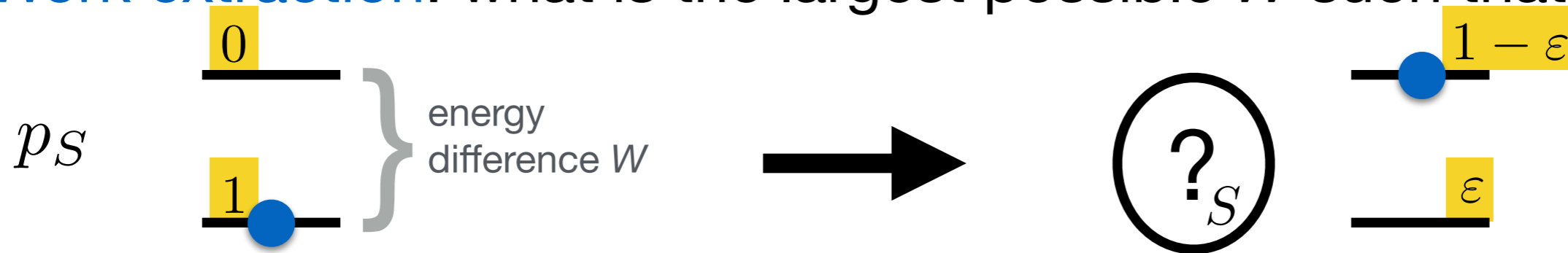
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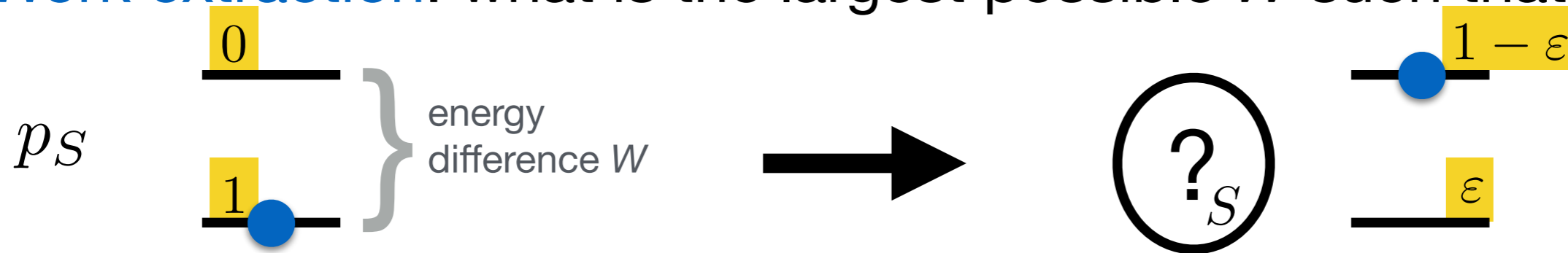
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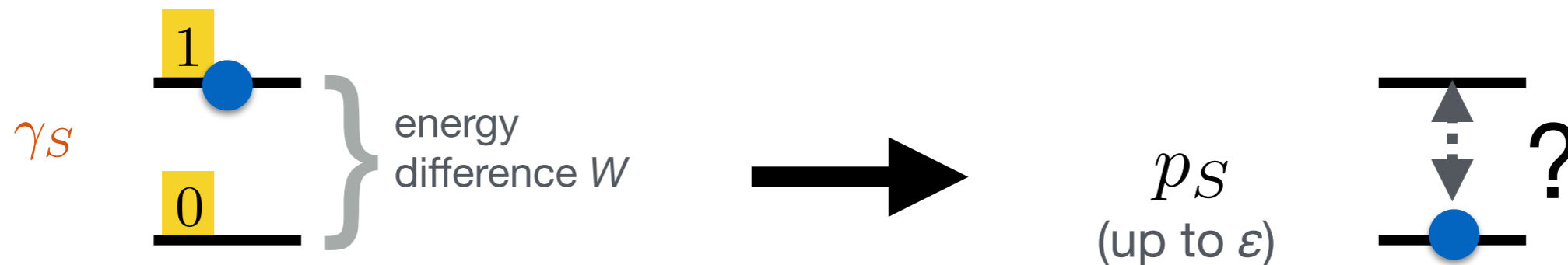
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Work cost: what is the smallest possible W such that



Work extraction and work cost

Theorem: The extractable work and work cost are

$$W_{\text{extr}} = k_B T \left(F_0^\varepsilon(p_S) - F(\gamma_S) \right),$$

$$W_{\text{cost}} = k_B T \left(F_\infty^\varepsilon(p_S) - F(\gamma_S) \right),$$

where F_α is the Rényi α -free energy:

$$F_\alpha(p_S) = k_B T \left(\frac{\text{sgn } \alpha}{\alpha - 1} \log \sum_i p_i^\alpha \exp \left(\frac{-E_i(1 - \alpha)}{k_B T} \right) \right) - k_B T \log Z,$$

and $F_1(p_S) = F(p_S) = \langle E \rangle - k_B T S(p_S)$

is the "standard" free energy.

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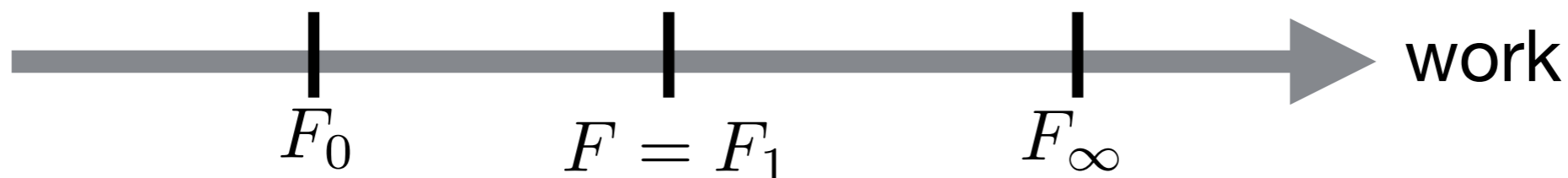
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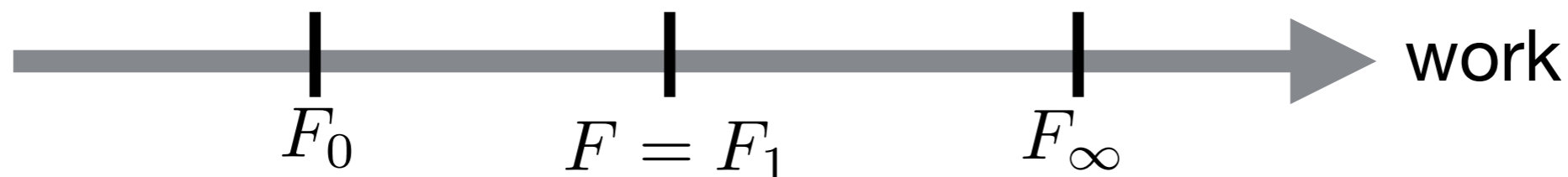
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Fundamental thermodynamical irreversibility !

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But: in the thermodynamic limit, n independent copies of p_S

$$\lim_{n \rightarrow \infty} \frac{1}{n} F_\alpha^\varepsilon(p_S^{\otimes n}) = F(p_S).$$



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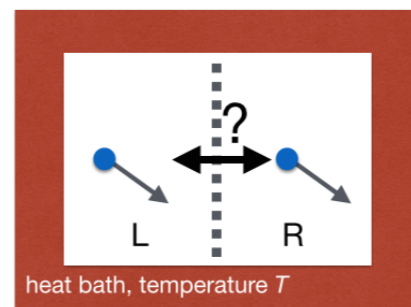
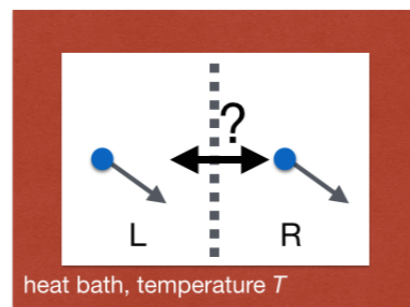
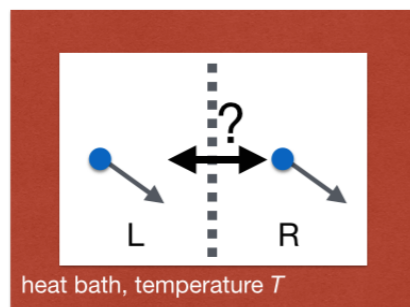
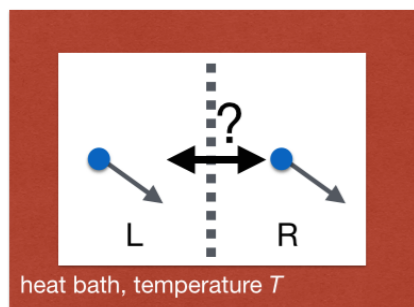
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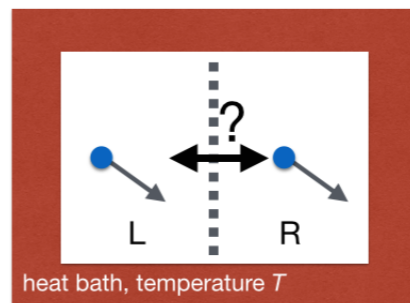
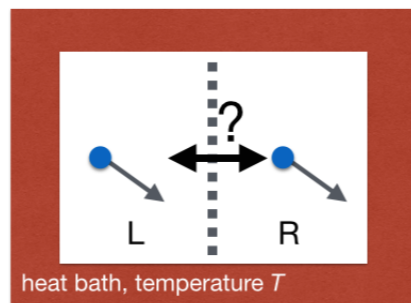
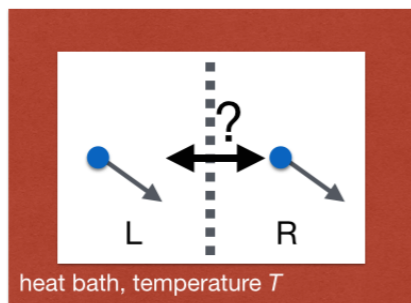
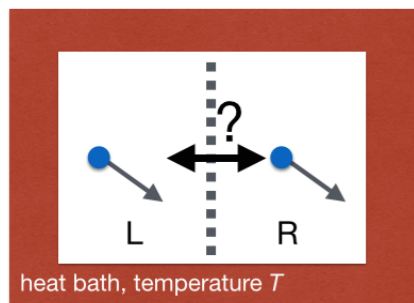
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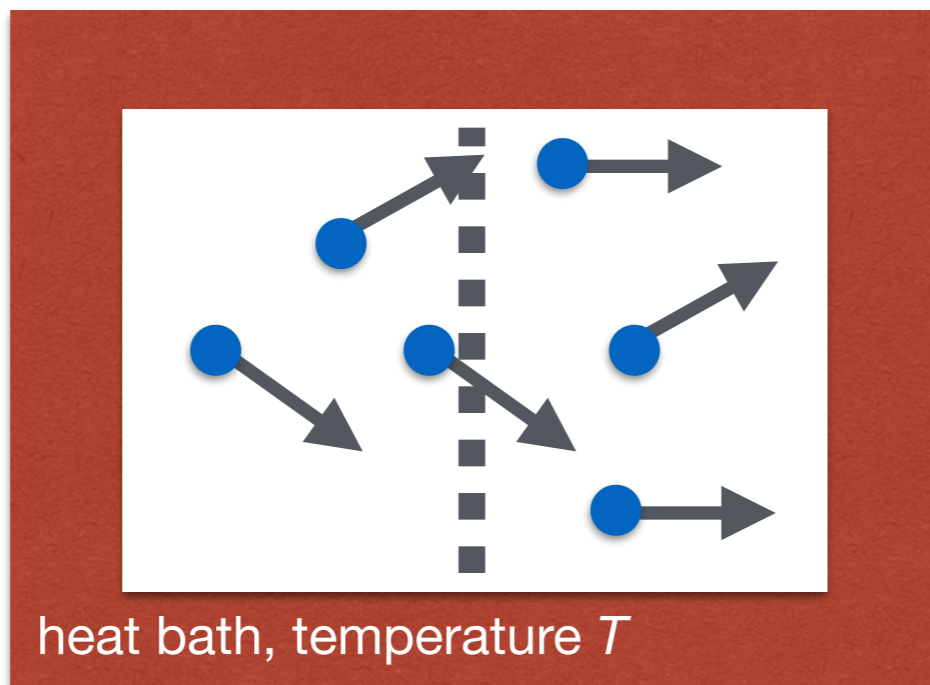
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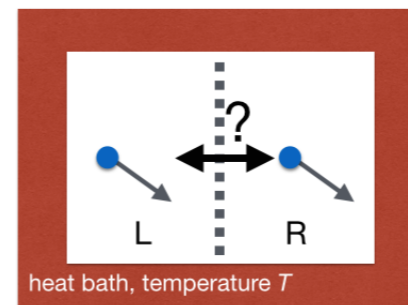
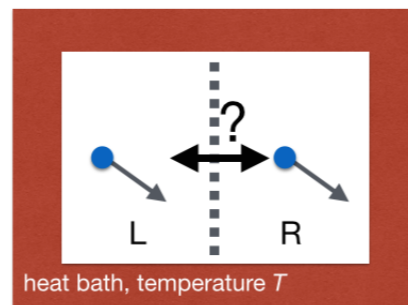
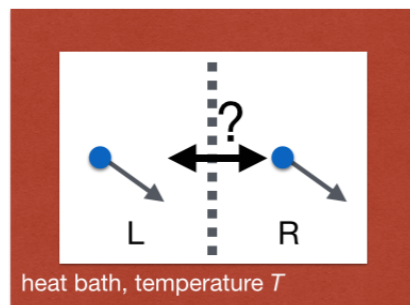
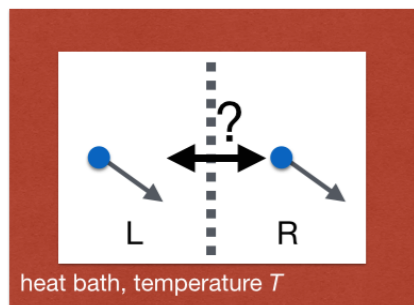


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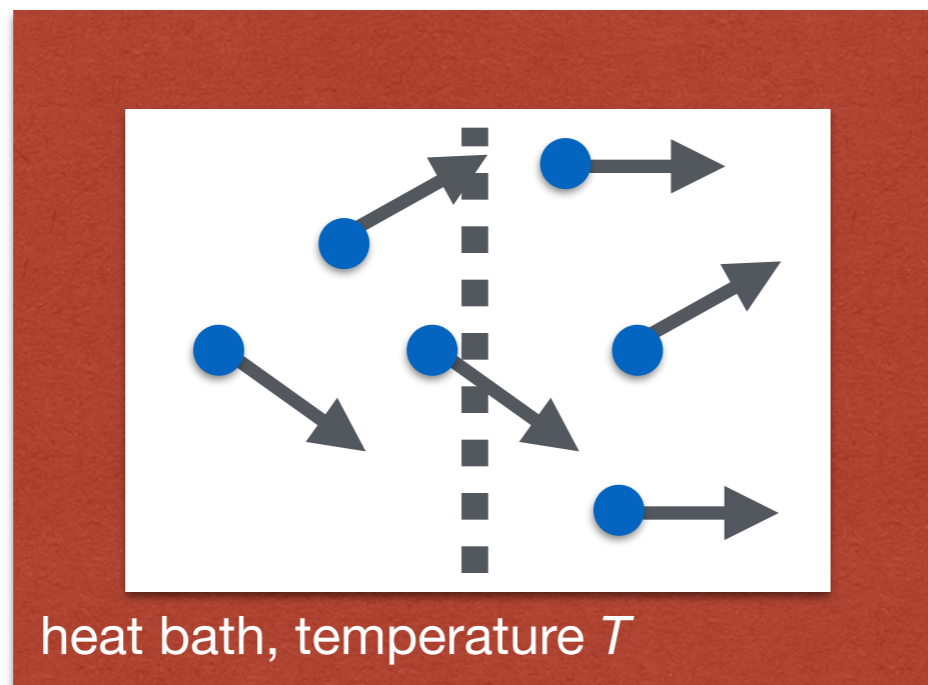
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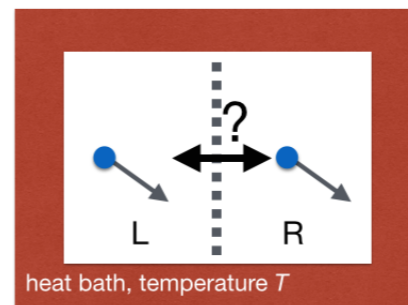
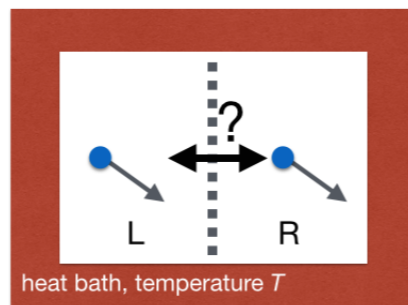
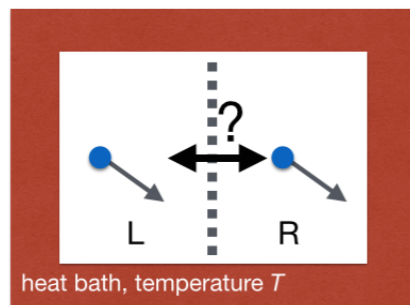
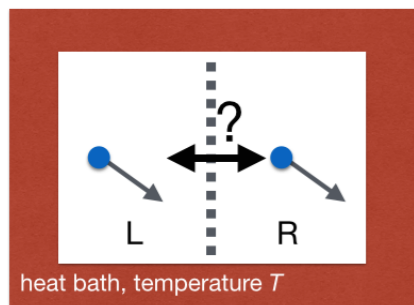
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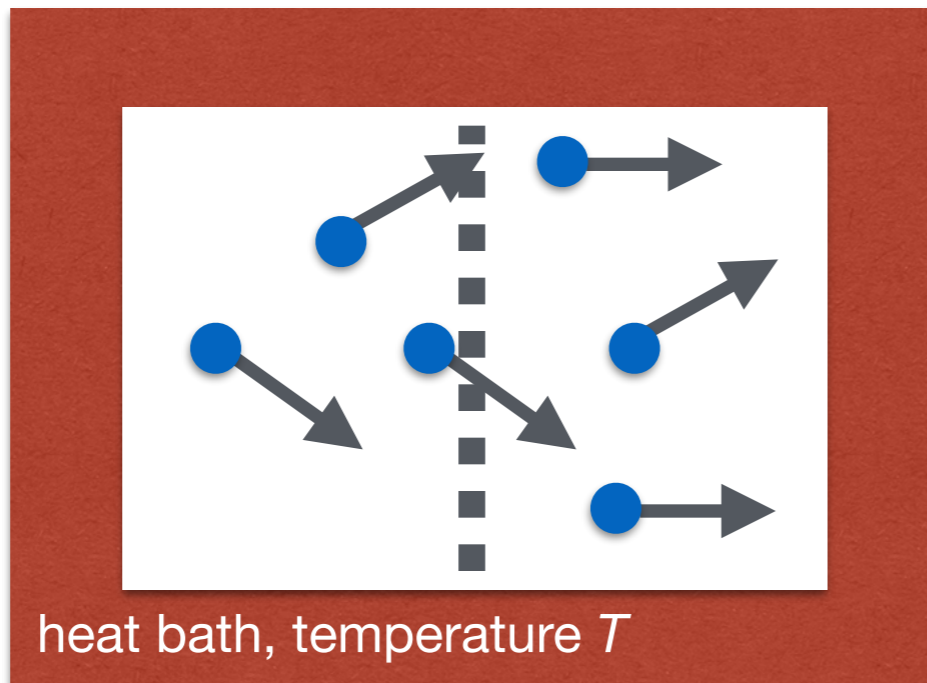
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**thermodynamical
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Theorem: A transition $p_S \rightarrow p'_S$ is possible by catalytic thermal operations if and only if

$$F_\alpha(p_S) \geq F_\alpha(p'_S) \text{ for all } \alpha \geq 0.$$

All α -free energies must go down!

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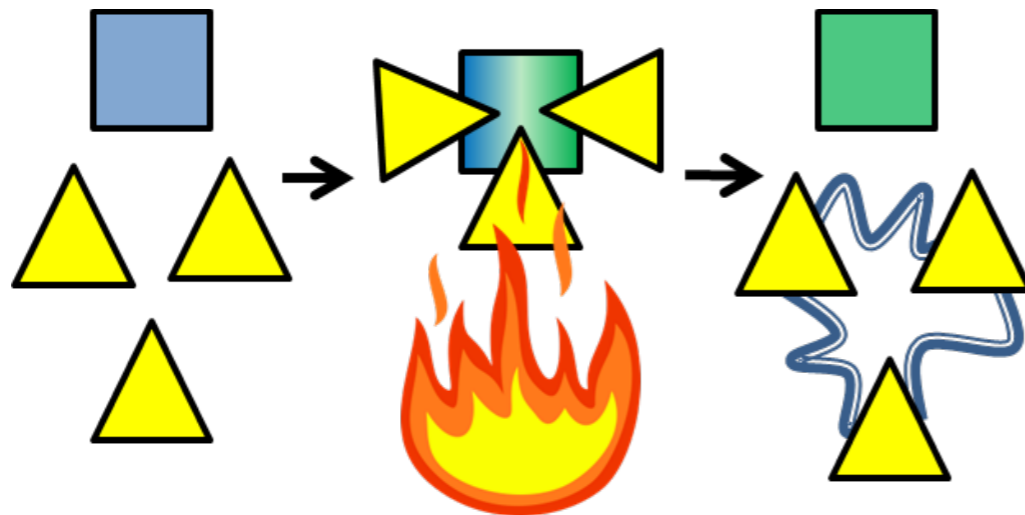
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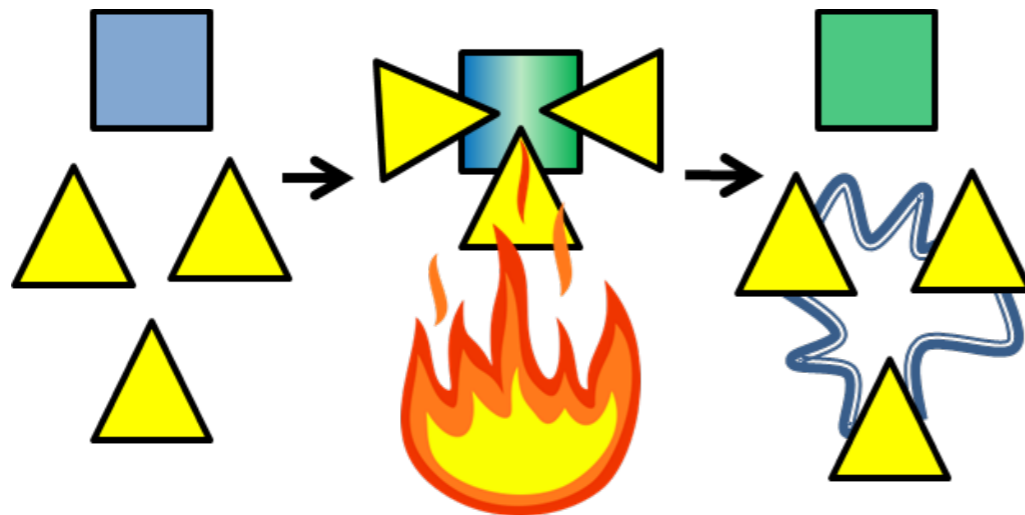
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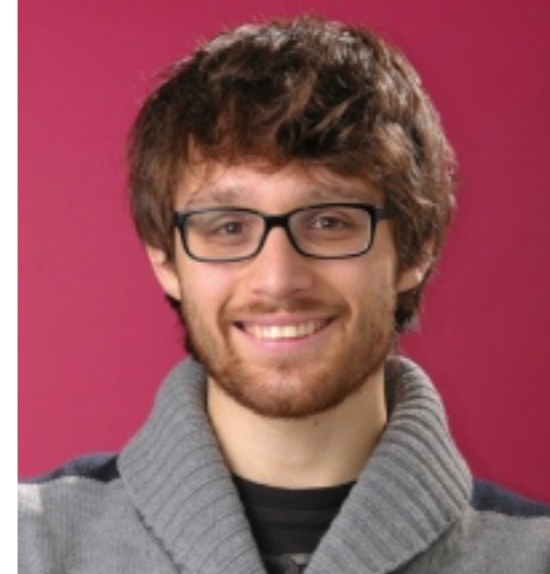


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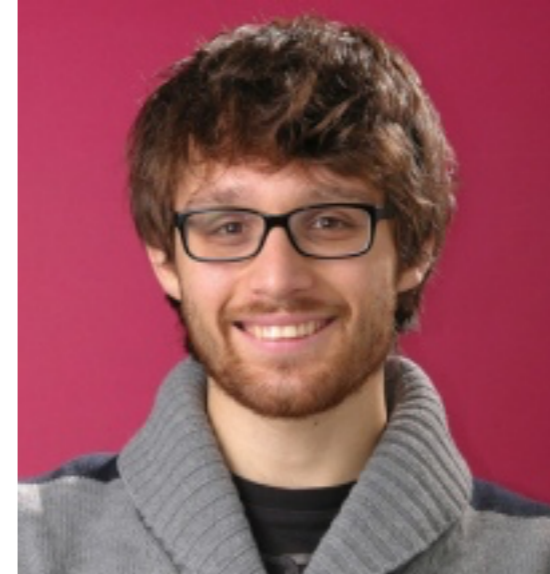
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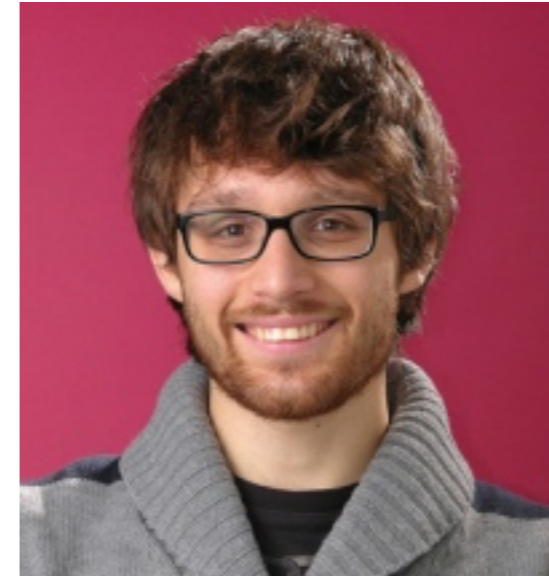
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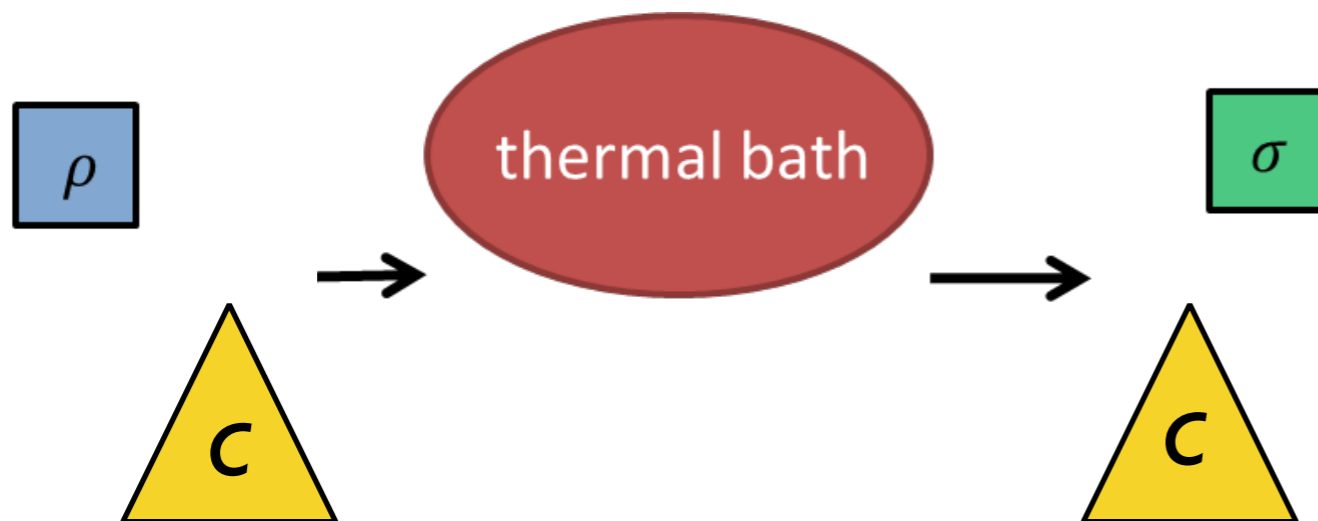


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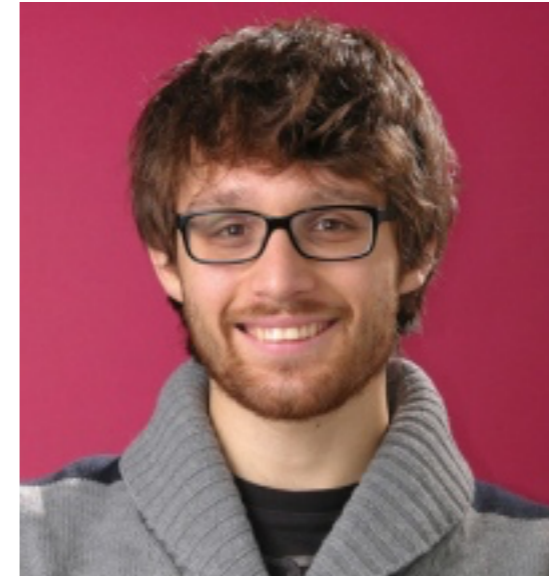
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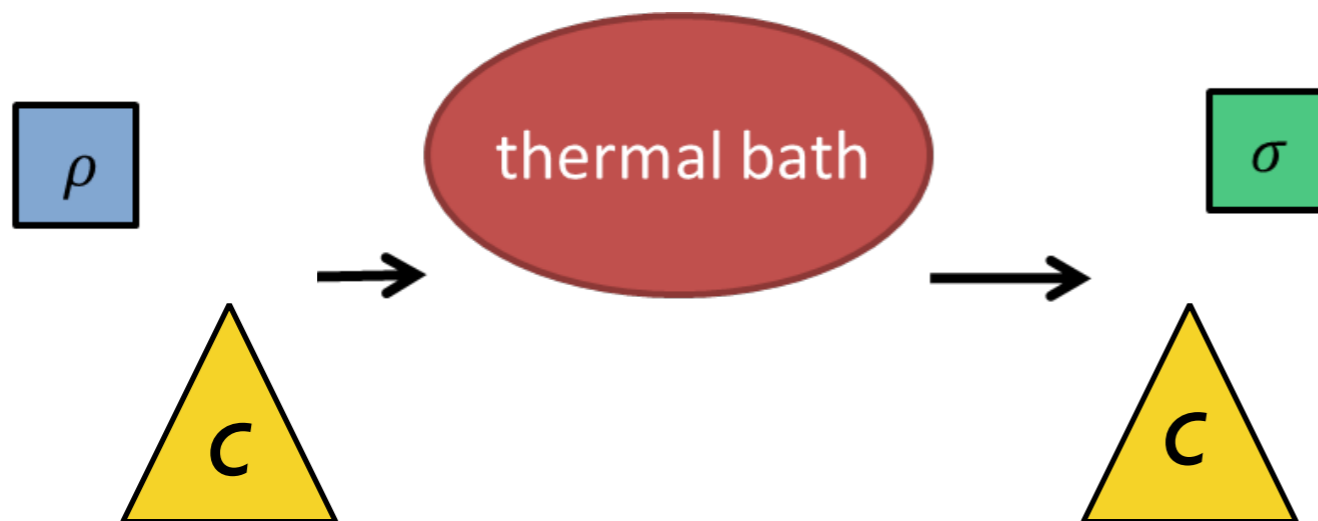


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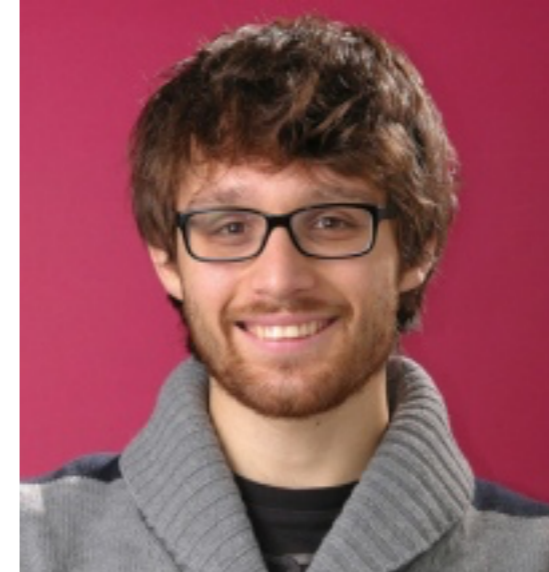


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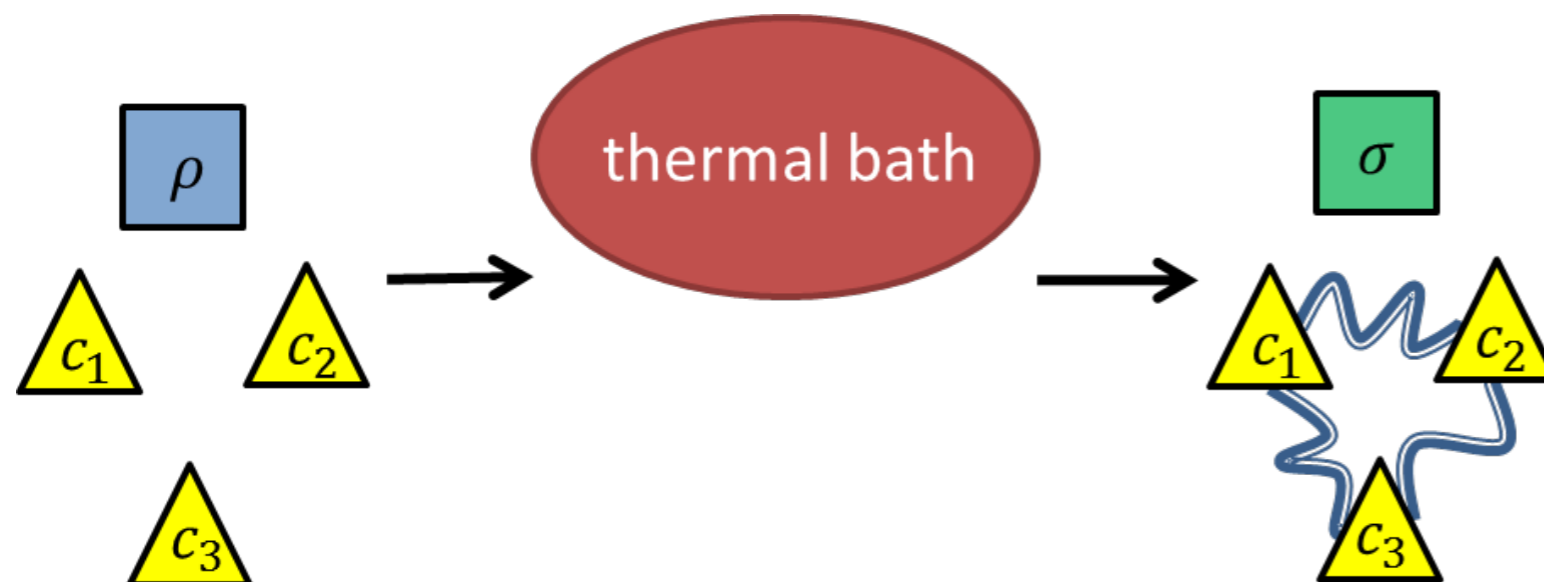


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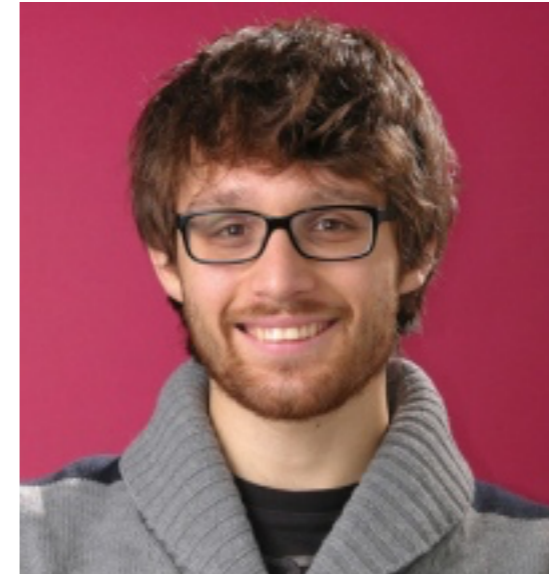


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 $F(\text{in}) \geq F(\text{out}).$



Stochastic independence: useless in standard thermo

M. Lostaglio, **MM**, and M. Pastena, Phys. Rev. Lett. **115**, 150402 (2015); arXiv:1409.3258

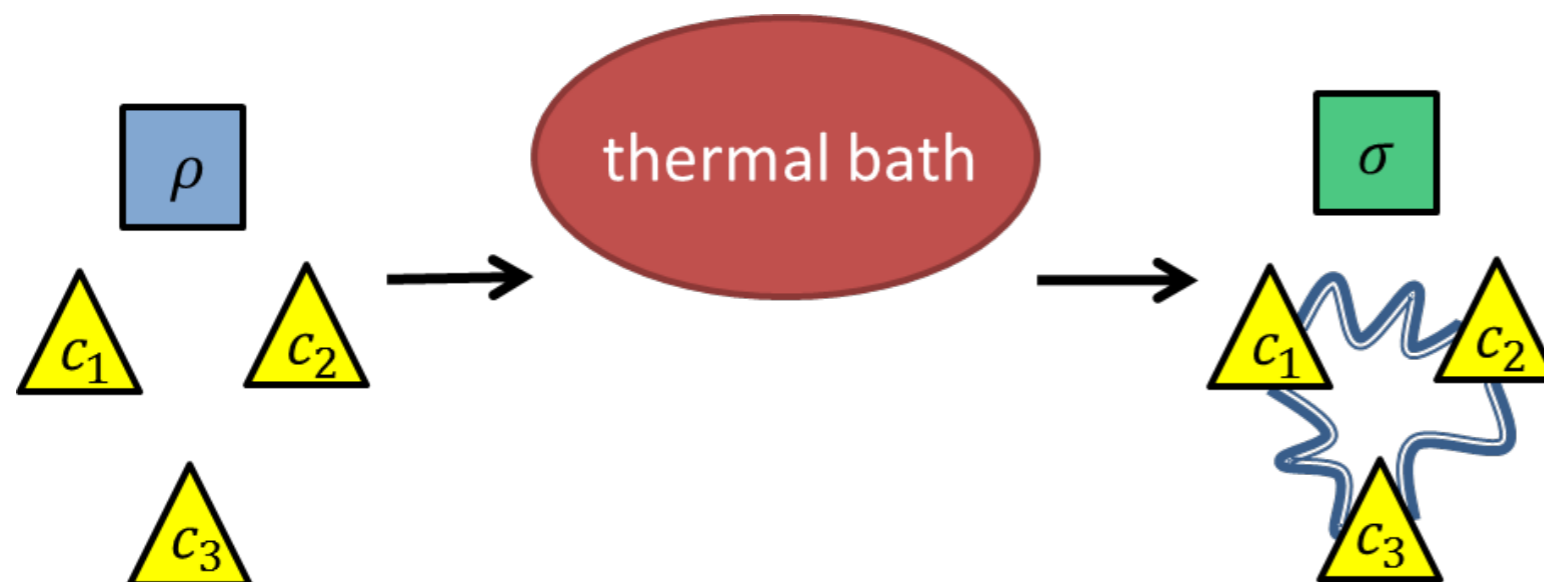


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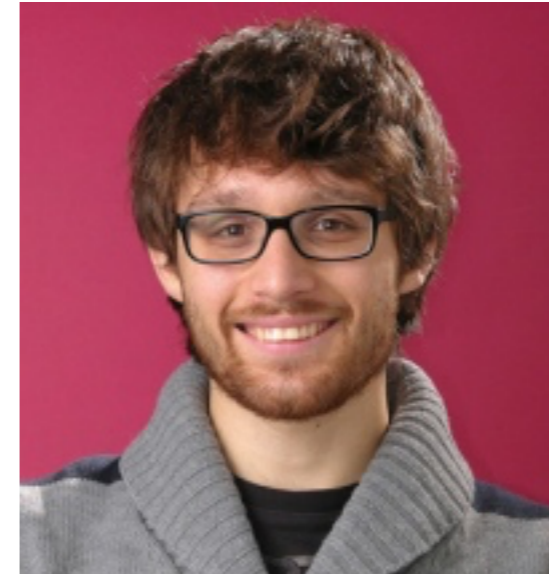
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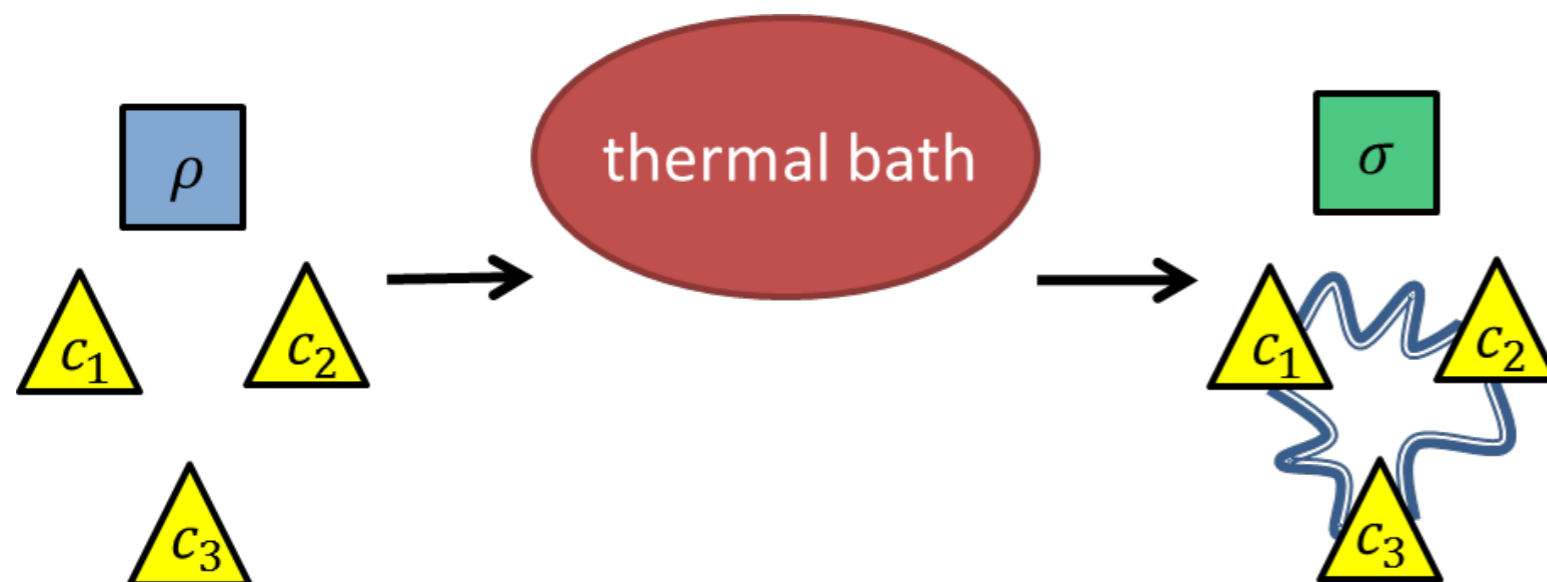


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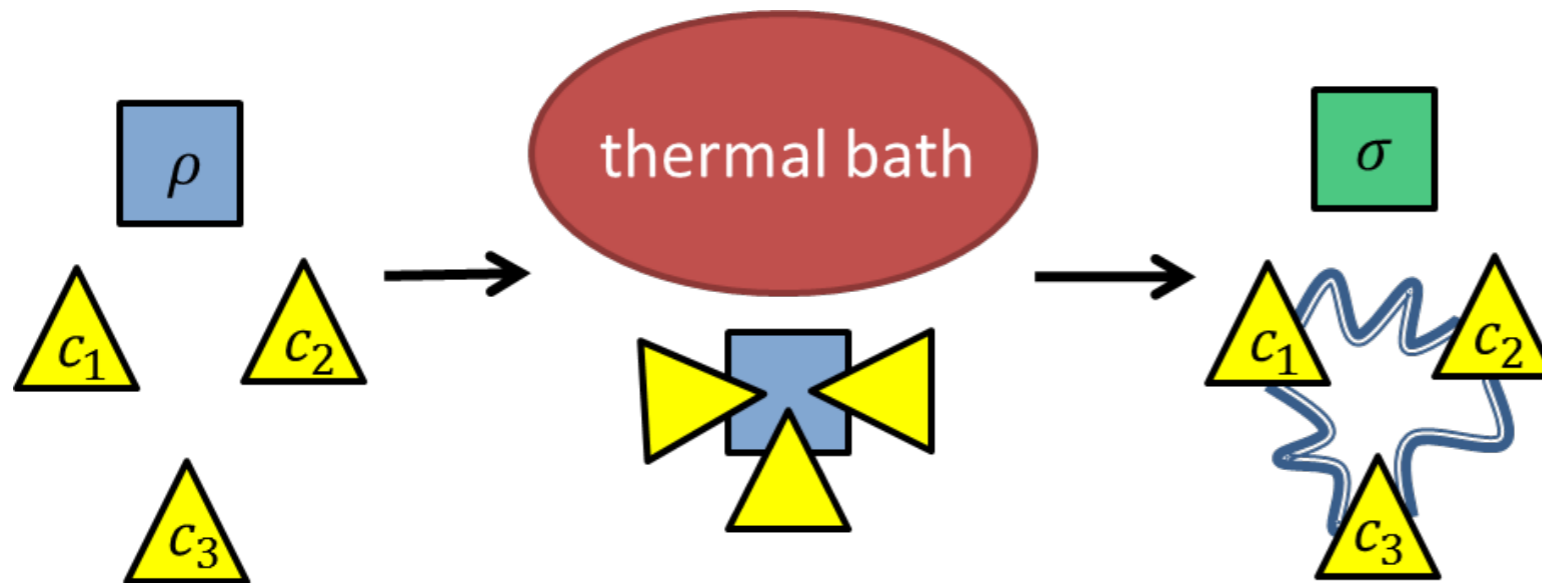
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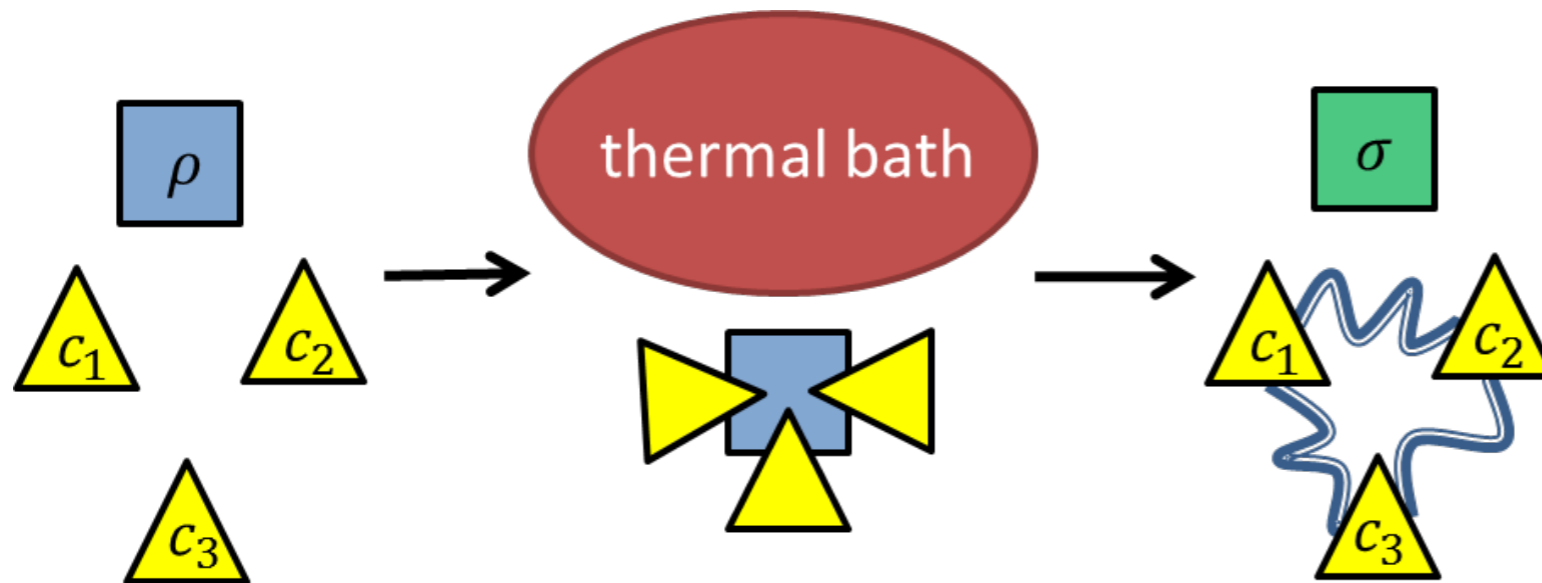
Stochastic independence: useful in small-scale thermo



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Theorem 1.—Consider a system with Hamiltonian \mathcal{H}_S and states ρ and σ block diagonal in energy. The three following statements are equivalent.

1. There exists a thermodynamic process transforming ρ into a state σ_ϵ arbitrarily close to σ , by creating correlations among auxiliary systems, but without changing their local states:

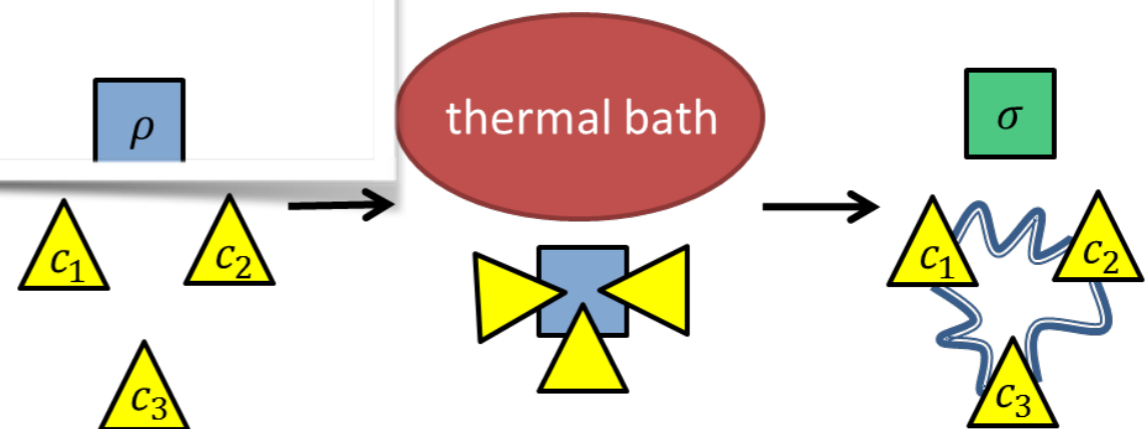
$$\rho \otimes c_1 \otimes \cdots \otimes c_N \rightarrow \sigma_\epsilon \otimes c_{1,\dots,N}. \quad (6)$$

One can always choose $N \leq 3$ and trivial Hamiltonians for the auxiliary systems.

2. There exists c_1, \dots, c_N and $c_{1,\dots,N}$ such that anomalous α -entropy production ensures that all $\{F_\alpha\}$ constraints are satisfied in Eq. (6).

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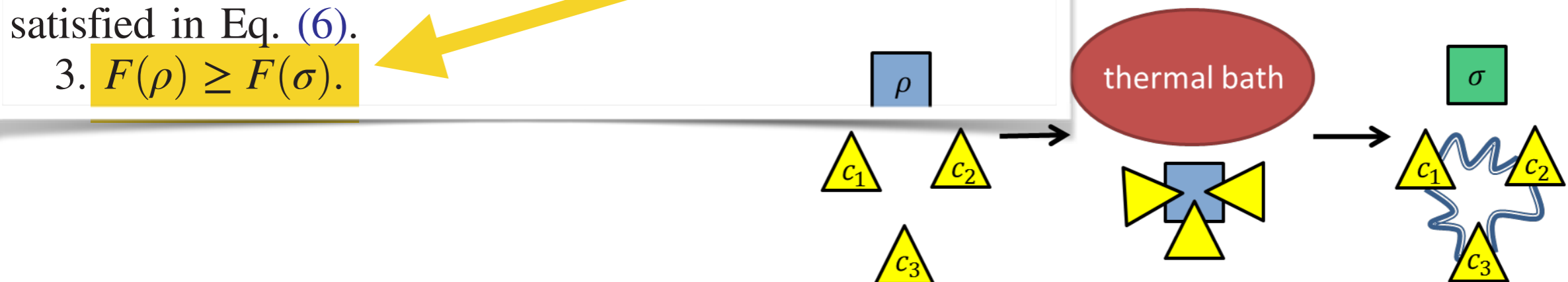
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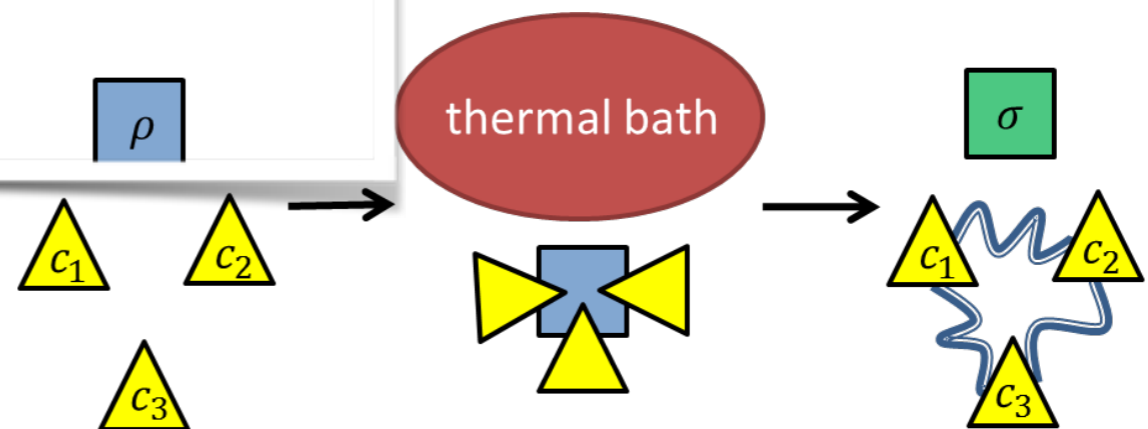
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Total correlation

$$\sum_i H(c_i) - H(c_{1,\dots,N})$$

can be made arbitrarily small.



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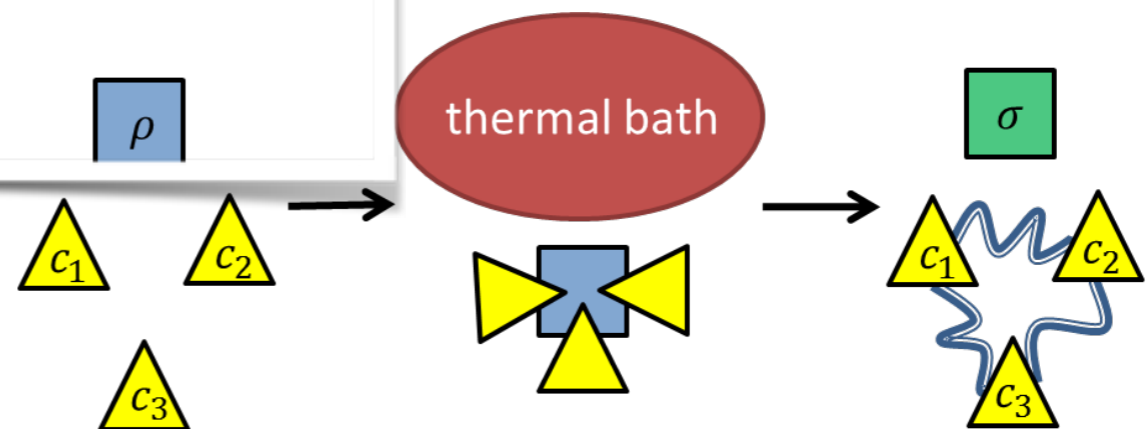
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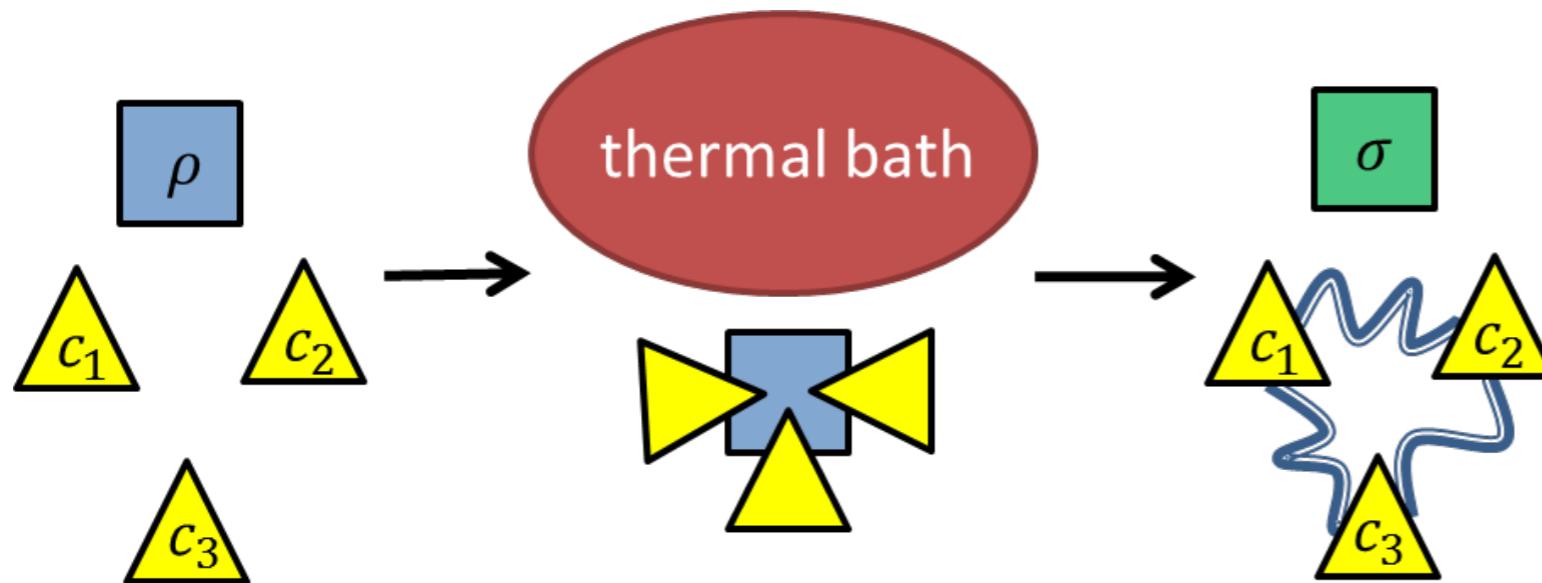
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Does nature "really do that"?
Biology?
Natural interactions?



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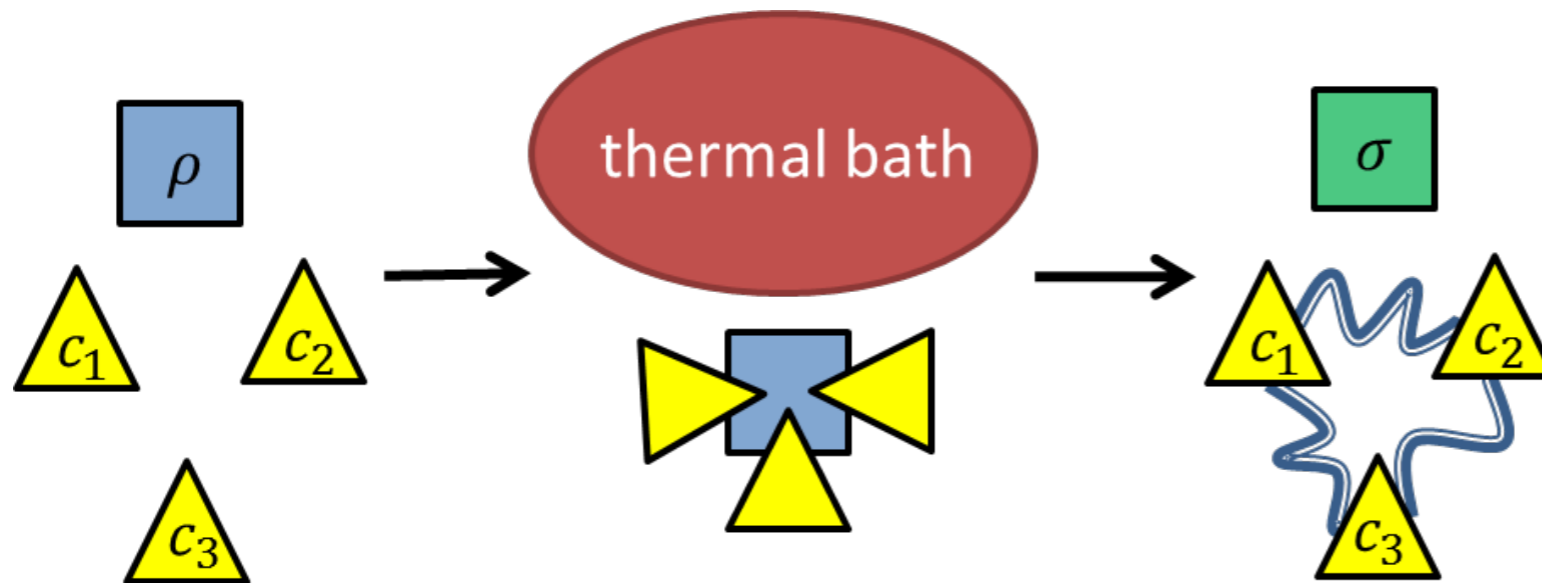
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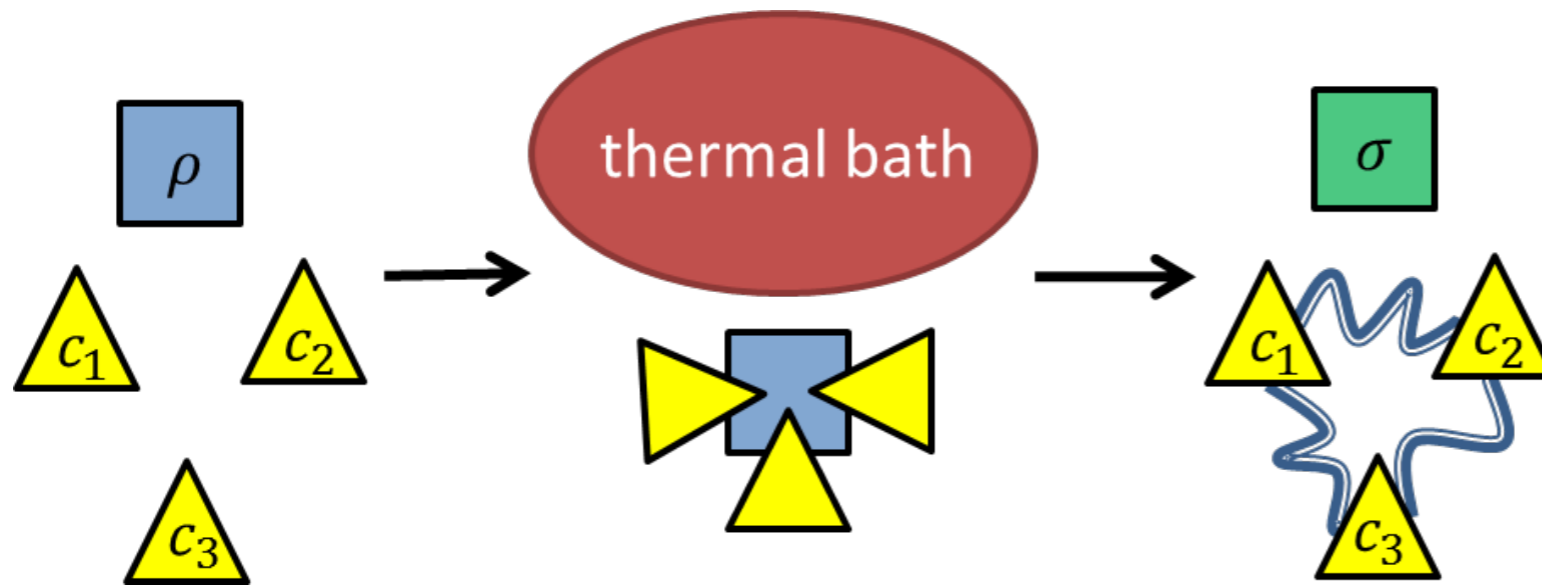
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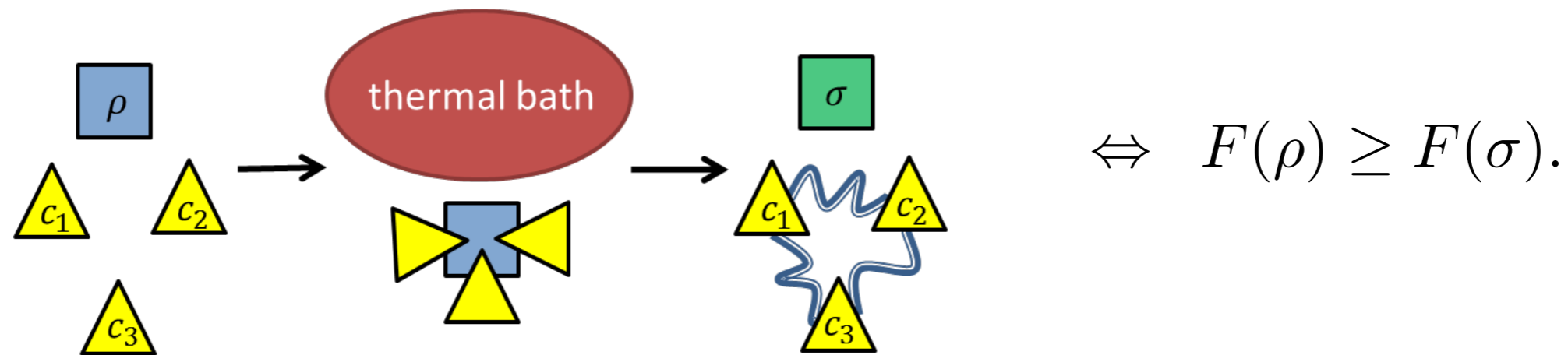
In contrast, **no fluctuation-free work extraction at all** is possible in the standard setting for full-rank quantum states ρ .

3. Conclusions

- Small-scale thermo: governed by ∞ many "second laws":

$$F_\alpha(\rho) \geq F_\alpha(\sigma) \forall \alpha \Leftrightarrow \rho \rightarrow \sigma.$$

- By building up correlations, these can be overcome.



- Allows **fluctuation-free** extraction of work ΔF .
"Fluctuations are dumped into the environment as correlations."

M. Lostaglio, **MM**, and M. Pastena, Phys. Rev. Lett. **115**, 150402 (2015); arXiv:1409.3258.

Mathematical background:

MM and M. Pastena, *A generalization of majorization that characterizes Shannon entropy*, arXiv:1507.06900.