A Hamiltonian for the zeros of the Riemann zeta function

Markus P. Müller

Departments of Applied Mathematics and Philosophy, UWO Perimeter Institute for Theoretical Physics, Waterloo

Joint work with Carl Bender and Dorje Brody



alternative title: How interesting but trivial results get terribly overhyped

Physicists make major breakthrough towar of Riemann hypothesis

By Sarah Cox - Senior Media Relations Officer 24 Mar 2017







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 $\sum_{n=1}^{-\frac{1}{2}} \frac{1}{n} = -2\log 2$

3. Combining both: the "Riemann operator"

 $R = \hat{X}\hat{p} + \hat{p}\hat{X}$

Outline

For $\operatorname{Re}(s) > 1$, the Riemann zeta function is defined as

$$\zeta(s) := \sum_{n=1}^{\infty} \frac{1}{n^s}.$$

1. The Riemann hypothesis

A Hamiltonian for the zeros of the Riemann zeta function



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Functional equation $\zeta(s) = 2^s \pi^{s-1} \sin\left(\frac{\pi s}{2}\right) \Gamma(1-s) \zeta(1-s).$

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Source: en.wikipedia.org

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• Why is it important?

If true, then many consequences for the distribution of prime numbers. For example,

$$|\pi(x) - \operatorname{Li}(x)| < \frac{1}{8\pi} \sqrt{x} \log x \quad \text{for all } x \ge 2657,$$

where $\pi(x) = \# \text{ of primes } \le x,$
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Evidence

Numerically, true for first 10^{13} zeros.

Conrey 1989: at least 2/5 of all zeros lie on the critical line.

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Hilbert-Pólya conjecture (early 20th century):

Are the Riemann zeros $s_n = \frac{1}{2} + i E_n$

with E_n the eigenvalues of an unbounded selfadjoint operator?

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Proof idea: Find an operator $H = H^{\dagger}$ that has eigenvalues $i(2s_n - 1)$ with s_n the non-trivial Riemann zeros. Then the Riemann hypothesis follows.

1. The Riemann hypothesis

Montgomery ~1973: spacing statistics of the Riemann zeros corresponds to that of GUE random matrices

 self-adjoint matrices with Gaussian entries

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 self-adjoint matrices with Gaussian entries

numerics by Odlyzko, 1987: normalized distribution of spacings.

blue=first 10⁵ Riemann zeros,

black=eigenvalues of random GUE matrices.

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Evidence: hand-waving arguments about supposed physical properties of that operator, based on analytic/numerical results about the Riemann zeta function.

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Simplest quantization: $H = \hat{x}\hat{p} + \hat{p}\hat{x}$.

 $\hat{x}f(x) = x \cdot f(x), \qquad \hat{p}f(x) = -i\partial_x f(x).$

position operator momentum operator

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2. How to add a non-integer number of terms $\sum_{n=1}^{-\frac{1}{2}} \frac{1}{n} = -2\log 2$

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2. Fractional sums

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My home town as a teenager...

Morsbrunn 150 cows, 90 people, 3 dunghills, divided by a big moat -> boring -> fractional sums

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Idea: Whatever

$$r \sum_{n=1}^{-1/2} \frac{1}{n} \text{ is, it should still respect } \sum_{a}^{b} + \sum_{b+1}^{c} = \sum_{a}^{c} \frac{1}{a}$$

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$$\sum_{n=1}^{x} \frac{1}{n} + \sum_{n=x+1}^{x+N} \frac{1}{n} = \sum_{n=1}^{N} \frac{1}{n} + \sum_{n=N+1}^{N+x} \frac{1}{n}.$$

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General theory

2. Fractional sums

A Hamiltonian for the zeros of the Riemann zeta function

$$\sum_{n=1}^{x} 1 = x, \quad \sum_{n=1}^{x} n = \frac{x(x+1)}{2}, \quad \sum_{n=1}^{x} n^2 = \frac{x(x+1)(2x+1)}{6}, \dots$$

and postulate that they hold for all $x \in \mathbb{C}$.

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$$N \to \infty \qquad \sum_{n=1}^{x} f(n) + \sum_{n=x+1}^{x+N} f(n) = \sum_{n=1}^{N} f(n) + \sum_{n=N+1}^{N+x} f(n) \\ \approx \sum_{n=N+1}^{N+x} p_N(n)$$

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This works if f is asymptotically polynomial:
there exists a sequence of polynomials $(p_n)_{n\in\mathbb{N}}$ of fixed degree σ such that for every $x \in U$,

$$f(n+x) - p_n(n+x) \longrightarrow 0 \text{ as } n \to +\infty.$$

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$$|f(n+x) - p_n(n+x)| \longrightarrow 0 \text{ as } n \to +\infty.$$

E.g. \sqrt{x} and $\log x$ are asymptotically constant.

2. Fractional sums

Some consequences

$$\prod_{n=1}^{x} n = x! = \Gamma(x+1).$$

$$\sum_{n=x}^{-x} \frac{1}{n} = \pi \cot(\pi x)$$

$$x \in \mathbb{C}$$

$$\sum_{n=1}^{x} q^n = \frac{q^{x+1} - 1}{q - 1} \quad (|q| < 1)$$

$$\sum_{n=1}^{-1/2} n^a = (2 - 2^{-a})\zeta(-a)$$

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$$\sum_{n=0}^{c} \binom{c}{n} x^{n} = (1+x)^{c} \quad (|x| < 1, c \in \mathbb{C} \setminus \{-1, -2, -3, \ldots\}).$$

$$\sum_{n=1}^{-1/2} (\log n) (\log n!) = \frac{\gamma^2}{4} + \frac{\gamma_1}{2} - \frac{\pi^2}{48} + \frac{\log^2 2}{2} - \frac{\log^2 \pi}{8}.$$

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MM and Dierk Schleicher, American Math. Monthly 118 (2011).



2. Fractional sums

On the space of asymptotically polynomial functions, we get an operator Σ with

$$(\Sigma f)(x) := \sum_{n=1}^{x} f(n)$$

The difference operator $(\Delta f)(x) := f(x) - f(x-1)$ is an inverse:

$$\Delta \Sigma = \mathbf{1}, \qquad \Sigma \Delta f(x) = f(x) - f(0)$$

2. Fractional sums



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$$\hat{X} := \Sigma \hat{x} \Delta$$
, such that $\hat{X} \sum_{n=1}^{x} f(n) = \sum_{n=1}^{x} n \cdot f(n)$.

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$$\Delta Rf(x) = \lambda \Delta f(x) \Rightarrow (\hat{x}\hat{p} + \hat{p}\hat{x})\Delta f(x) = \lambda \Delta f(x) \Rightarrow \Delta f(x) \sim x^{-s}.$$

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The "Riemann operator"

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The "Riemann operator"

$$\begin{split} R &:= \hat{X}\hat{p} + \hat{p}\hat{X}, & \text{eigenfunctions satisfy} \quad \Delta f(x) = x^{-s}. \\ &\Rightarrow f(x) = \sum_{n=1}^{x} n^{-s} + C. \end{split}$$

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Using

$$\frac{\partial}{\partial x}\sum_{n=1}^{x}n^{z} = -z\zeta(1-z) + z\sum_{n=1}^{x}n^{z-1}$$

$$Rf_s(x) = i(2s - 1)f_s(x) + i(1 - s)\zeta(s).$$

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Grow sublinearly for non-trivial zeros, at least quadratically for trivial.

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Grow sublinearly for non-trivial zeros, at least quadratically for trivial.

→ restrict to subspace of sublinear functions. Then:

 $R = \hat{X}\hat{p} + \hat{p}\hat{X}$ with boundary condition f(0) = 0

on a space of "asymptotically constant" functions has eigenvalues

$$i(2s_n-1),$$

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R has exactly the form conjectured by Berry and Keating.

Don't know if there's an inner product such that $R = R^{\dagger}$.

3. Riemann operator

2015: visited Dorje Brody in London UK



Carl Bender



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Some handwaving but exciting physics ideas about *R*:

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Some handwaving but exciting physics ideas about *R*:

- Formally, it holds $\Delta = 1 e^{-i\hat{p}}$.
- Modifying the boundary condition, can write the operator as

$$R = \frac{1}{1 - e^{-i\hat{p}}} (\hat{x}\hat{p} + \hat{p}\hat{x}) (1 - e^{-i\hat{p}})$$

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• Relation of R to "PT-symmetric quantum mechanics"

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- Relation of *R* to "PT-symmetric quantum mechanics"
- Handwaving "self-orthogonality" argument why eigenvalues real.

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- Modifying the boundary condition, can write the operator as

$$R = \frac{1}{\mathbf{1} - e^{-i\hat{p}}} (\hat{x}\hat{p} + \hat{p}\hat{x}) (\mathbf{1} - e^{-i\hat{p}})$$
 Highly questionable...

- Relation of R to "PT-symmetric quantum mechanics"
- Handwaving "self-orthogonality" argument why eigenvalues real.

Markus P. Müller

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3. Riemann operator

A Hamiltonian for the zeros of the Riemann zeta function

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Thank you!

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