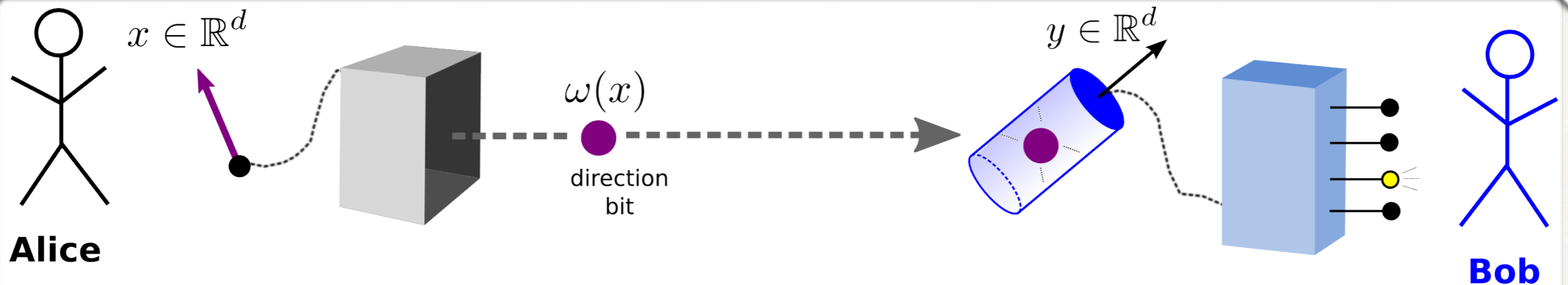


# Three-dimensionality of space and the quantum bit: an information-theoretic approach

Markus P. Müller

Perimeter Institute for Theoretical Physics, Waterloo (Canada)



Joint work with Lluís Masanes (ICFO Barcelona)

# Overview



geometry

vs.



probability



# Overview

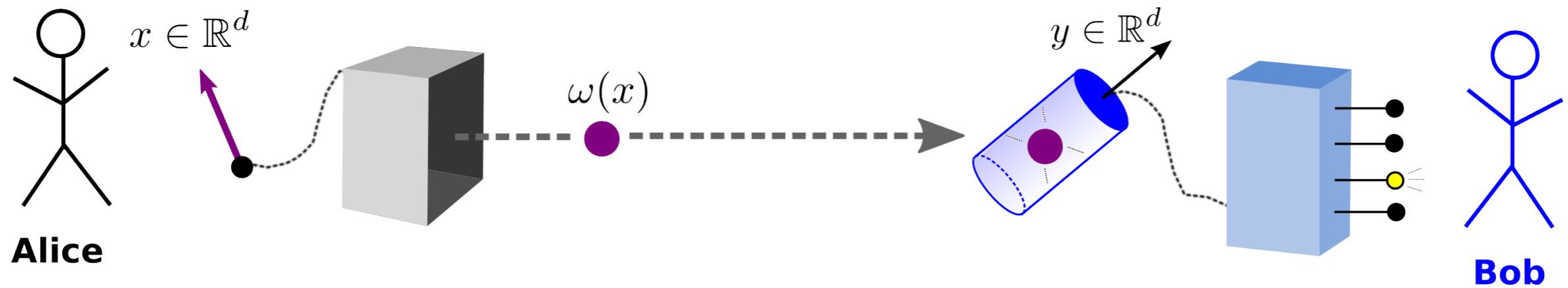


Geometry and probability are fundamentally intertwined.

# Overview

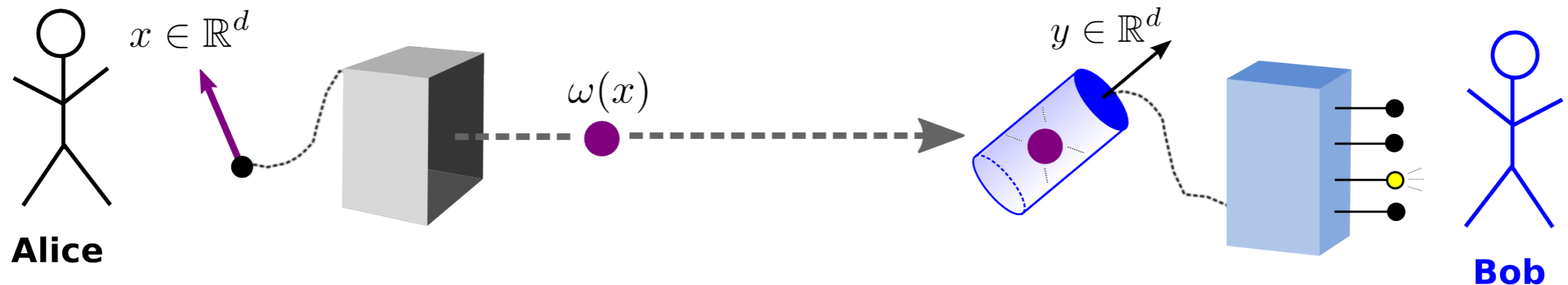
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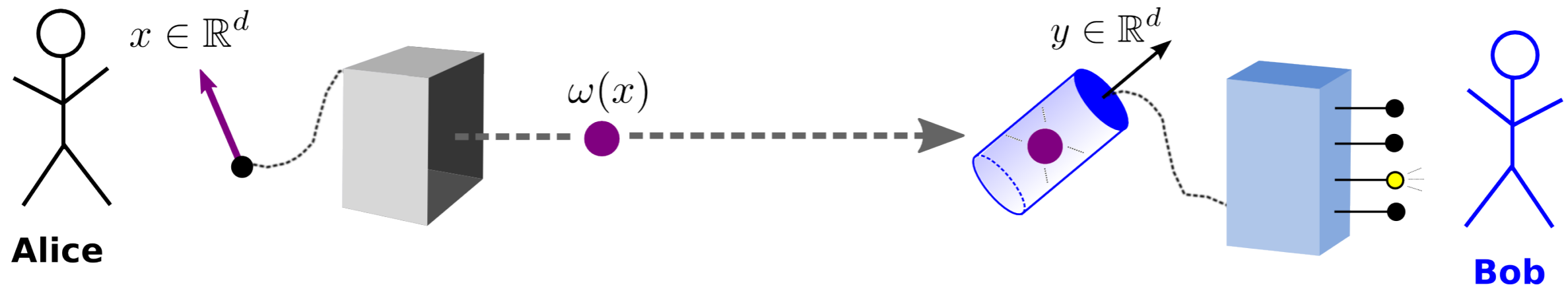


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**IF**

- Alice and Bob can accomplish this task
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**THEN**

- automatically  $d=3$  and
- quantum theory holds for information carriers  
(entanglement, unitary time evolution, complementarity, ...)

# Overview

1. Overview

2.

3. The task

4.

5.



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2. General-probabilistic state spaces

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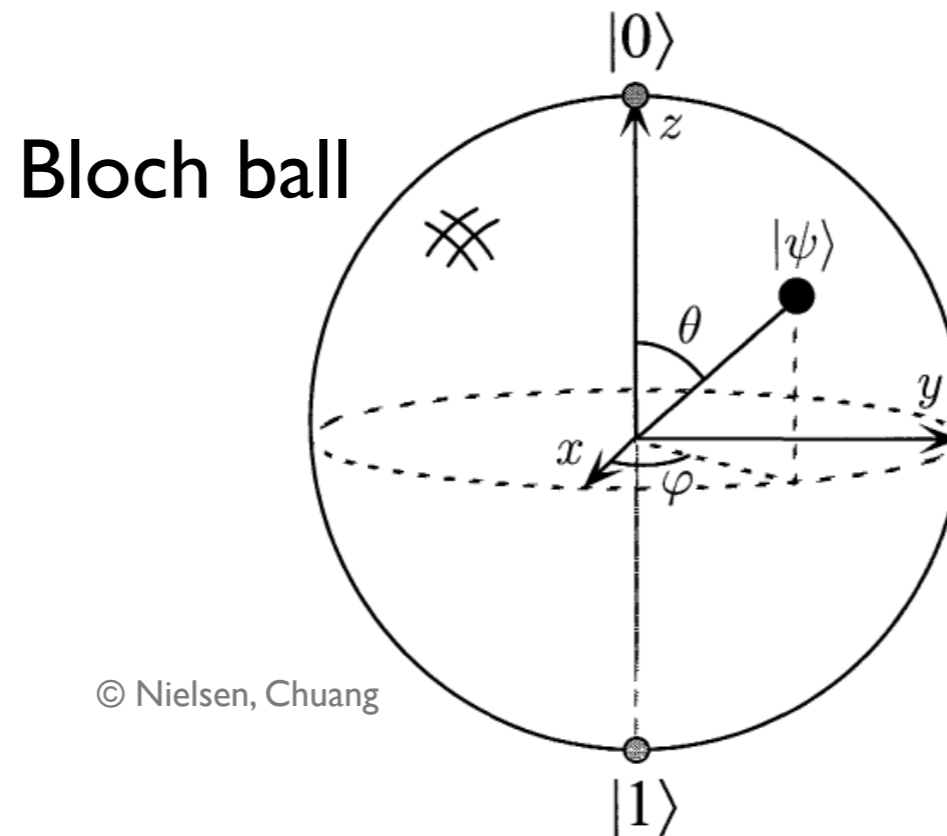
3. The task

4. Deriving  $d=3$  and quantum theory

5. Some speculation

# Overview

State space of quantum 2-level system is a **3D Euclidean ball**:



Same as space! Coincidence?

# Overview

Carl-Friedrich von Weizsäcker: theory of “ur alternatives“ (1955+)

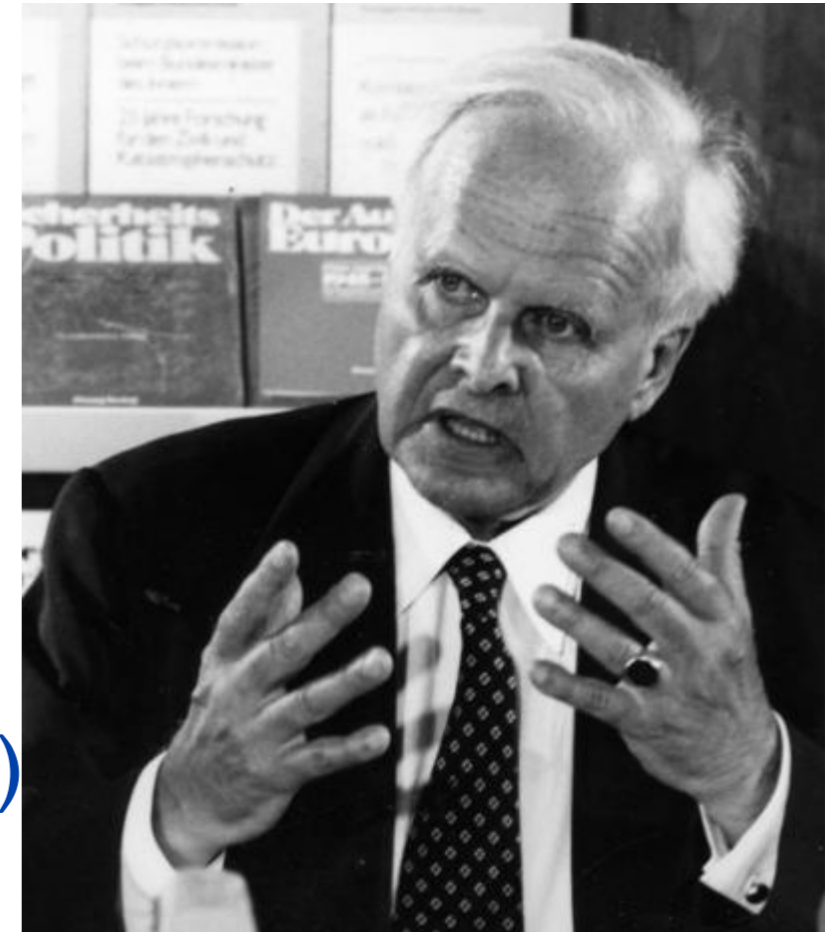
- “ur“ = (pure) qubit = quantum 2-level system
- everything is composed of (delocalized) urs
- symmetry group of ur

$$U(2) = SU(2) \otimes U(1) \sim S^3 \times S^1.$$

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time (replaced by  $\mathbb{R}^1$ )



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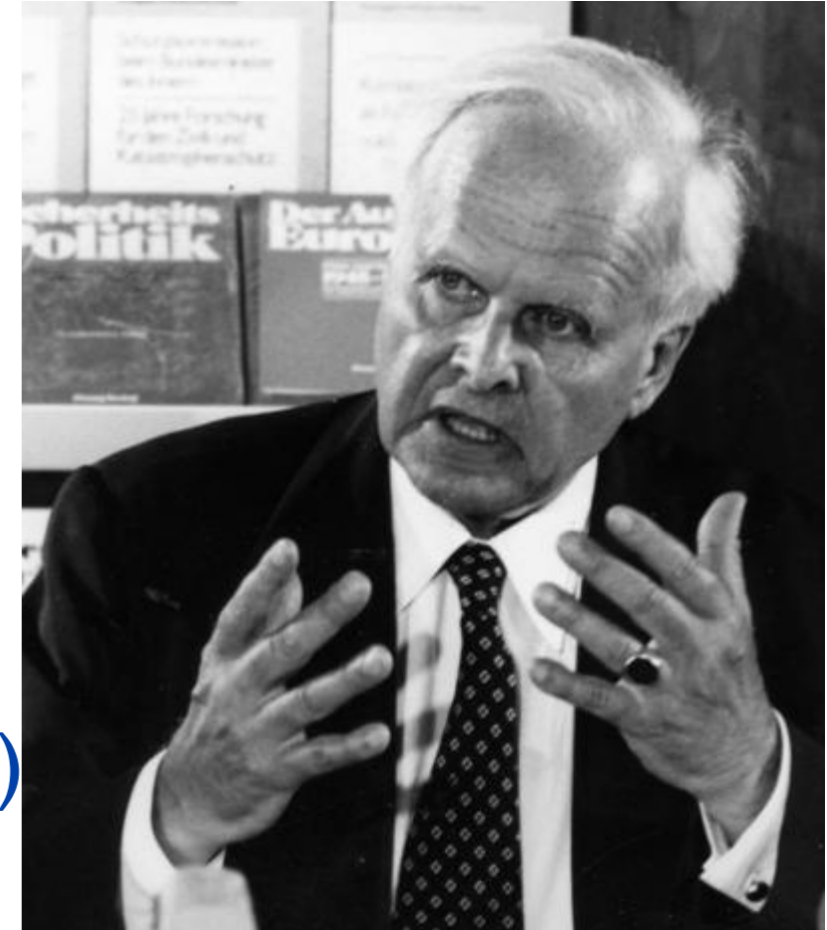
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**Vague.** What does this mean?

How is decomposition into *delocalized* urs chosen?

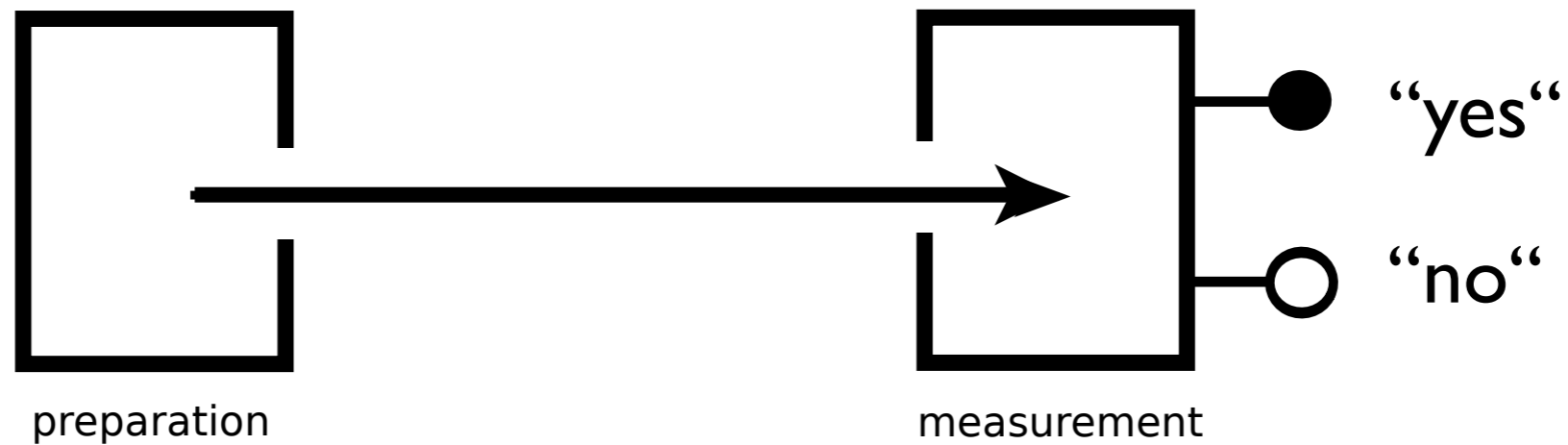
Why bits, not trits?

Mathematically not rigorous, conceptually unclear.



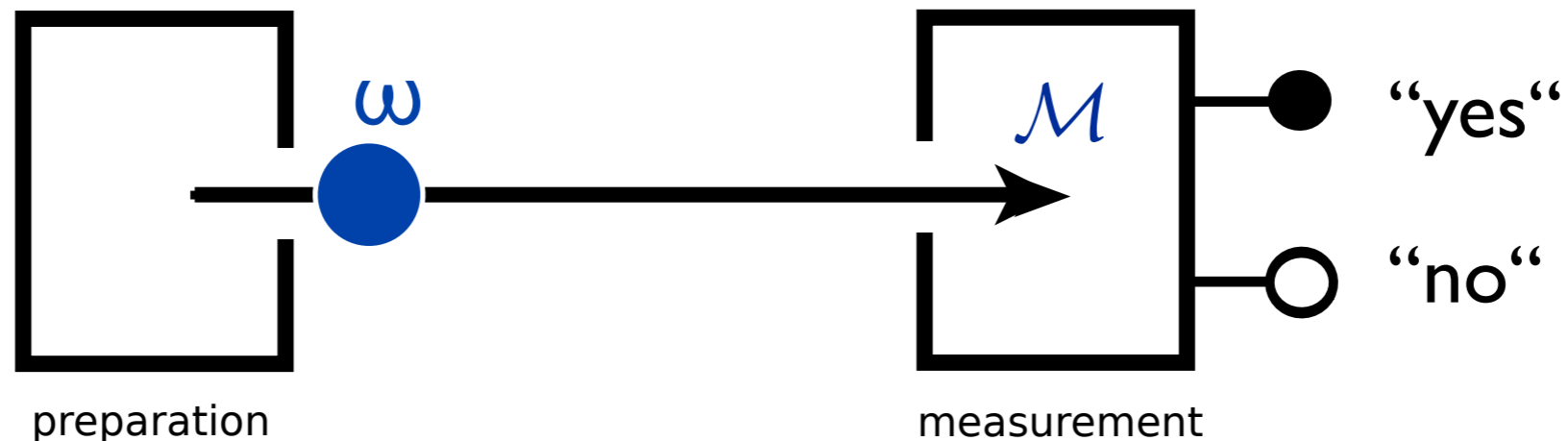
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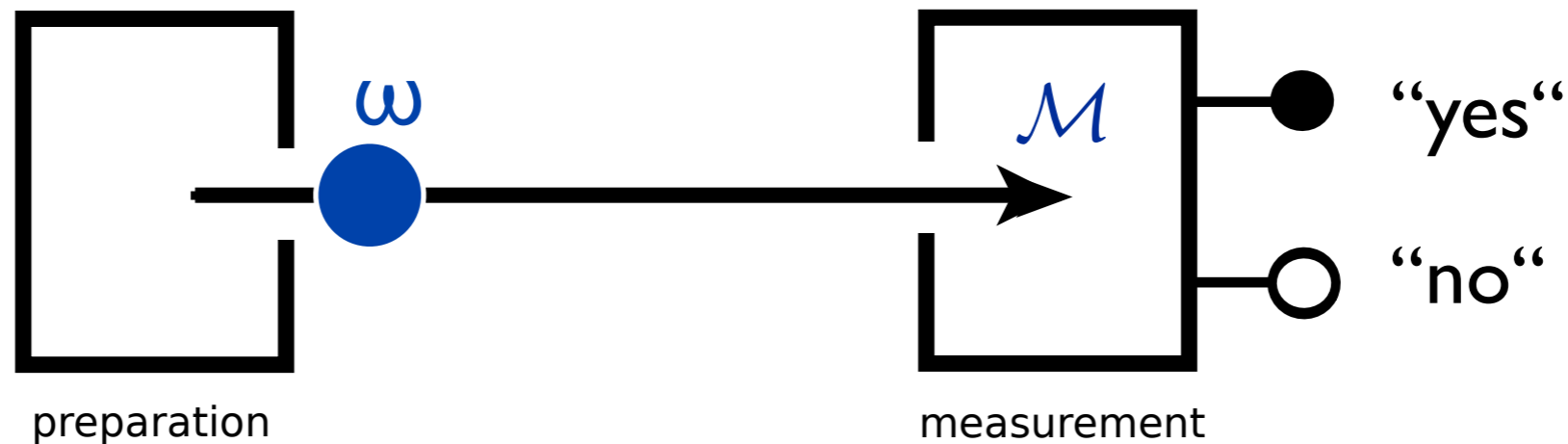


- Physical systems can be in some **state  $\omega$** . From this, all outcome probabilities of all subsequent events can be computed:

$$\text{Prob}(\text{outcome "yes"} \mid \text{meas. } \mathcal{M} \text{ on state } \omega) =: \mathcal{M}(\omega).$$

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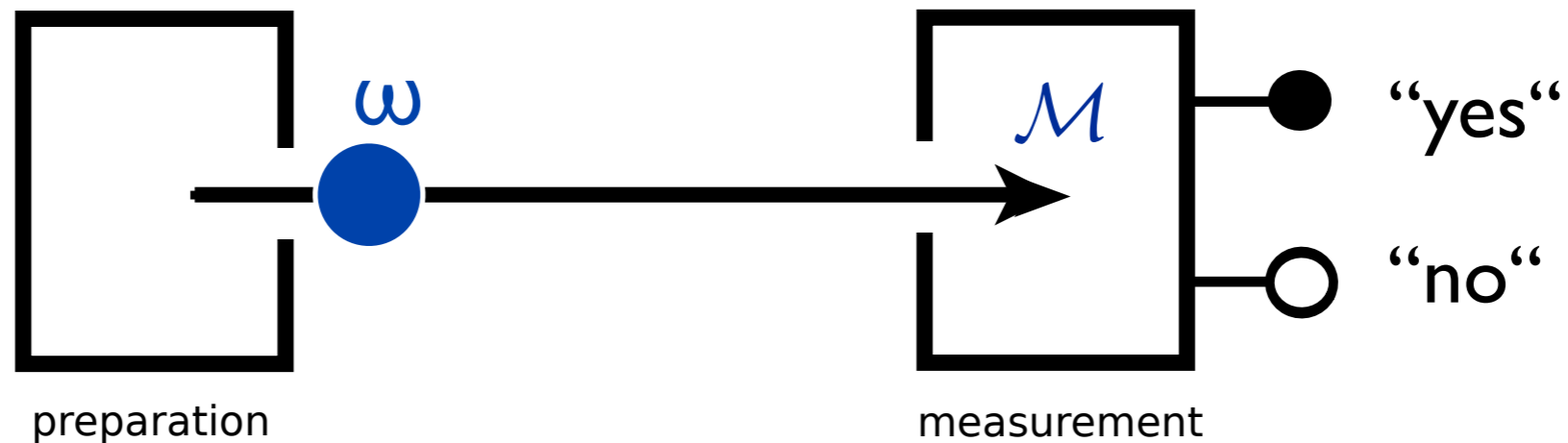
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- Statistical mixtures are described by **convex combinations**: prepare  $\omega$  with prob.  $p$  and state  $\varphi$  with prob.  $(1-p)$ , result:

$$p\omega + (1-p)\varphi$$

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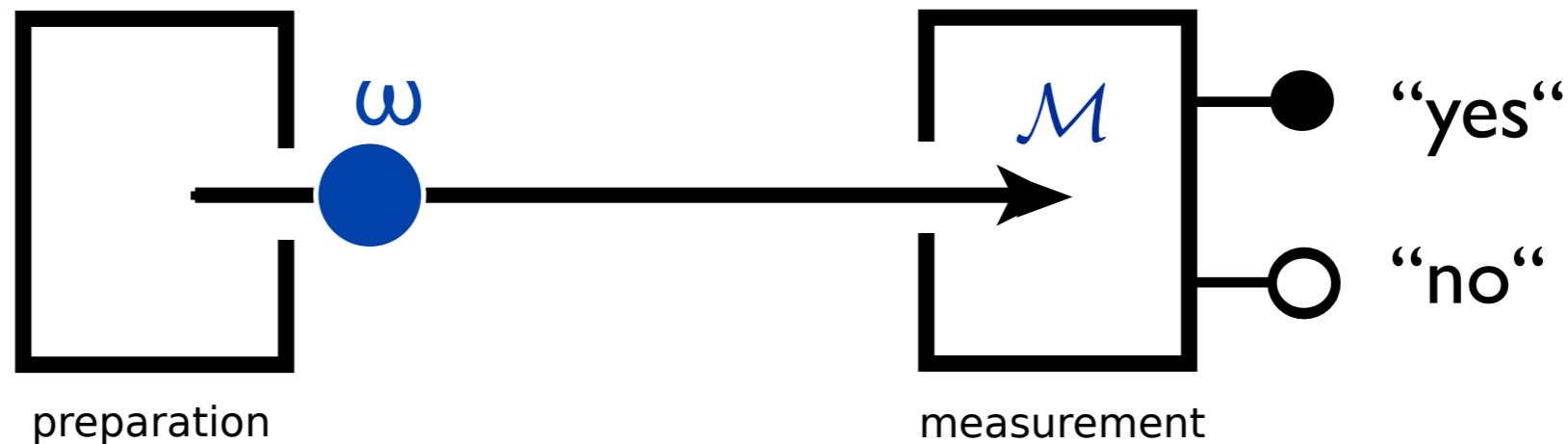


- Consequence: measurements (“effects”)  $\mathcal{M}$  are affine-linear:

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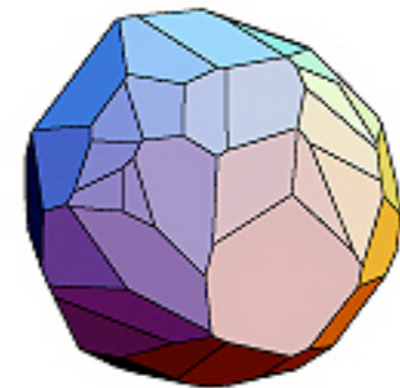
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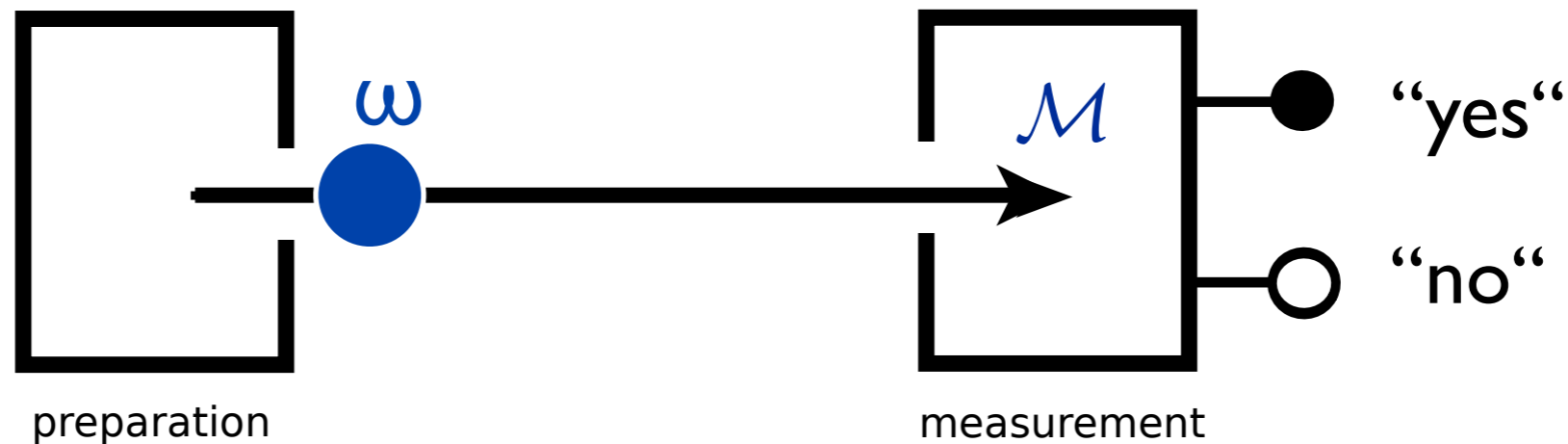
- **State space**  $\Omega$  = set of all possible states  $\omega$ .  
Convex, compact, finite-dimensional.  
**Otherwise arbitrary.**





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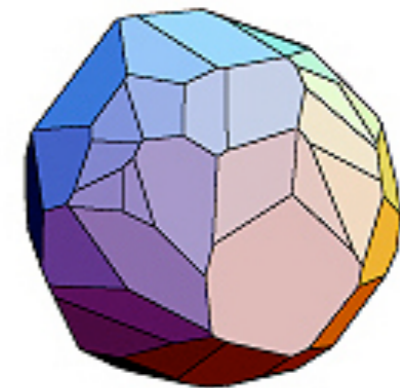
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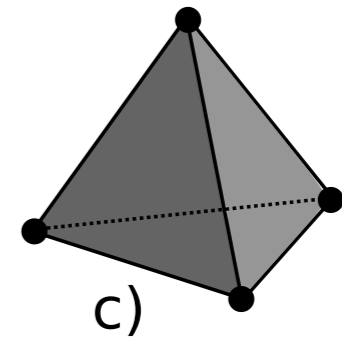
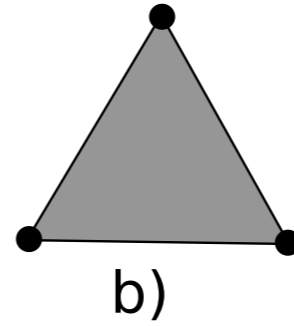


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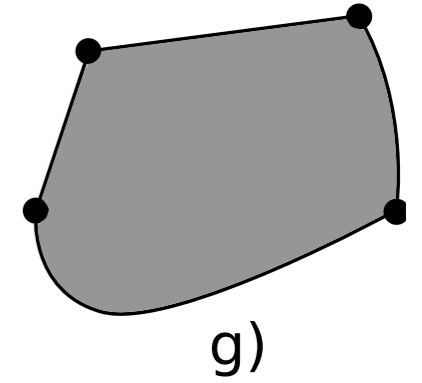
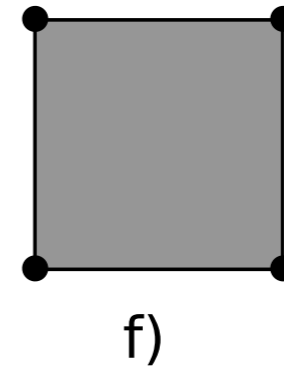
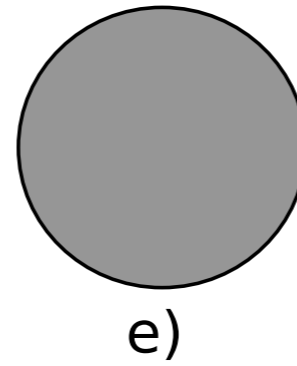
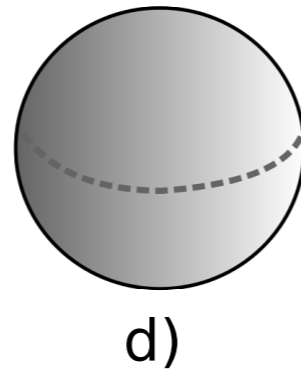
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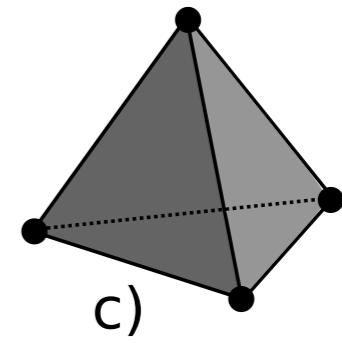
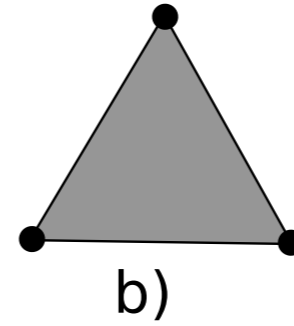
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**Otherwise arbitrary.**  
Extremal points are called **pure**, others mixed.



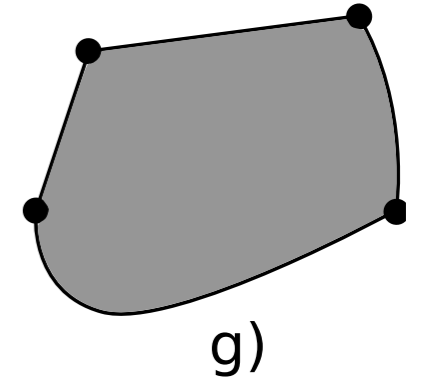
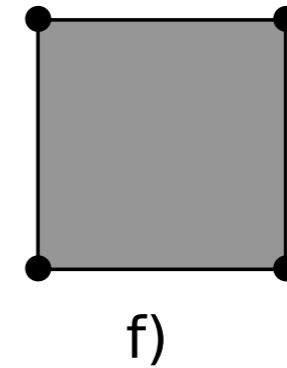
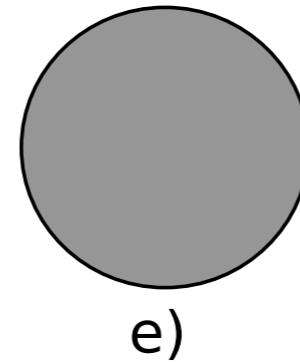
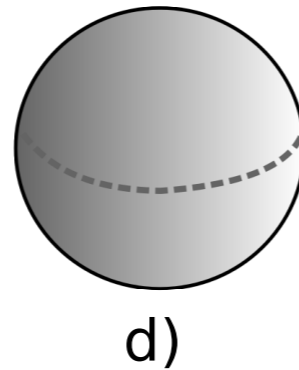


Some examples:





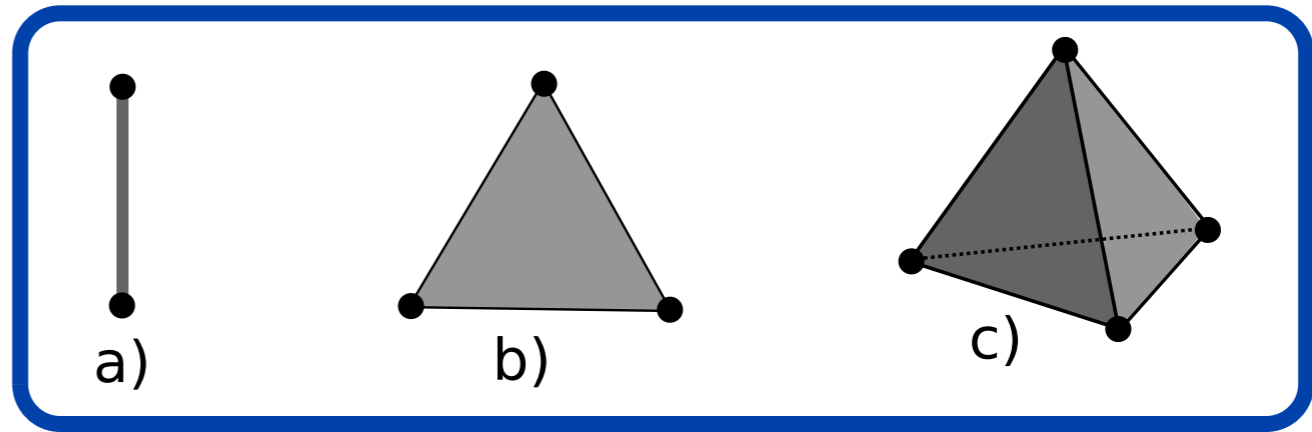
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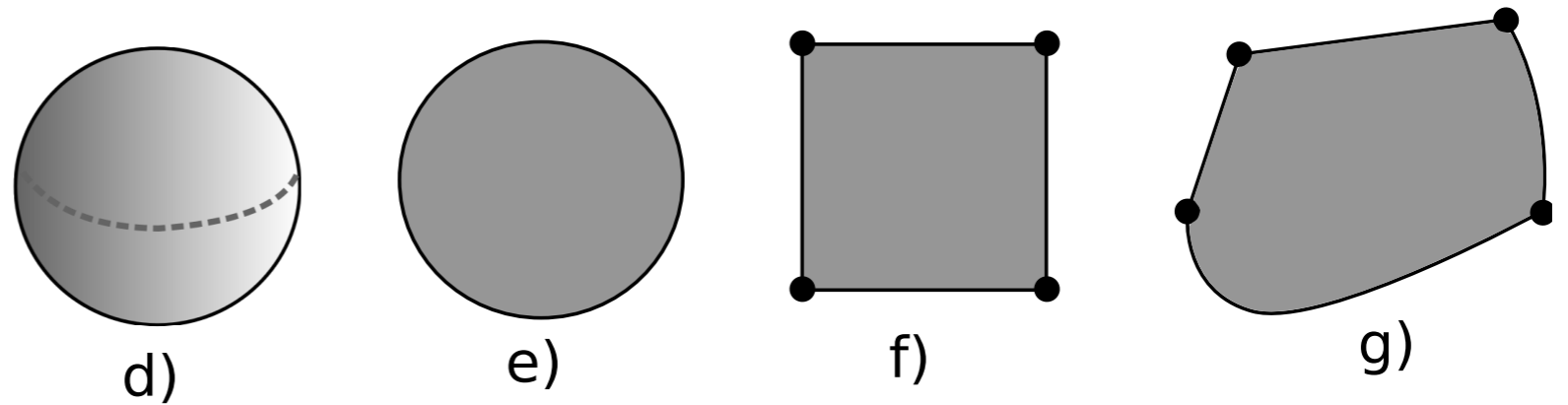
- Classical n-level system:

$$\Omega = \{ \omega = (p_1, \dots, p_n) \mid p_i \geq 0, \sum_i p_i = 1 \}.$$

$n$  pure states:  $\omega_1 = (1, 0, \dots, 0), \dots, \omega_n = (0, \dots, 0, 1)$ .



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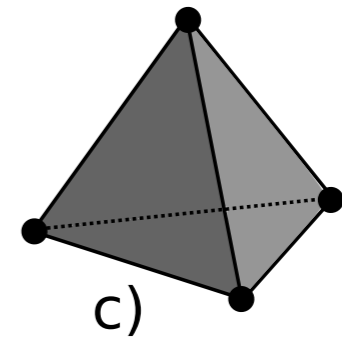
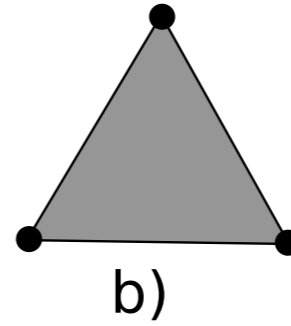


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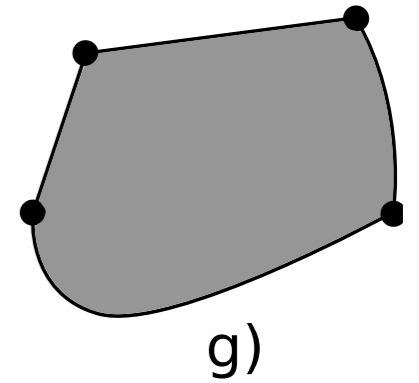
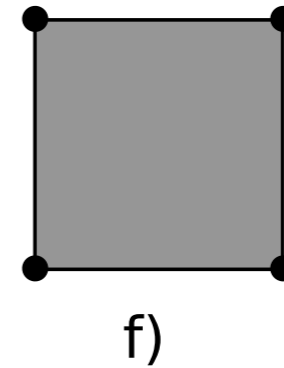
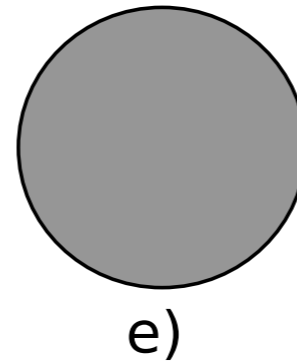
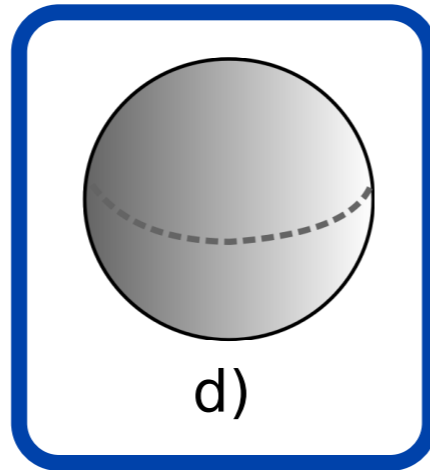
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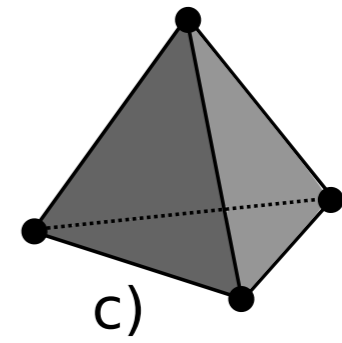
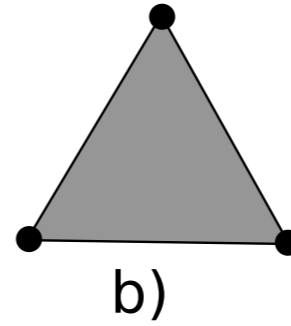
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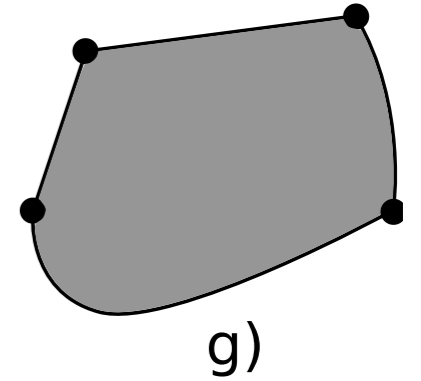
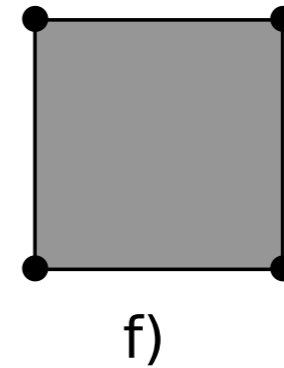
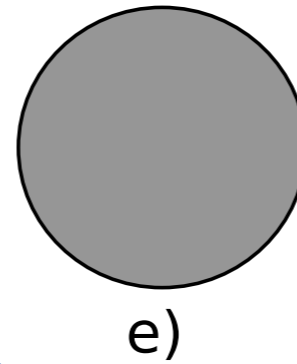
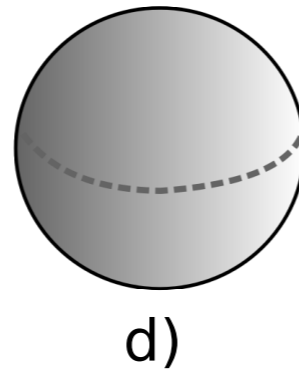
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- d): quantum 2-level system (qubit)

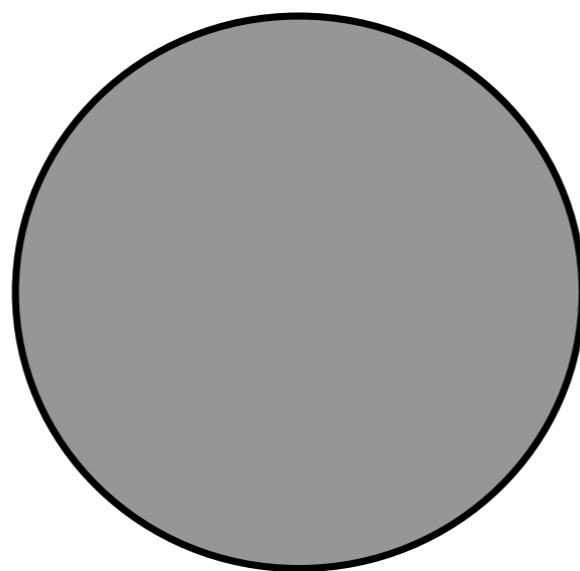




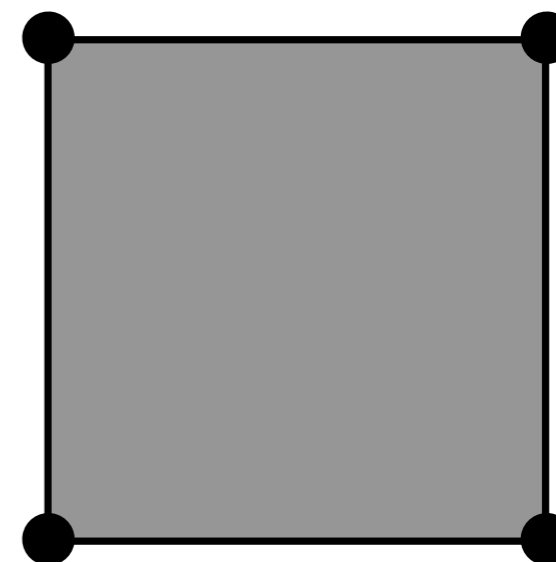
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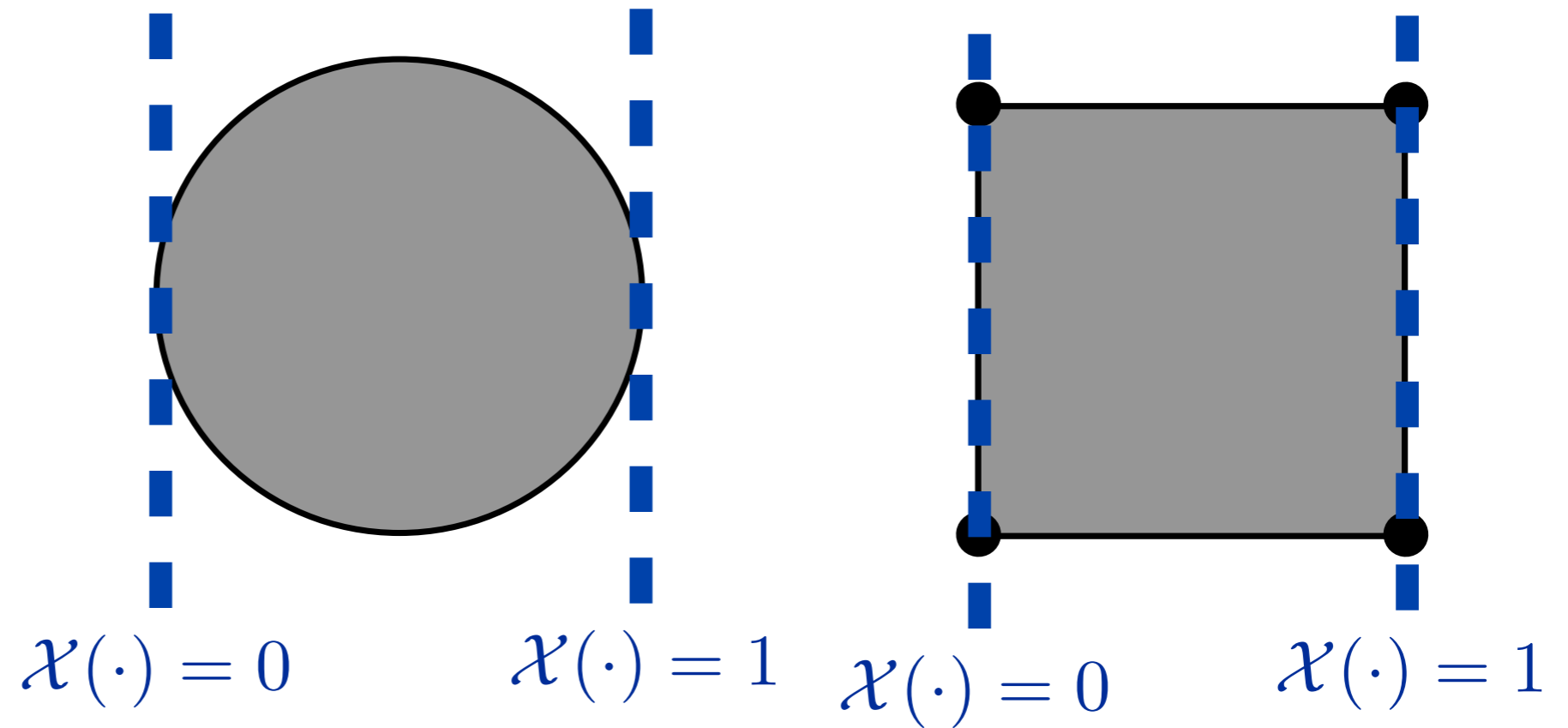


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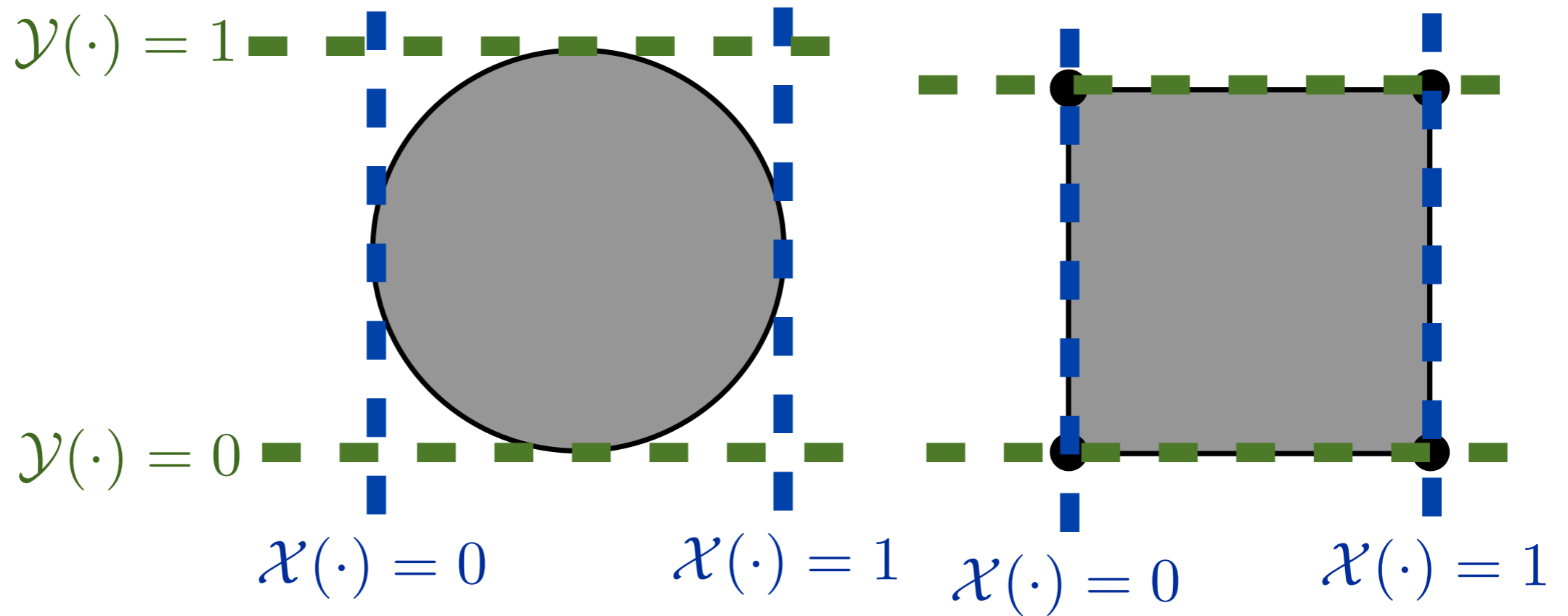


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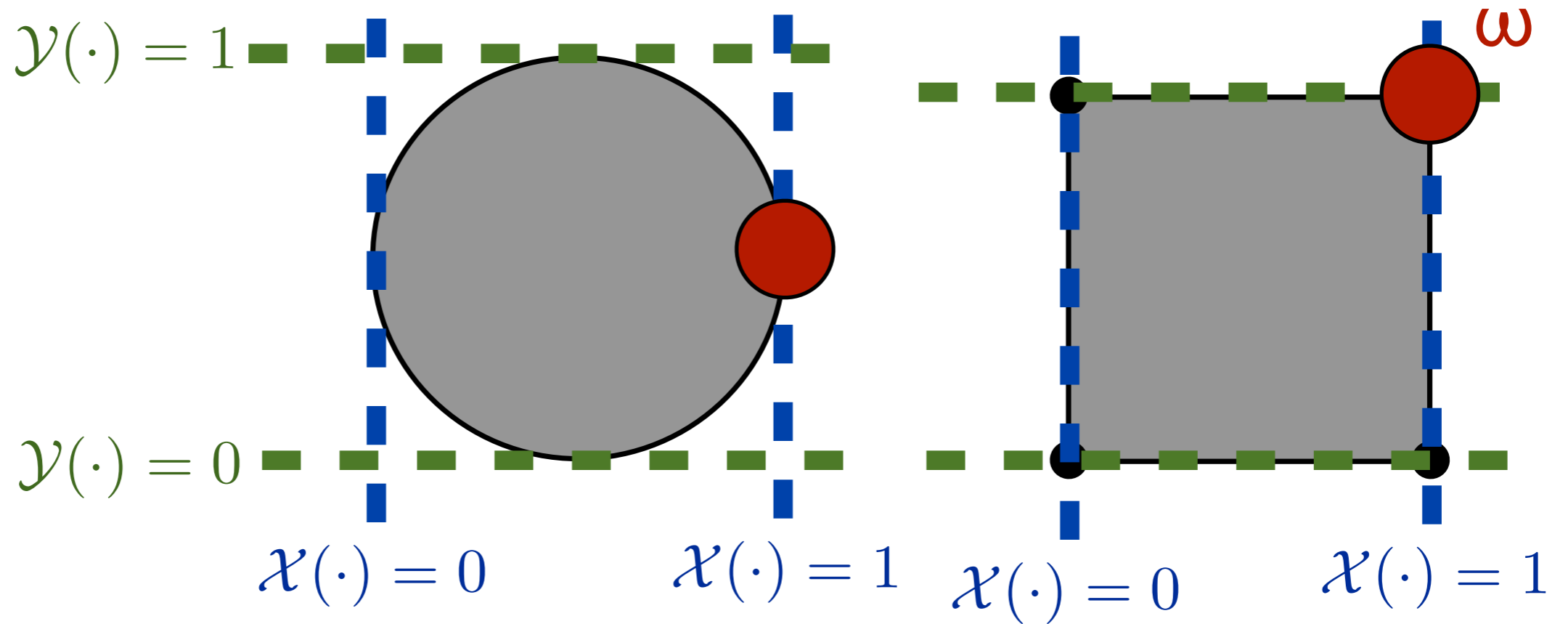
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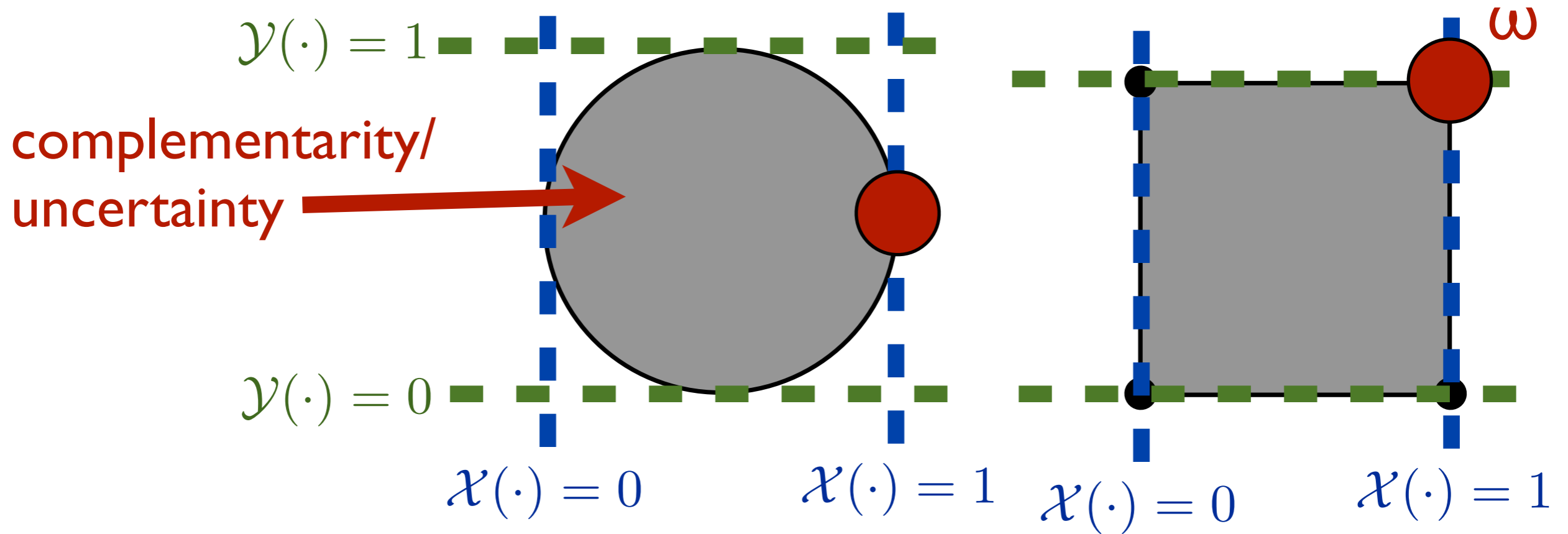
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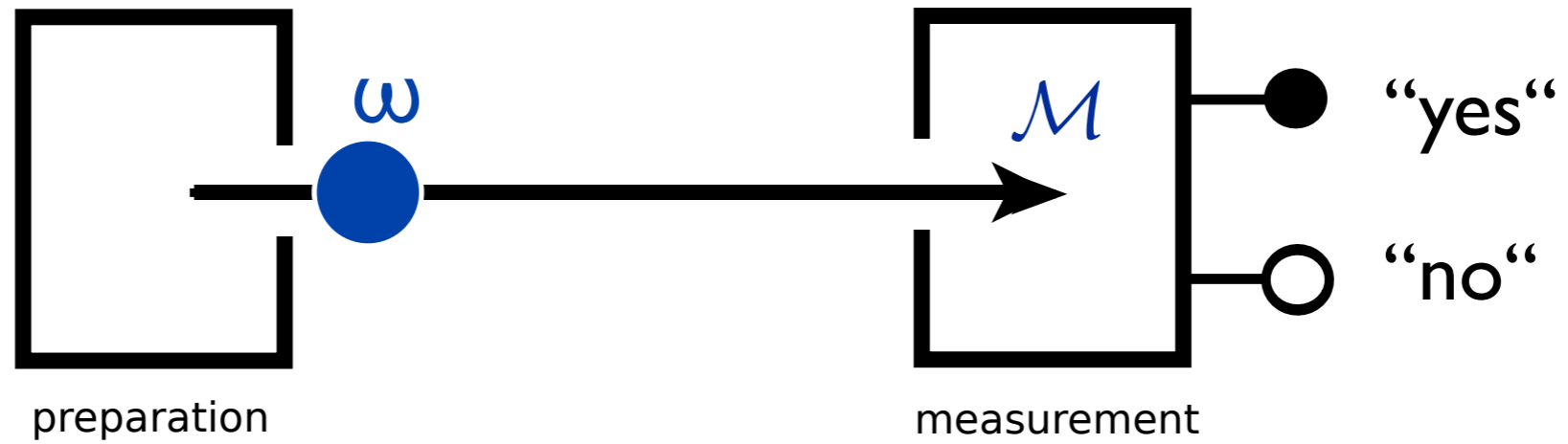


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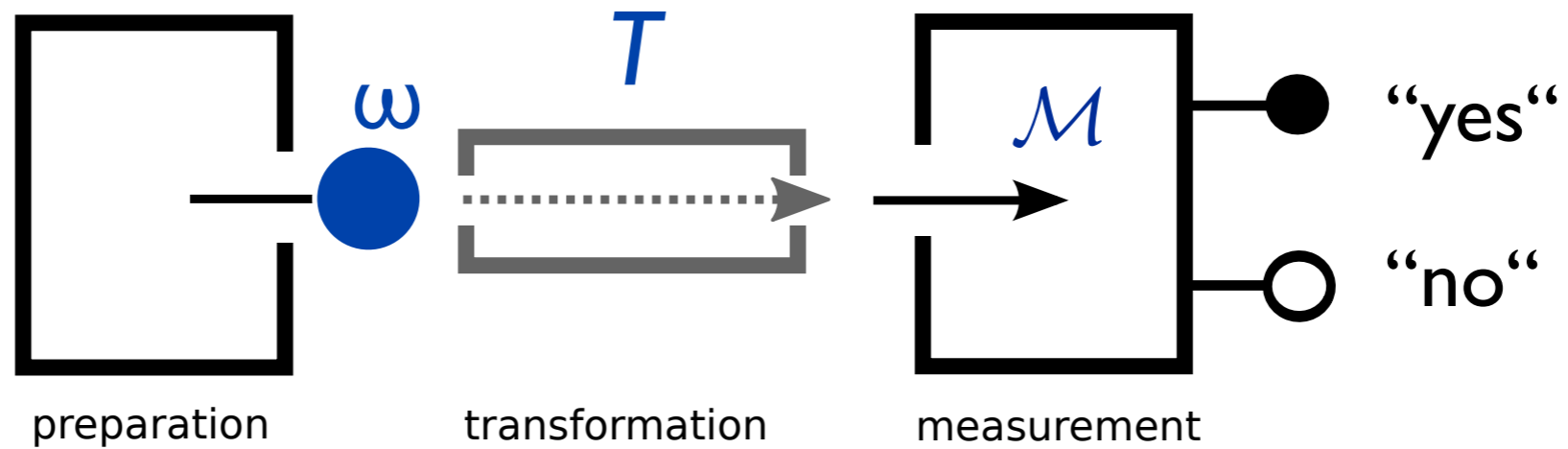
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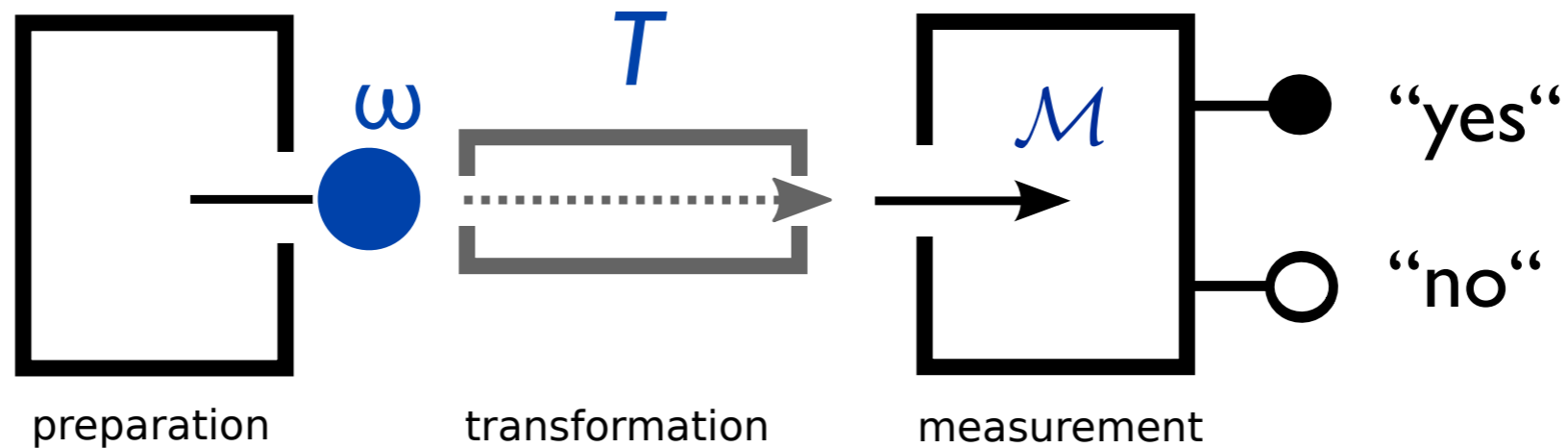
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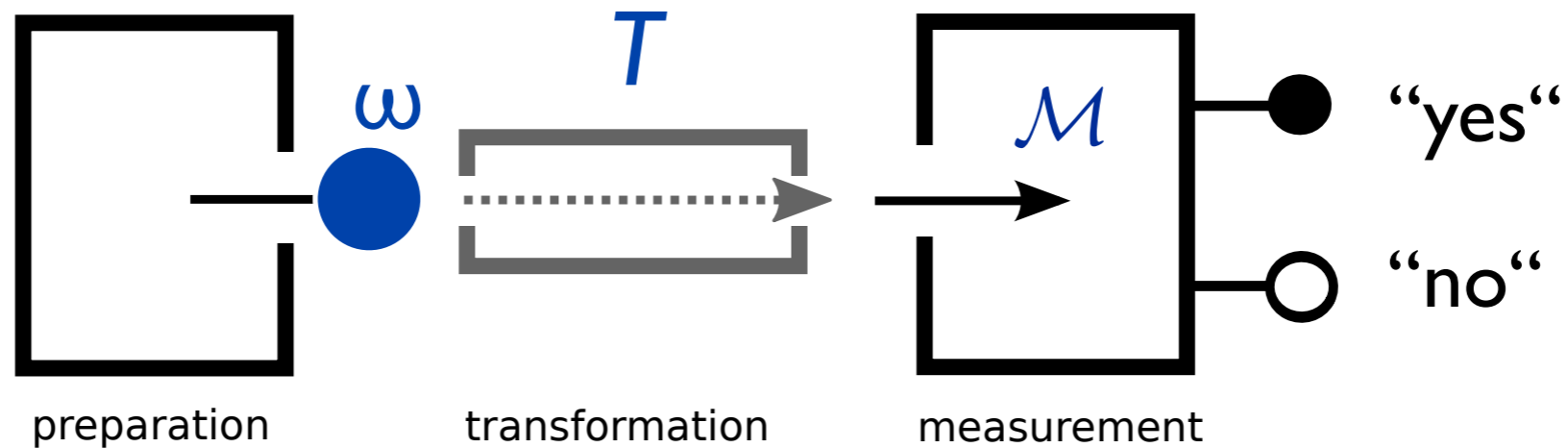


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- Here, only interested in **reversible transformations  $T$**  (i.e. invertible).
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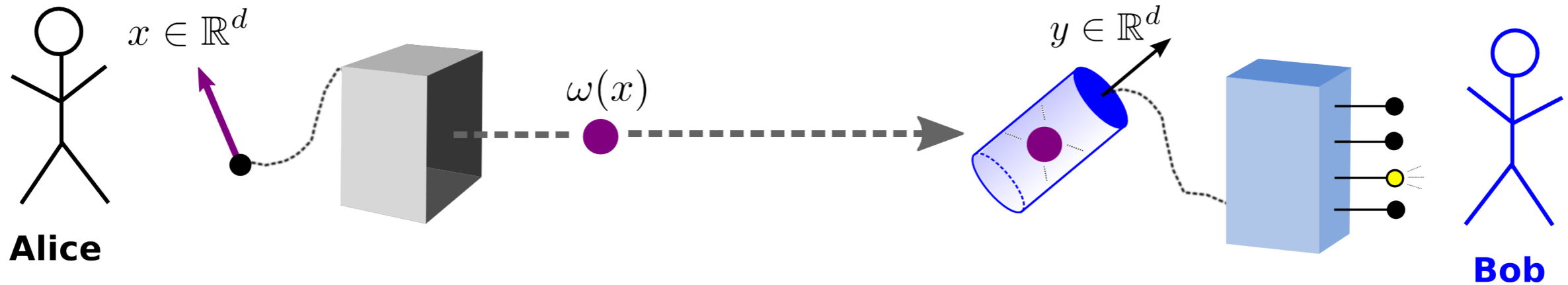
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- $T$  acts on states  $\leftrightarrow T^*$  acts on measurements:

$$\mathcal{M}(T(\omega)) \equiv T^*(\mathcal{M})(\omega).$$

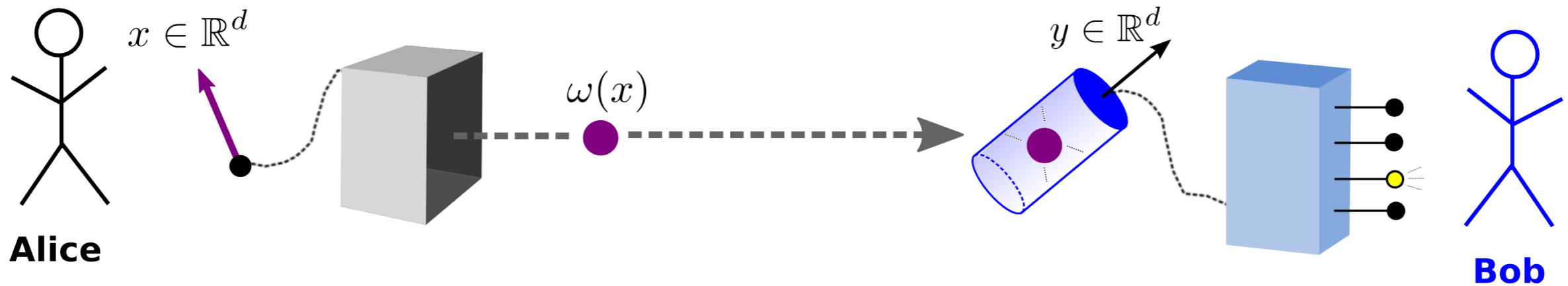
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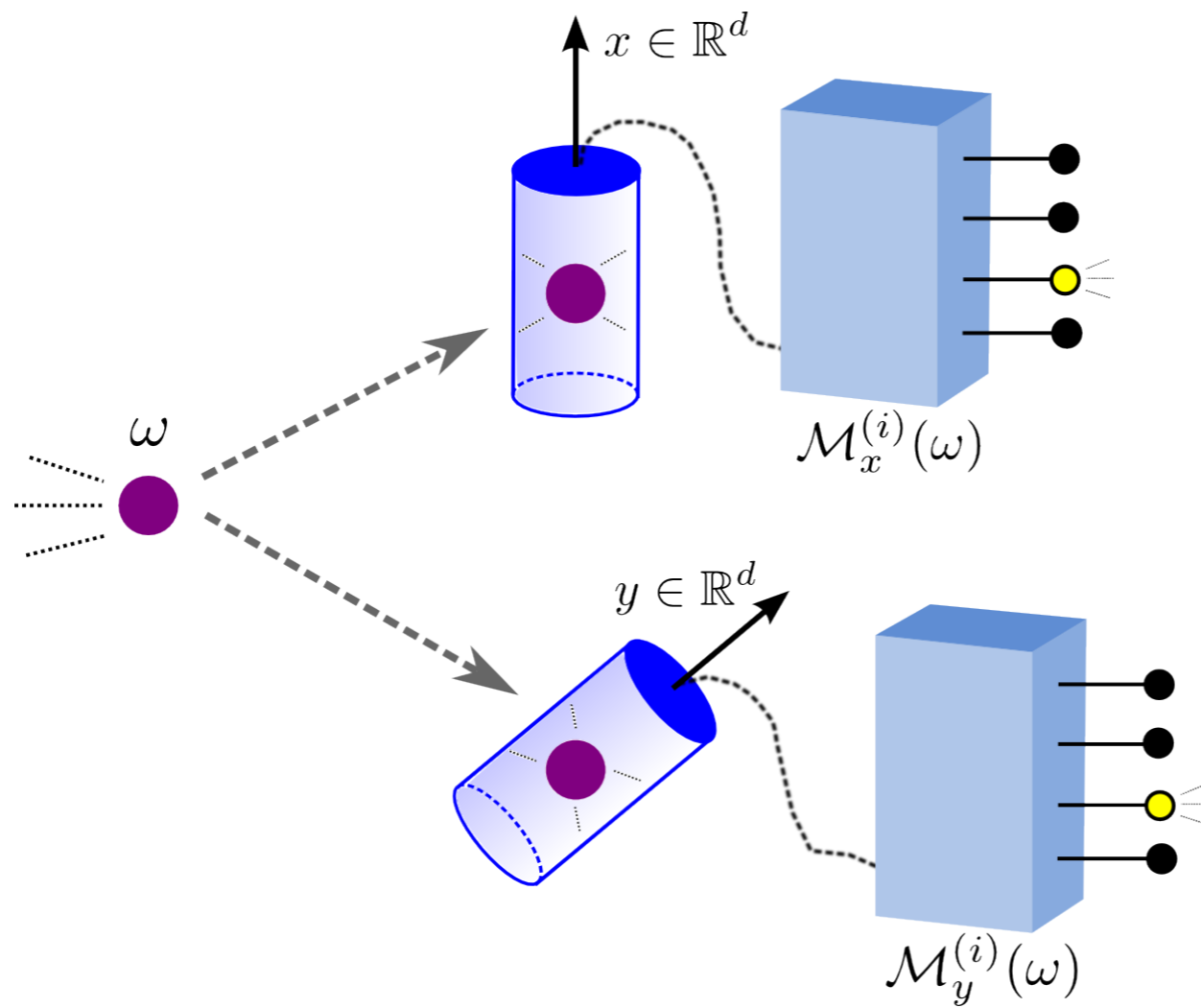


Background:

- Alice and Bob live in  $d$ -dimensional space.
- There is a well-defined way to **transport a vector** from Alice to Bob.
- Alice and Bob don't share a common coordinate system: **can't just tell coordinates on the phone!**

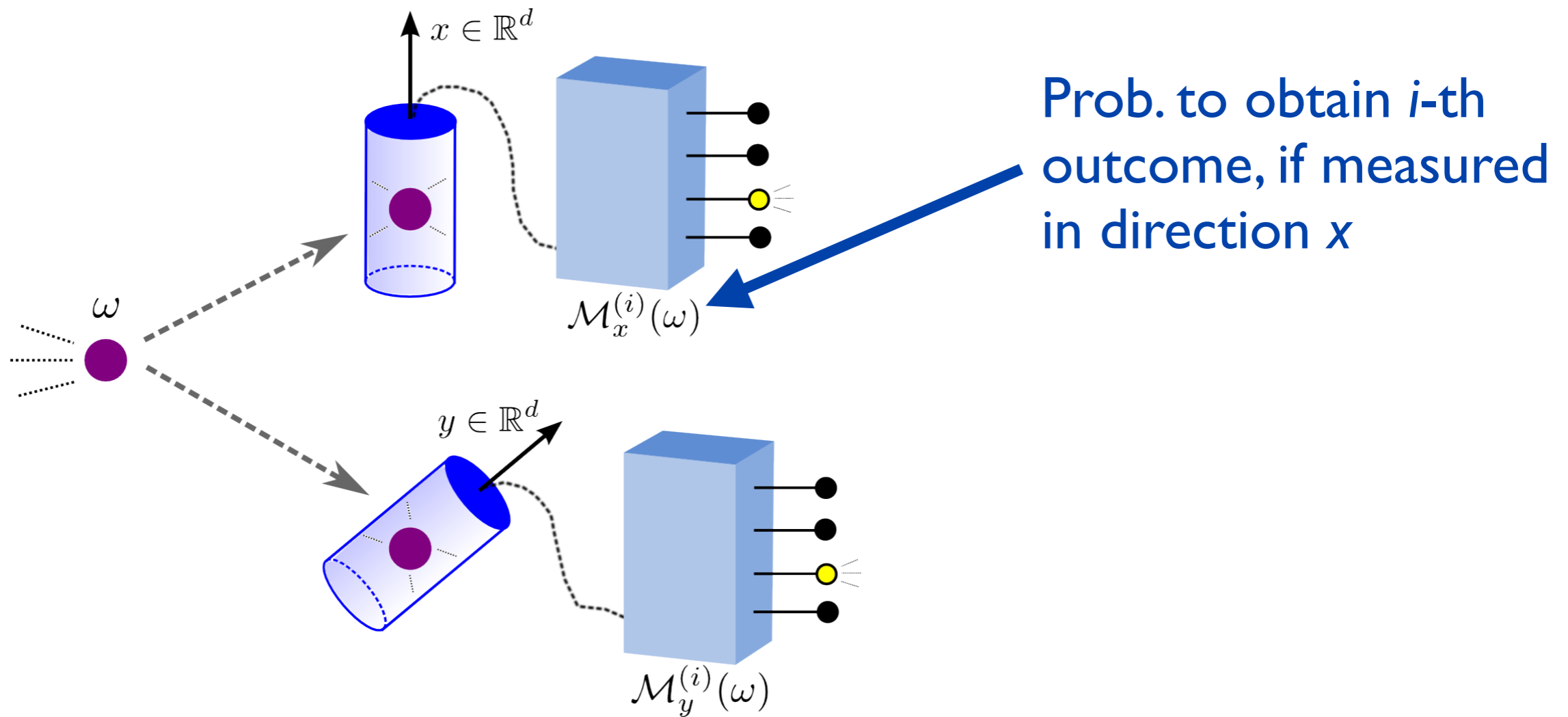
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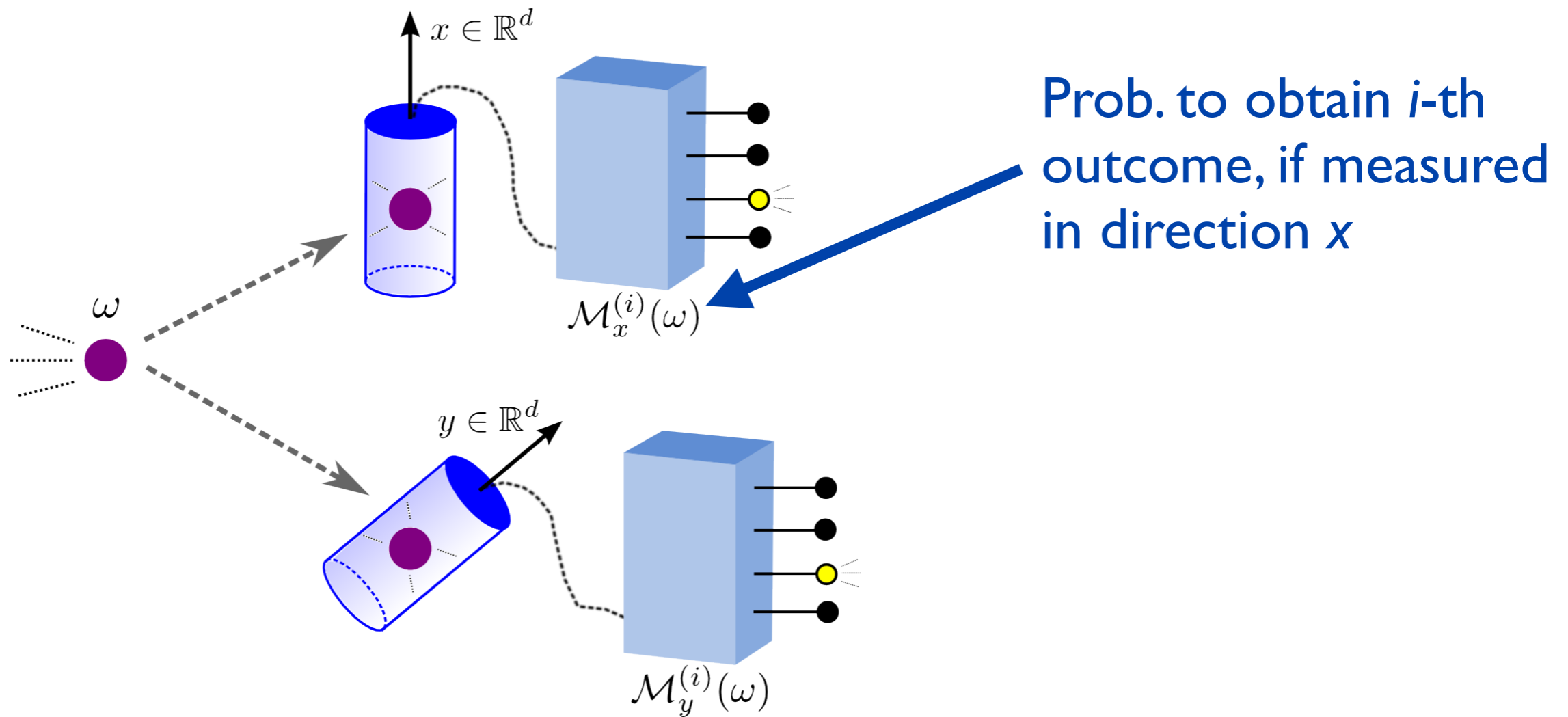
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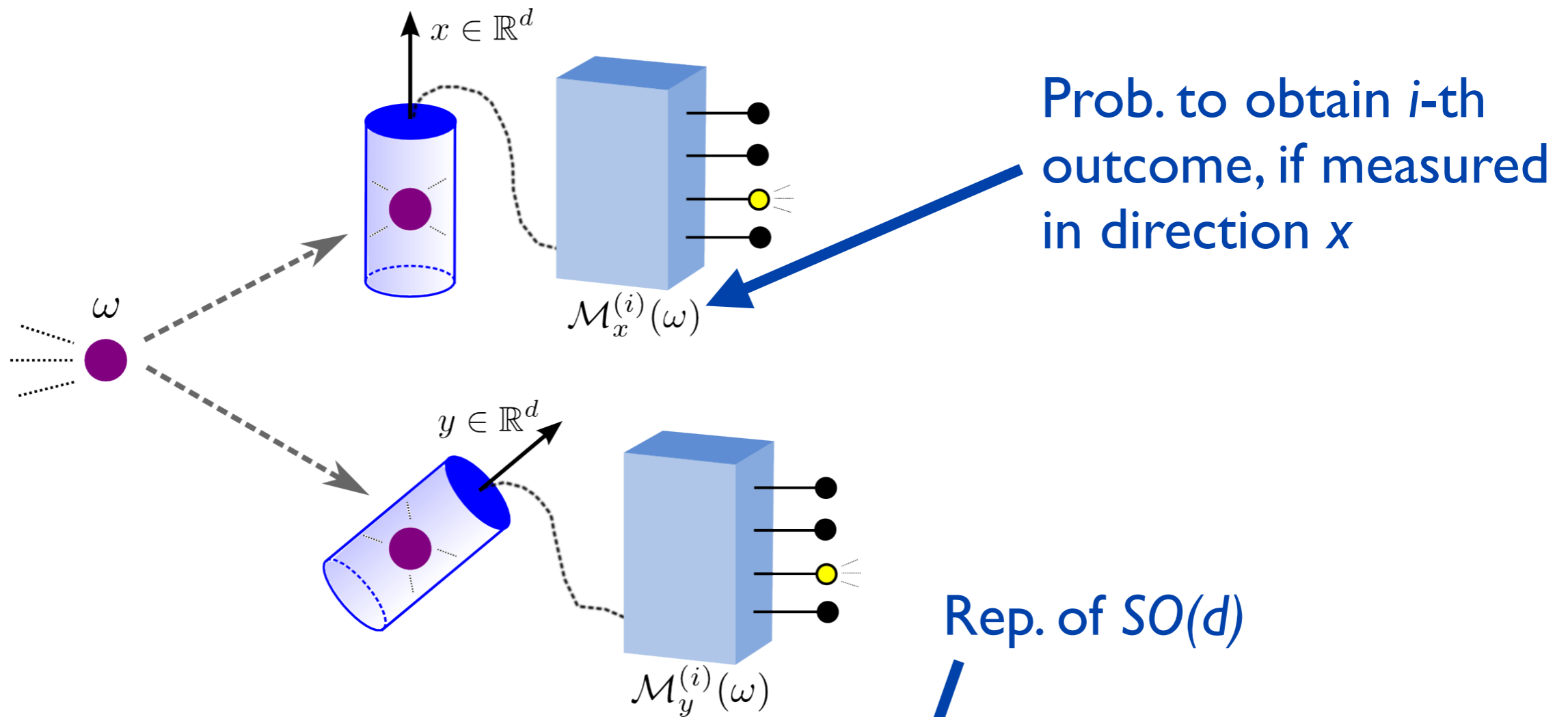
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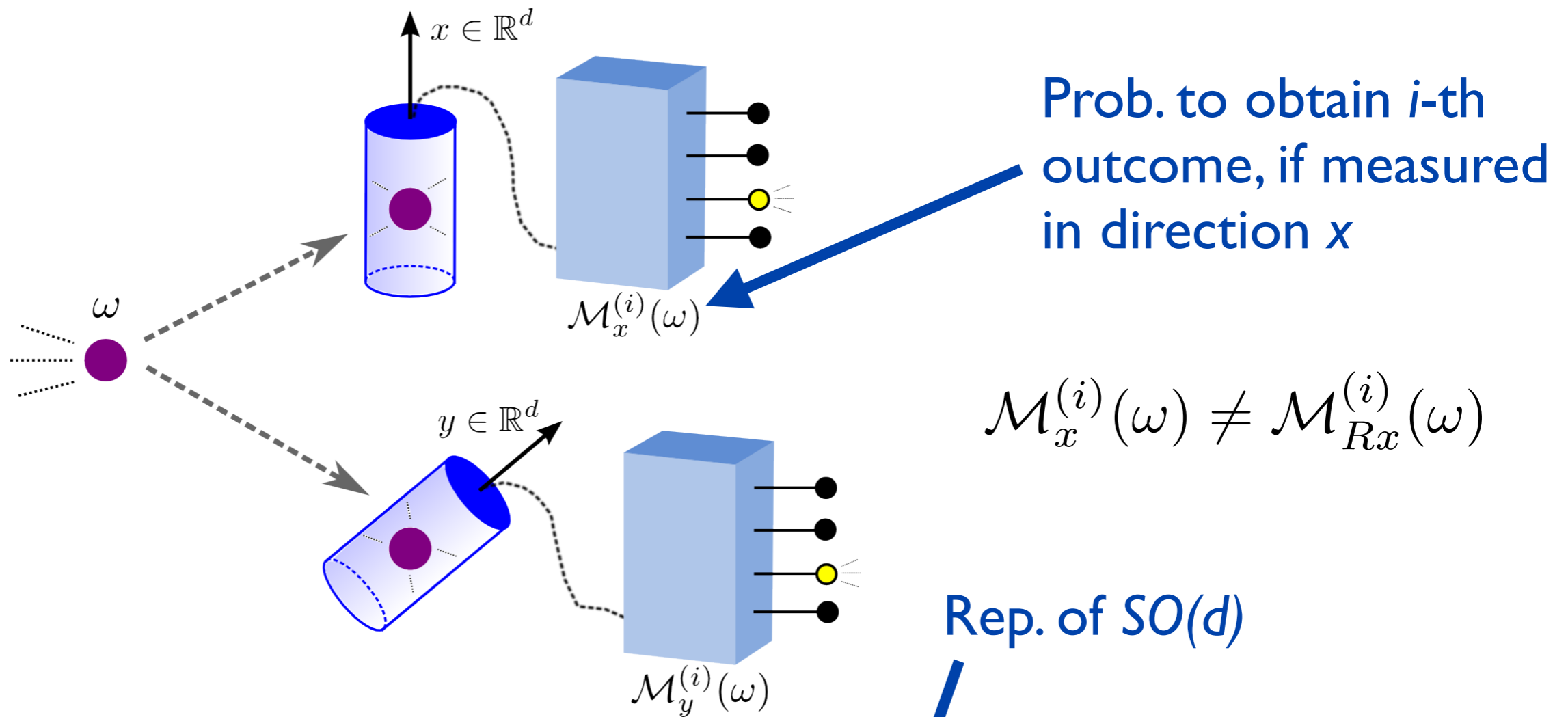
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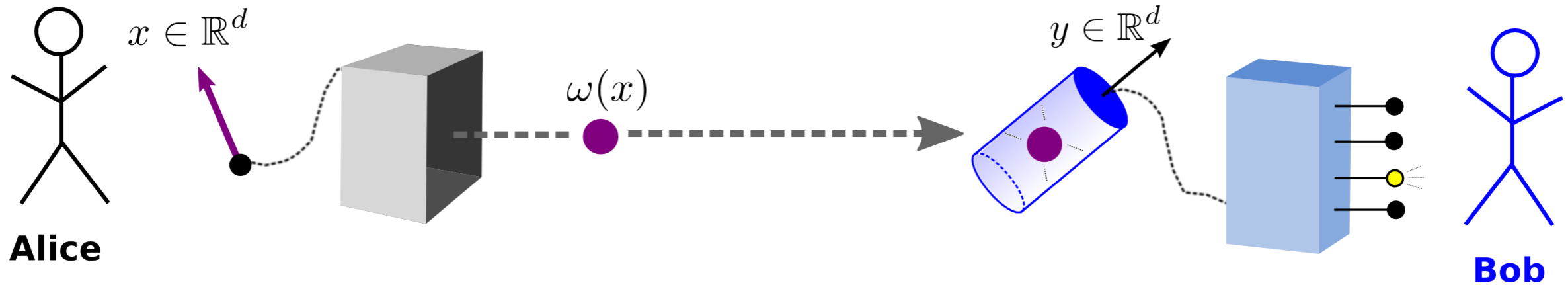


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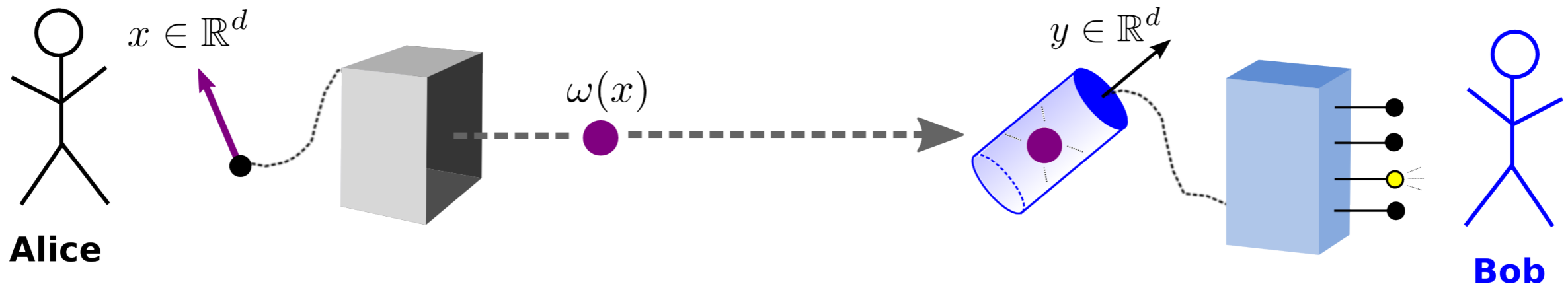
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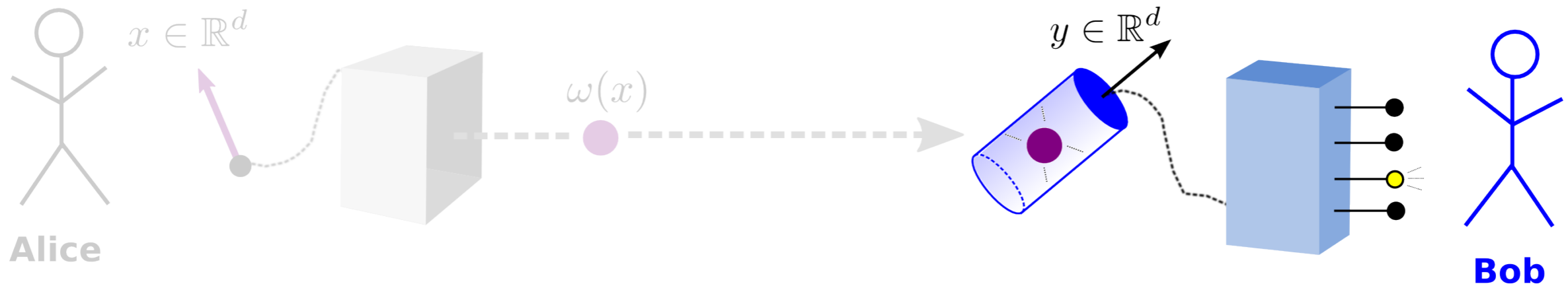
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- General setup:
- Alice encodes  $x$  into some state  $\omega(x)$ .
  - She transmits many copies of **the state** to Bob.
  - Bob **measures in different directions**, getting statistics...
  - ... **estimating  $x$**  in the limit of  $\infty$  many copies.

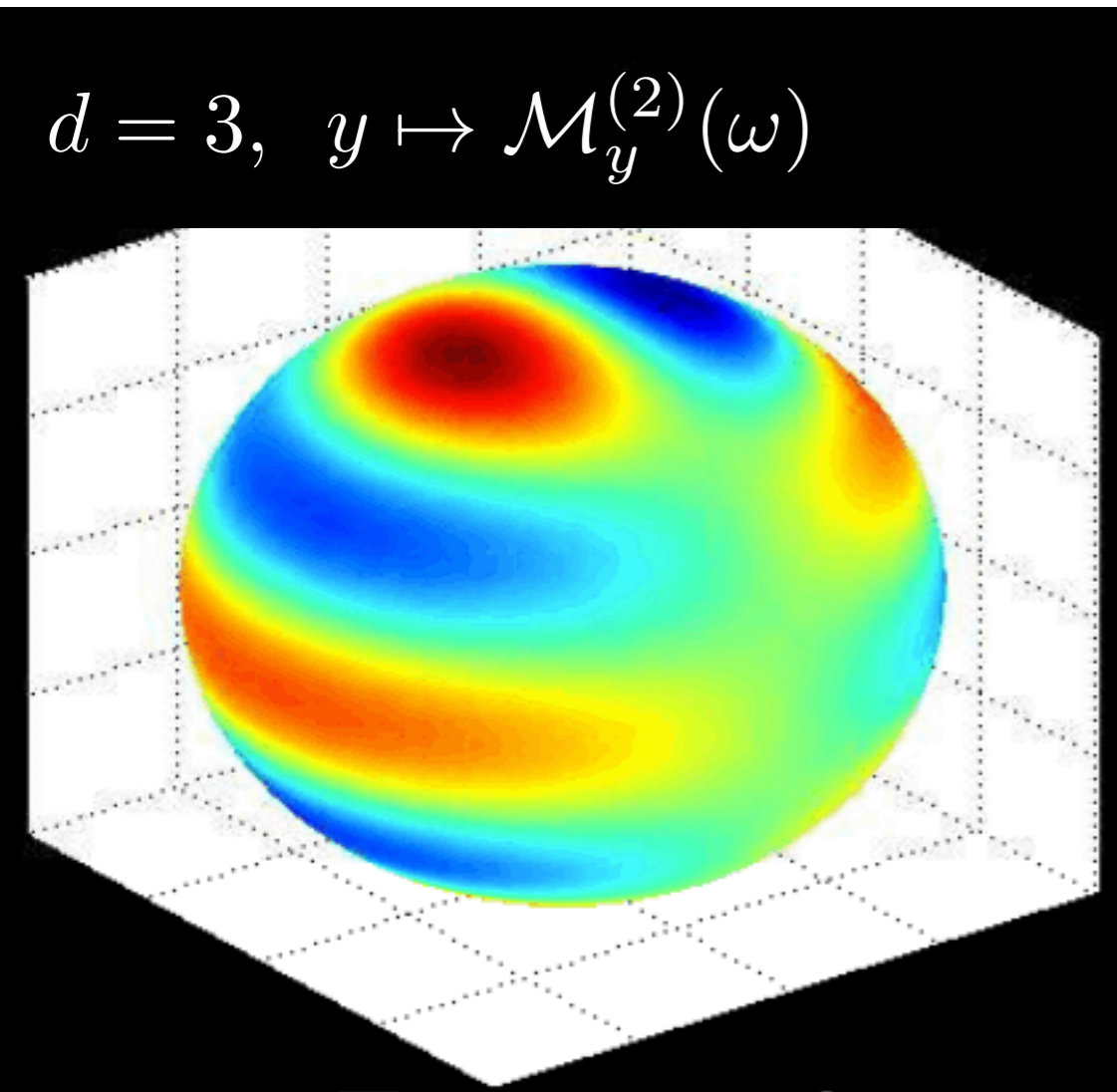
# 3. The task

Goal: Alice wants to send a spatial direction  $x \in \mathbb{R}^d, |x| = 1$ , to Bob.

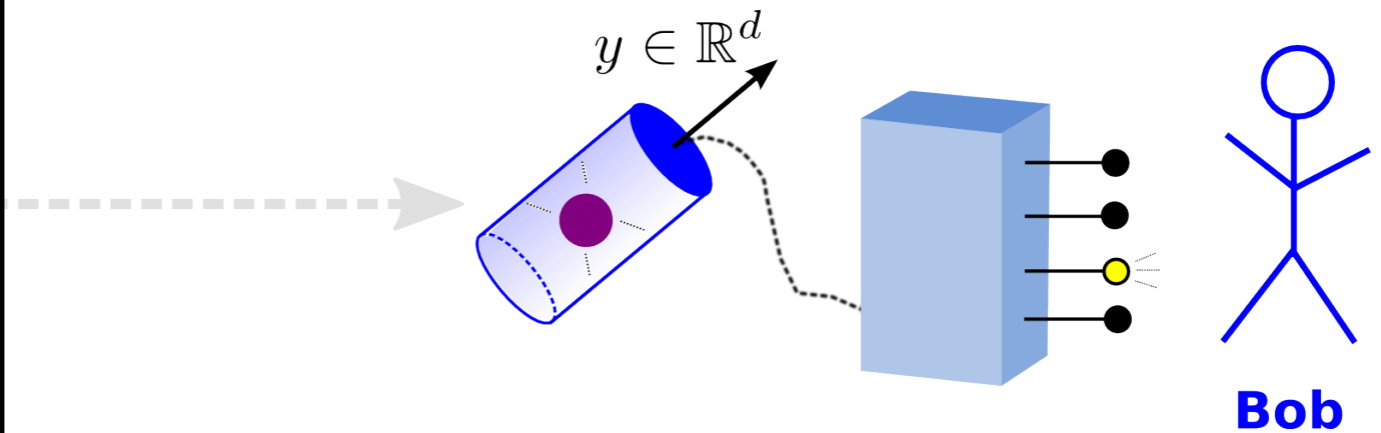


- General setup:
- Alice encodes  $x$  into some state  $\omega(x)$ .
  - She transmits many copies of the state to Bob.
  - **Bob measures in different directions, getting statistics...**
  - ... estimating  $x$  in the limit of  $\infty$  many copies.

# 3. The task



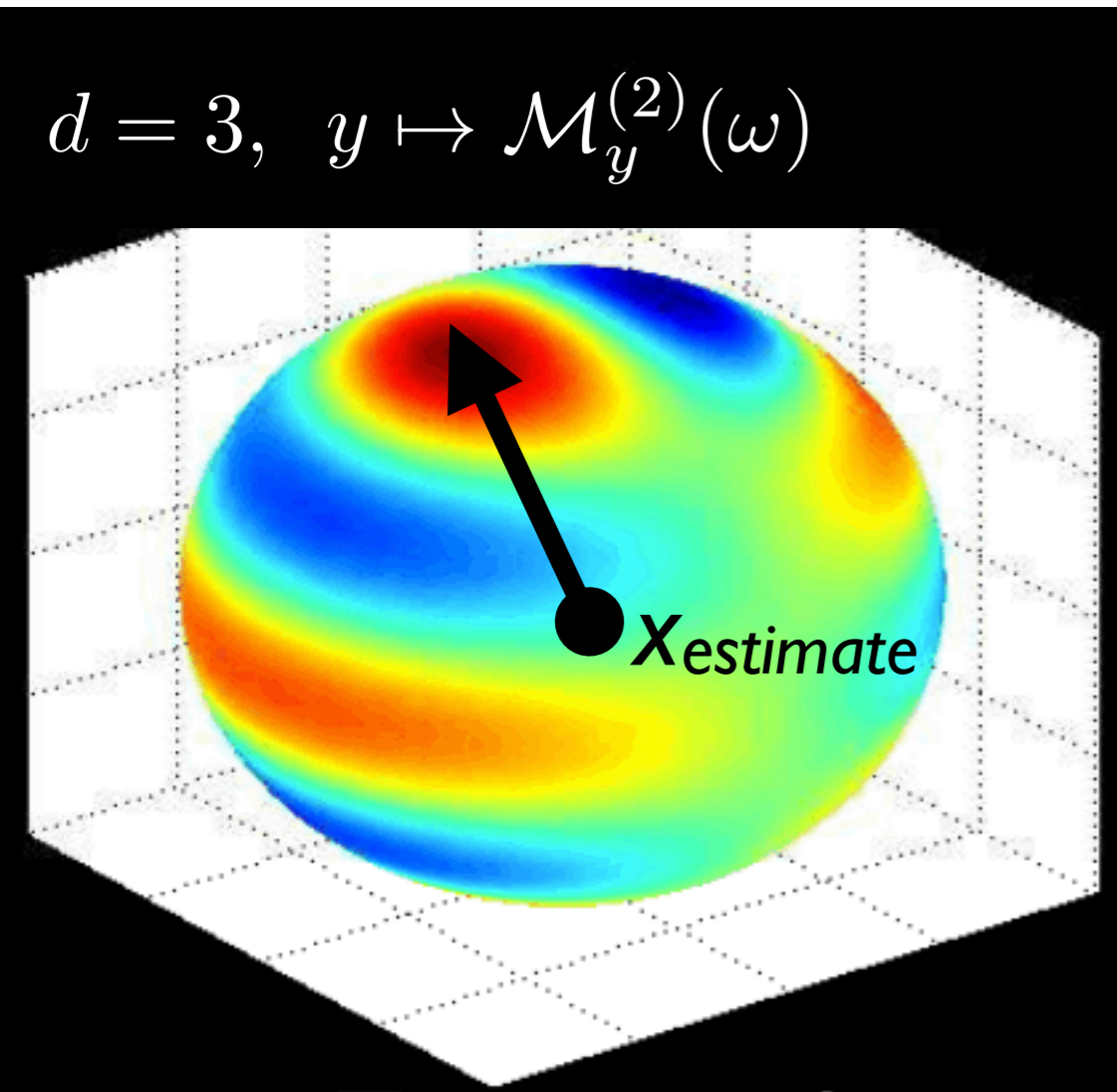
initial direction  $x \in \mathbb{R}^d, |x| = 1$ , to Bob.



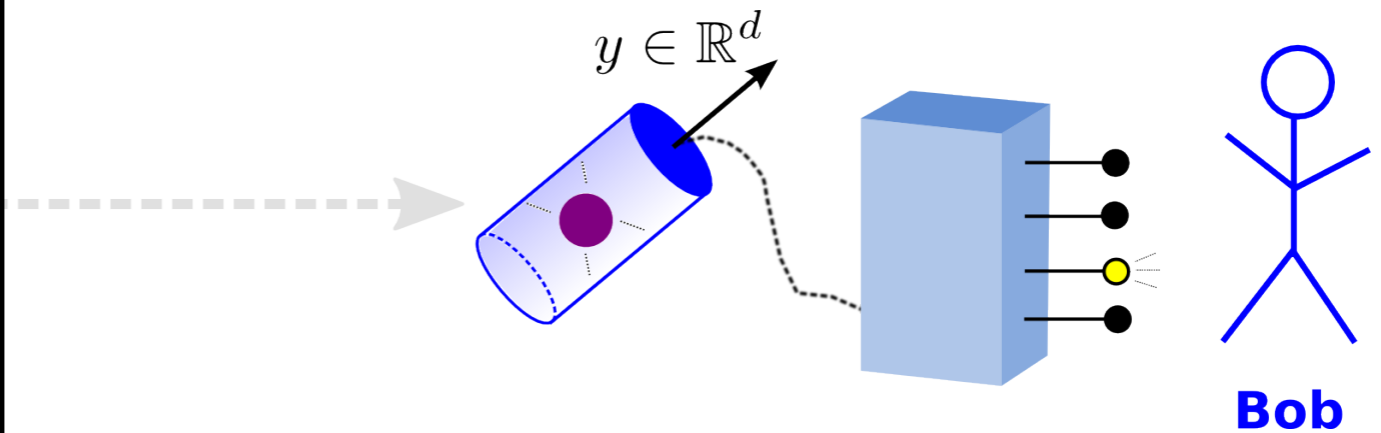
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# 3. The task



... in a fixed direction  $x \in \mathbb{R}^d, |x| = 1$ , to Bob.



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## Question:

- In **what dimensions  $d$** , and
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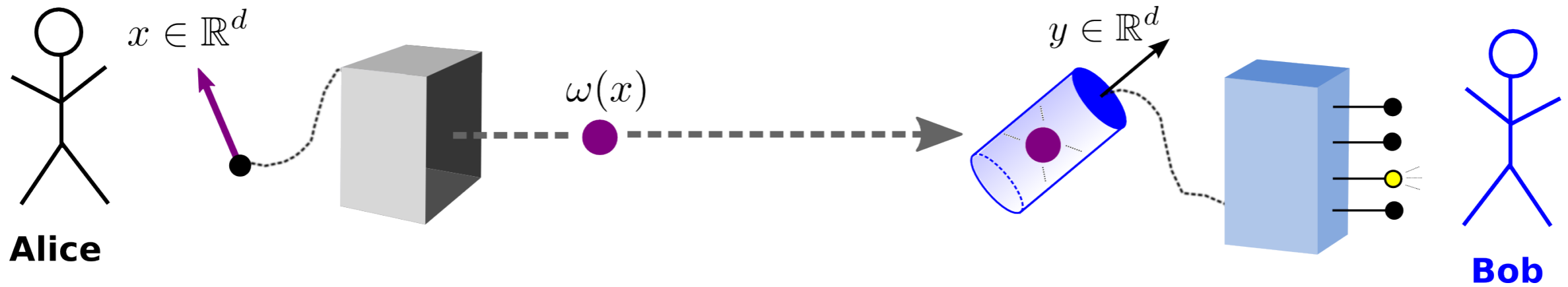
can this task be accomplished  
in a “nice” way?

with minimal  
overhead

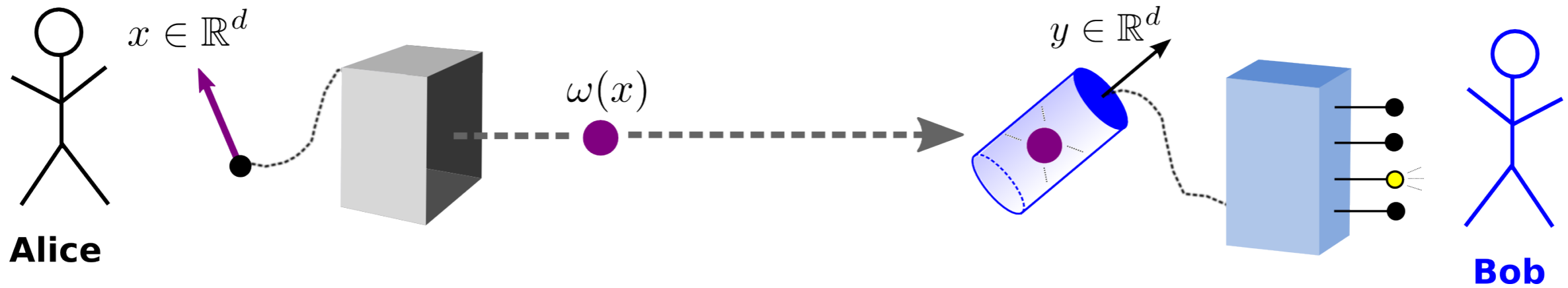
satisfying basic  
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# 3. The task

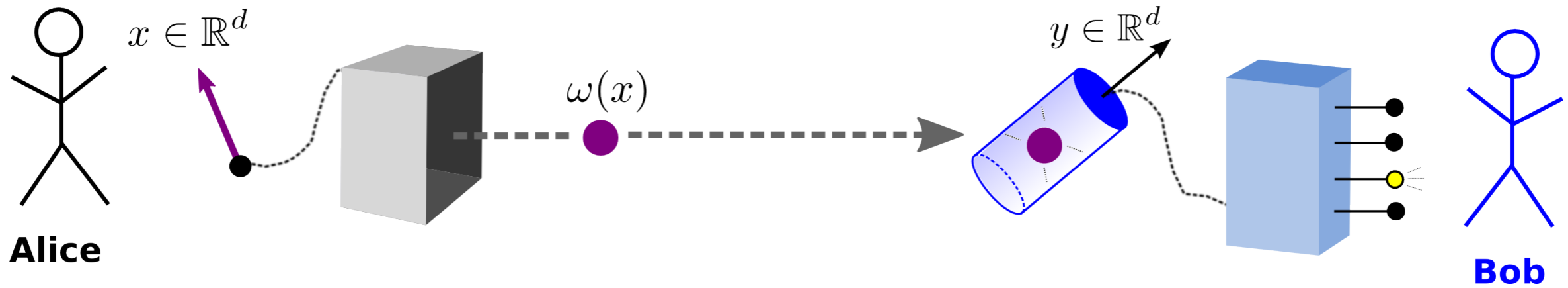


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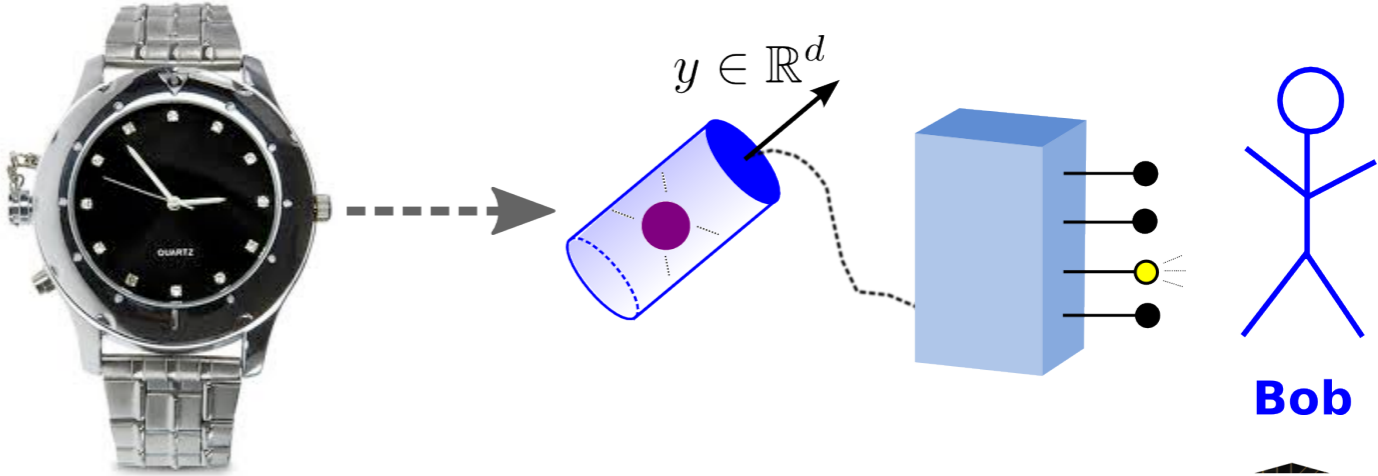
**Postulate 1 (Achievability).** There is a protocol which allows Alice to encode any spatial direction  $x \in \mathbb{R}^d$ ,  $|x| = 1$ , into a state  $\omega(x)$ , such that Bob is able to retrieve  $x$  in the limit of many copies.

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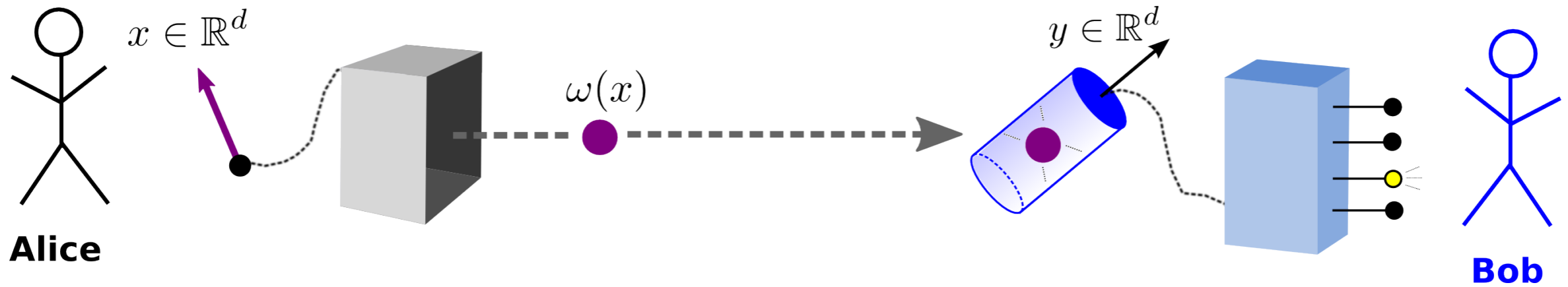


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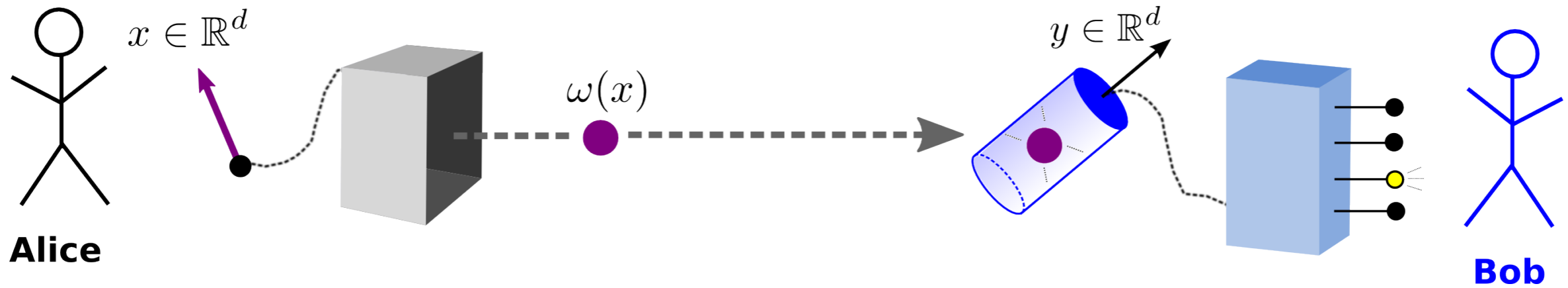
Trivial solution ( $d=2$ ): Alice sends (stopped) wristwatch.



# 3. The task

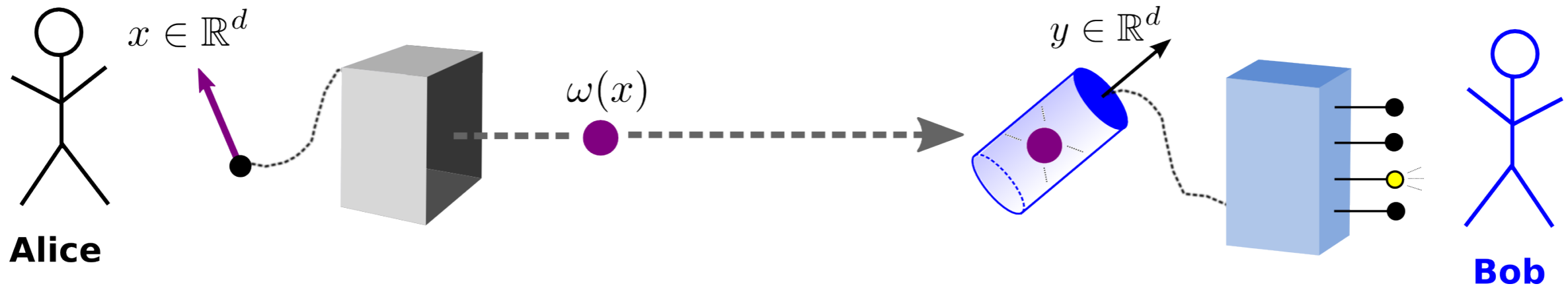


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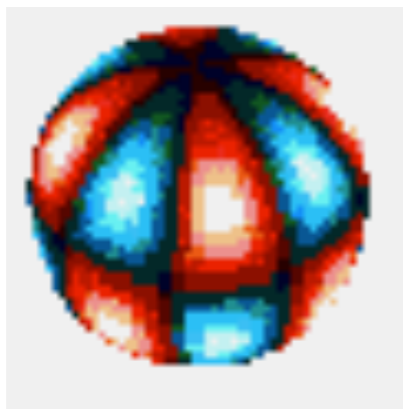


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Bob's "signal"

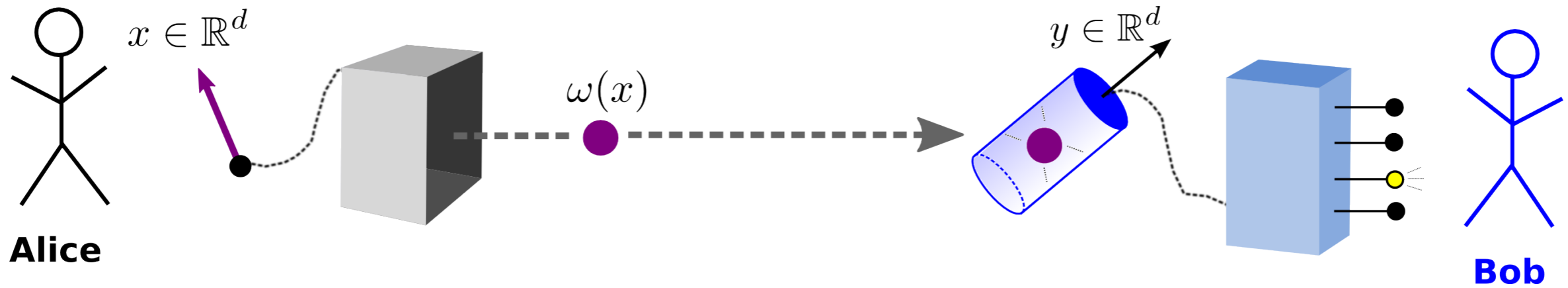


uniform noise



signal+noise

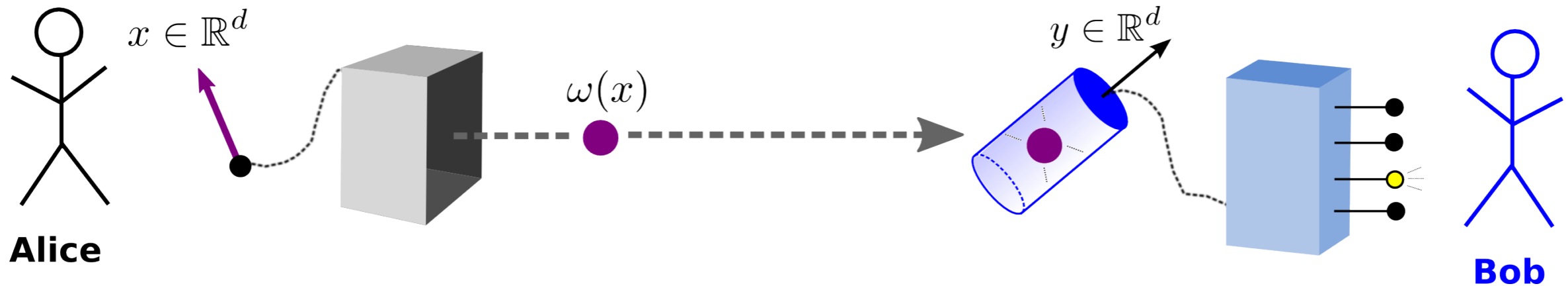
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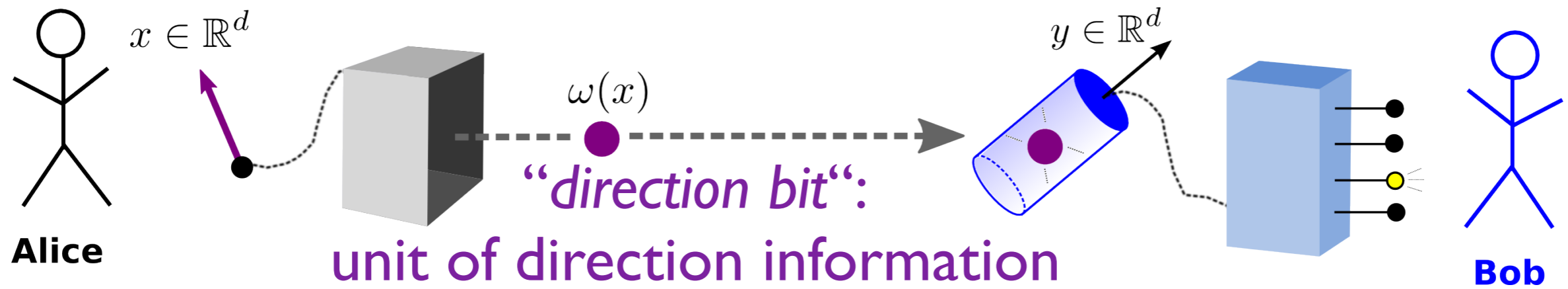
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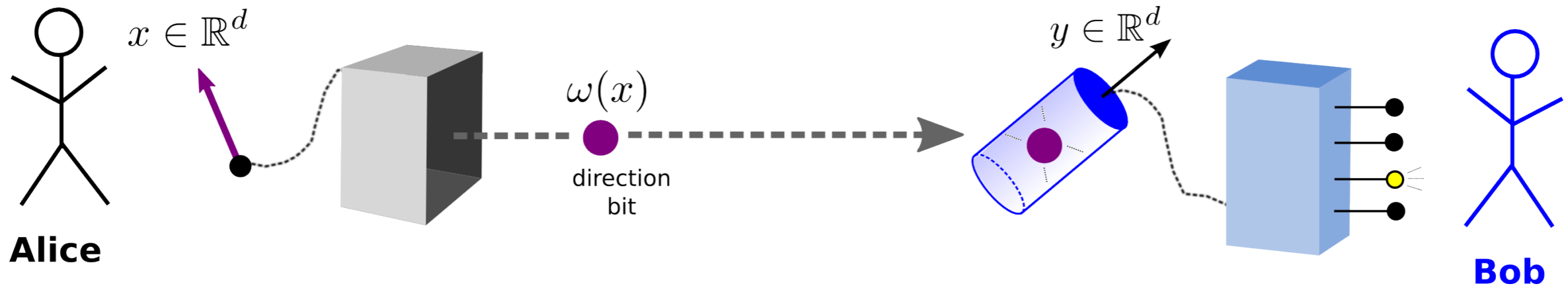


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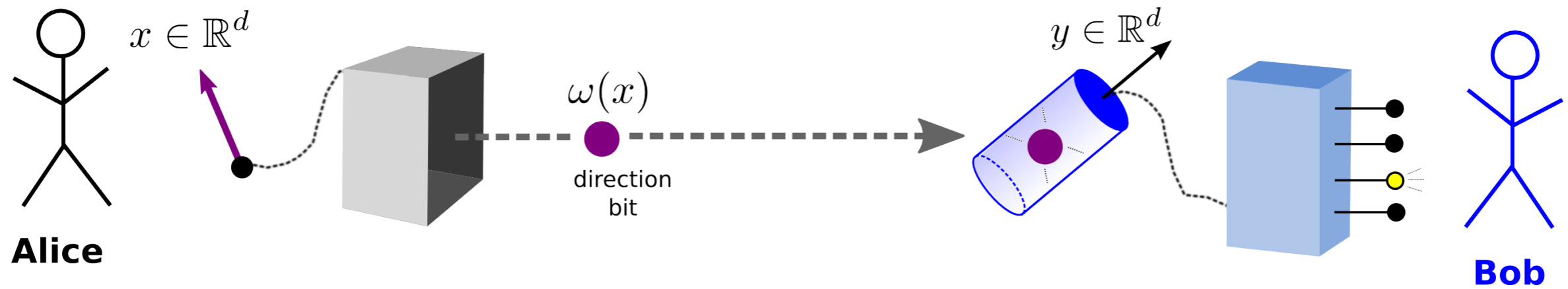
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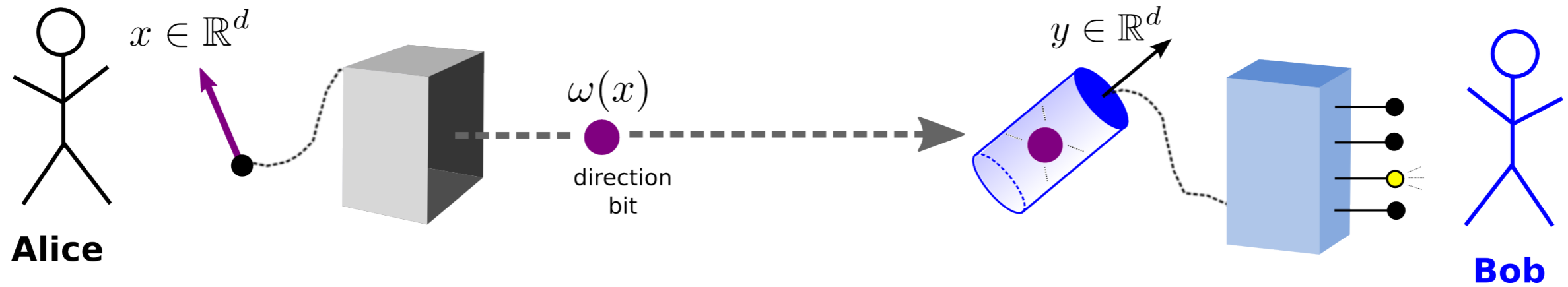
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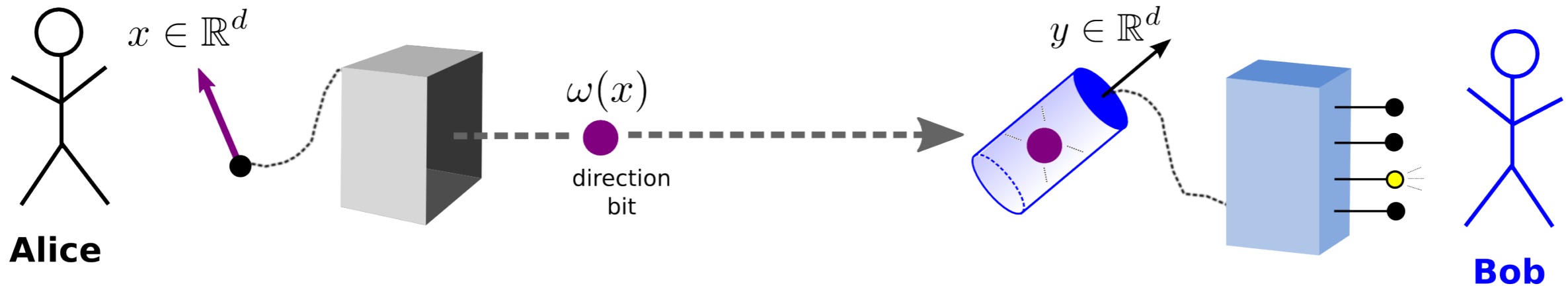


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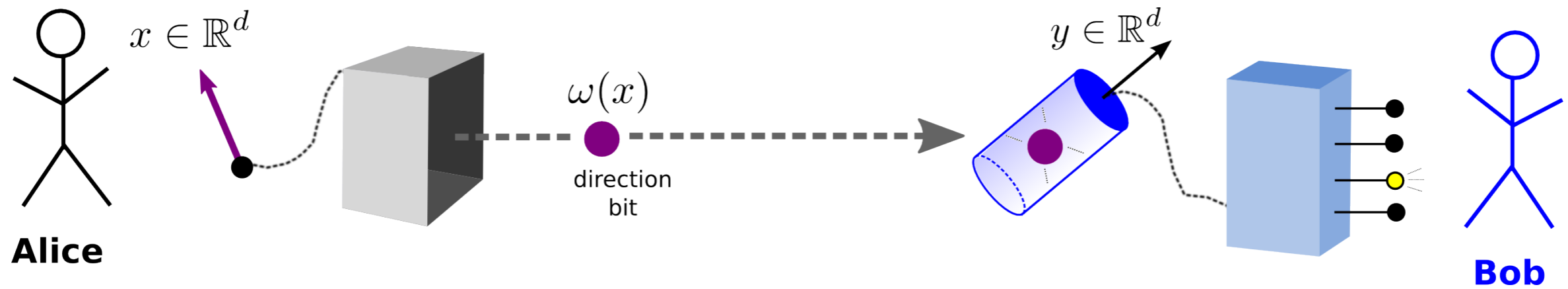
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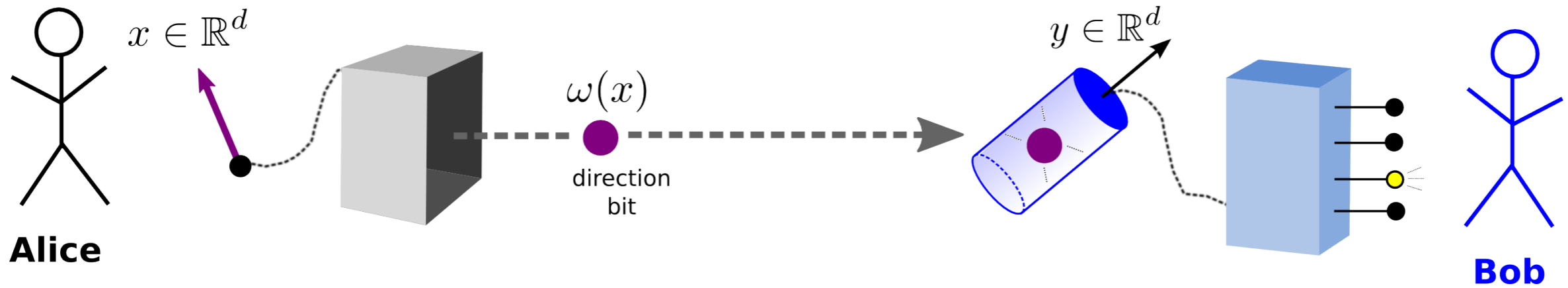
looks like spin-1/2-  
expectation value!

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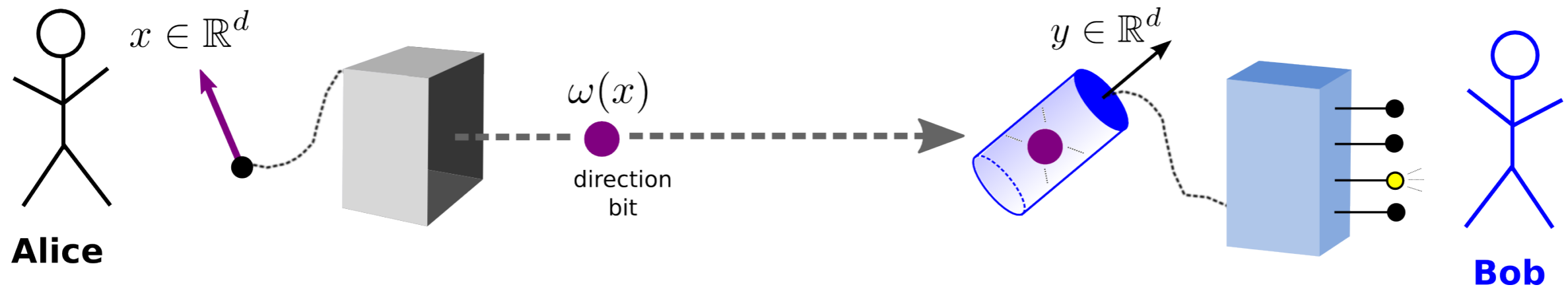


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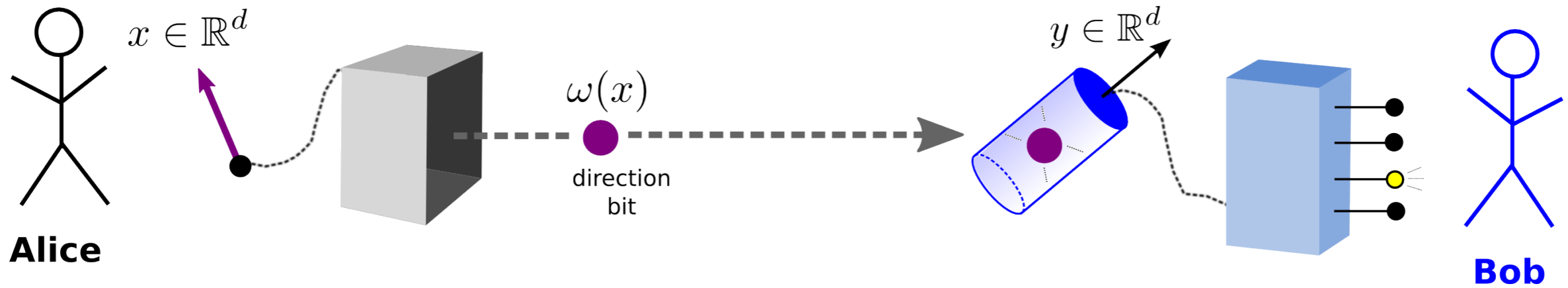


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$$\omega = \lambda\omega_x + (1 - \lambda)\mu,$$

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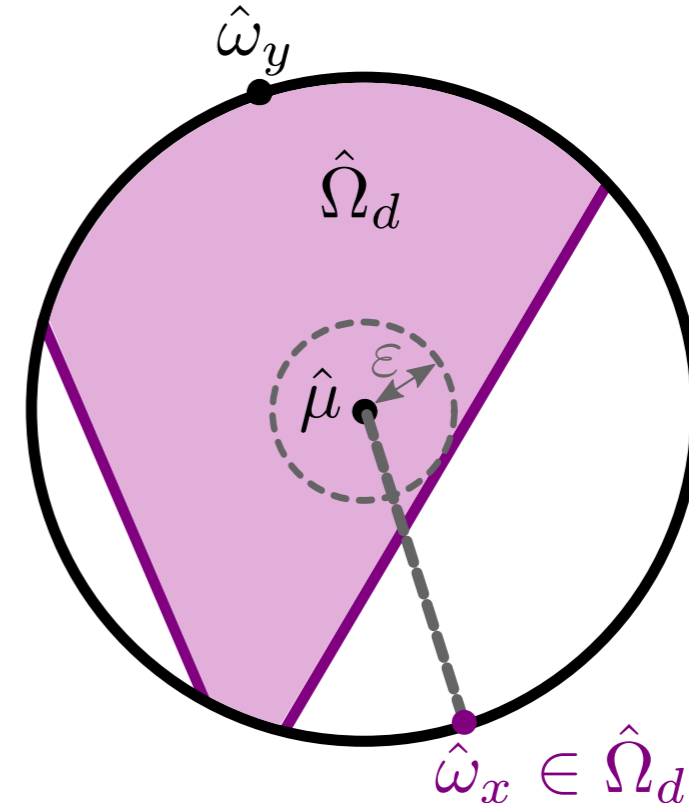
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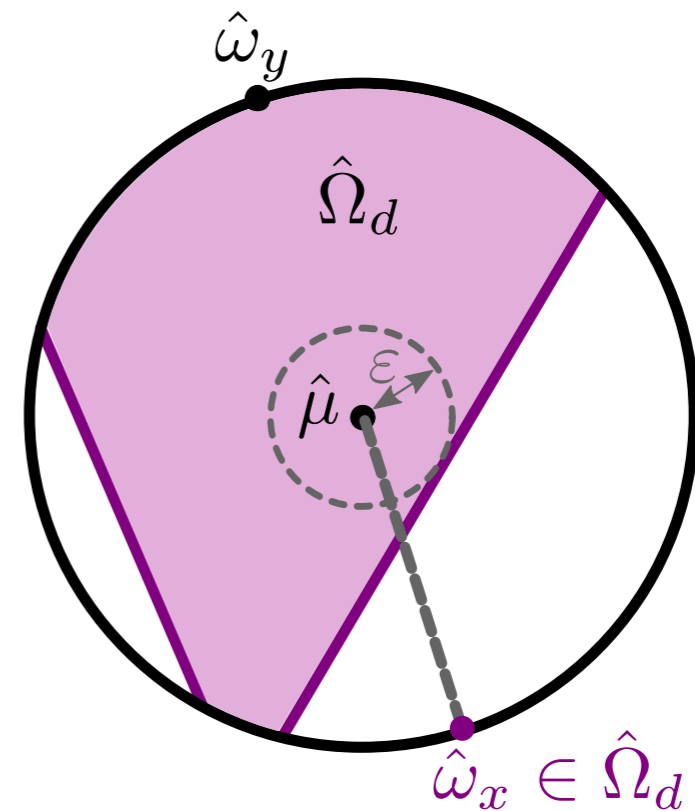
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Only possible if state space is the full ball.



# 3. The task

**Theorem 1:** The state space of a direction bit is a  $d$ -dimensional unit ball, and it holds  $d \neq 2$ .

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bit (not trit)

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complementarity etc.!

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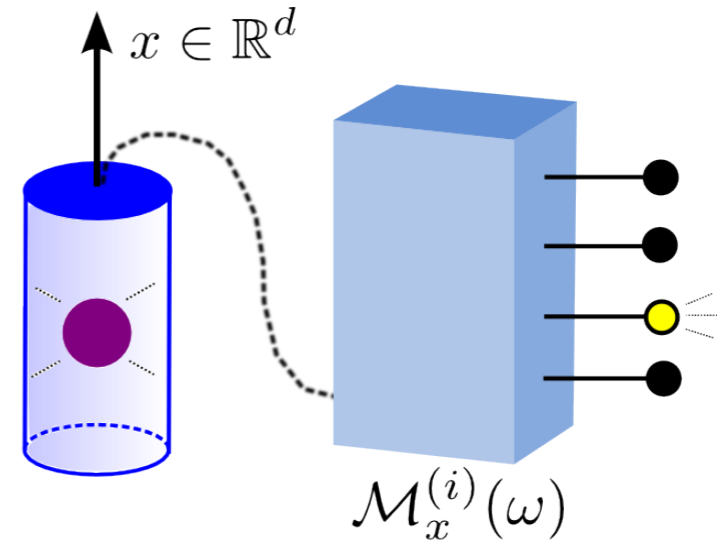
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In our world:  $d=3$ , state space=qubit, Bob holds Stern-Gerlach device.

Why  $d=3$ ? → need two more postulates.

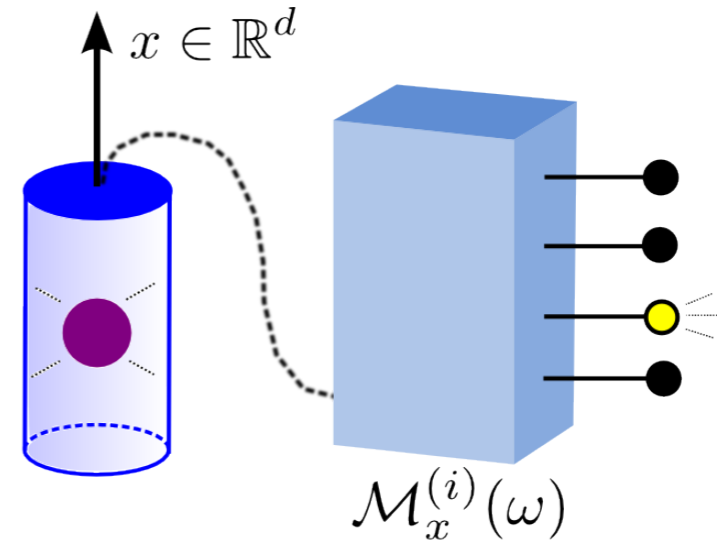
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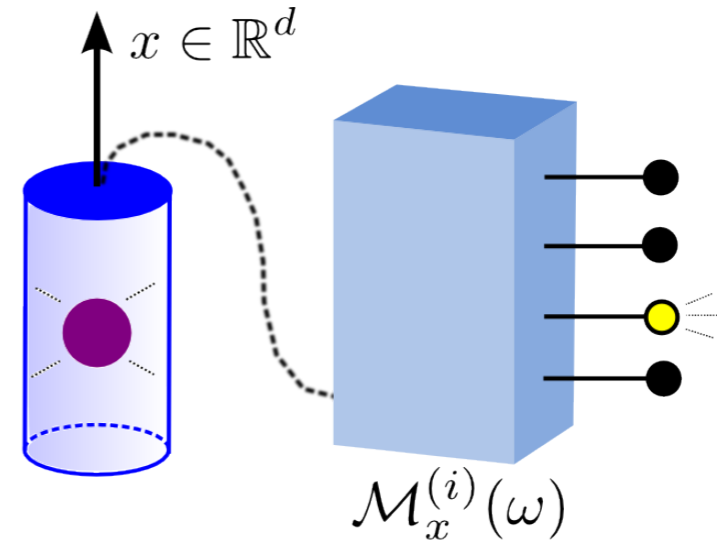
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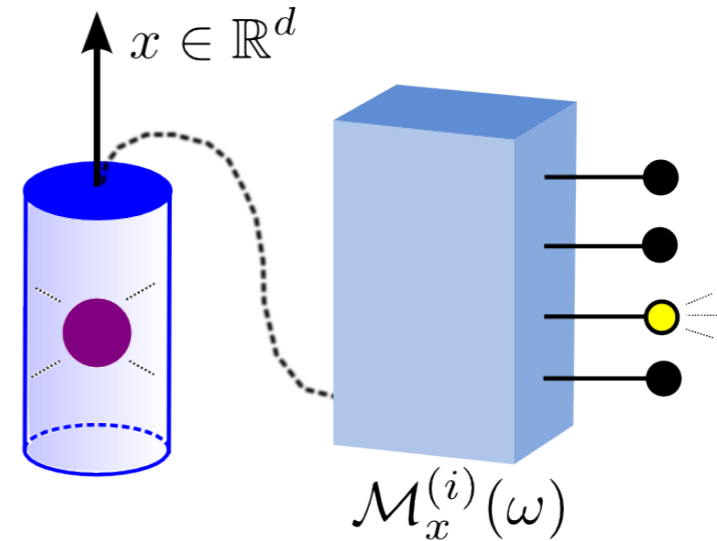


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**Theorem:** The analogs of Postulates 1+2 (for “orientation” instead of “direction”) **do not have any solution.**

Proof: State space would again be a unit ball. Pure states:  $\{\omega_X\}_{X \in SO(d)}$   
But  $SO(d)$  is not simply connected, and the sphere is.

# 4. Postulates 3+4



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Postulate 3 (interaction):

On the joint state space of two direction bits  $A$  and  $B$ , there is a **continuous one-parameter group of transformations**  $\{T_t^{AB}\}_{t \in \mathbb{R}}$  which is not a product of local transformations,  $T_t^{AB} \neq T_t^A T_t^B$ .

Otherwise **no correlation, never!**

# 4. Postulates 3+4



Basic assumptions on composite state space  $AB$ :

- Contains “product states”  $\omega^A \omega^B$ .
- Allows for “product measurements”  $\mathcal{M}^A \mathcal{M}^B$  :  
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# 4. Postulates 3+4

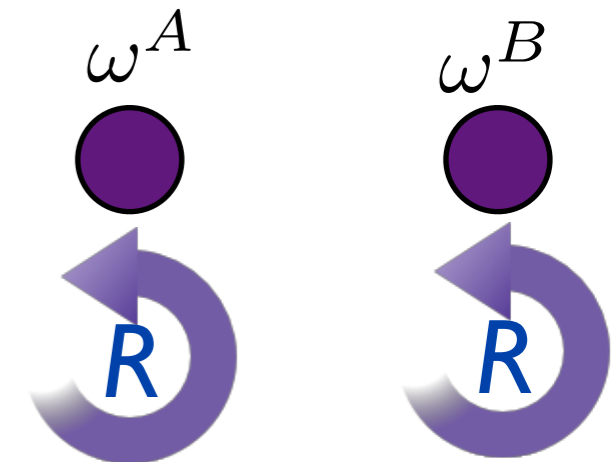


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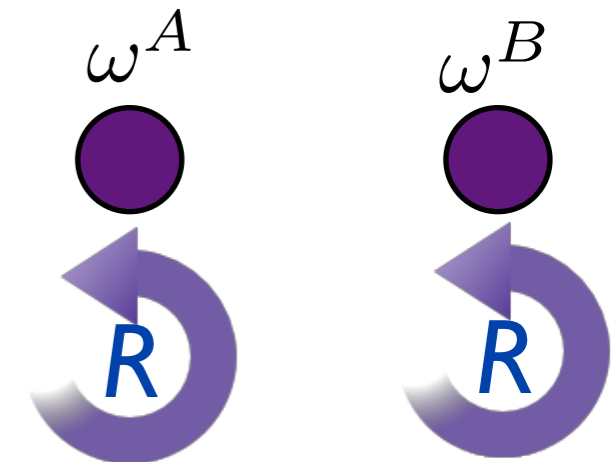


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“Local tomography”

$$\omega^A \omega^B = \omega^A \otimes \omega^B. \quad \omega^{AB} \mapsto G_R \otimes G_R(\omega^{AB}).$$

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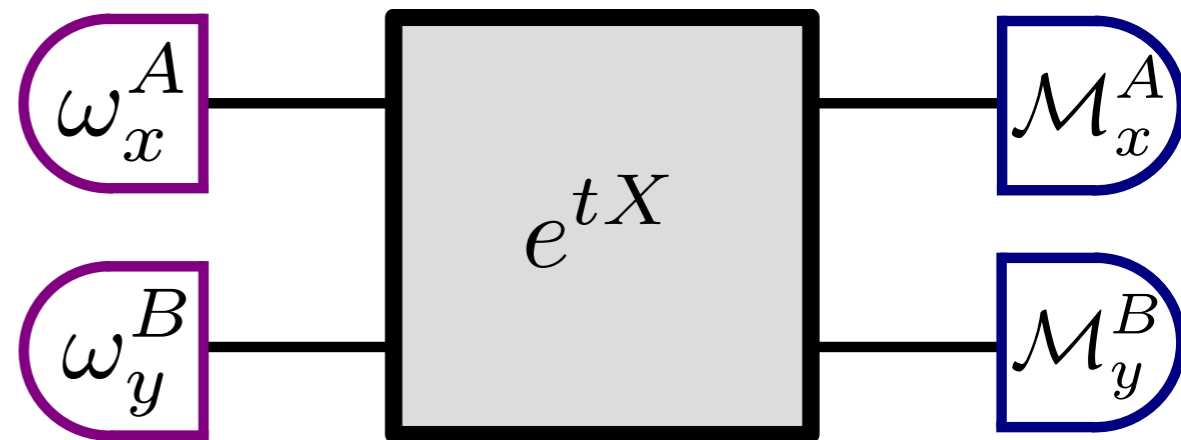


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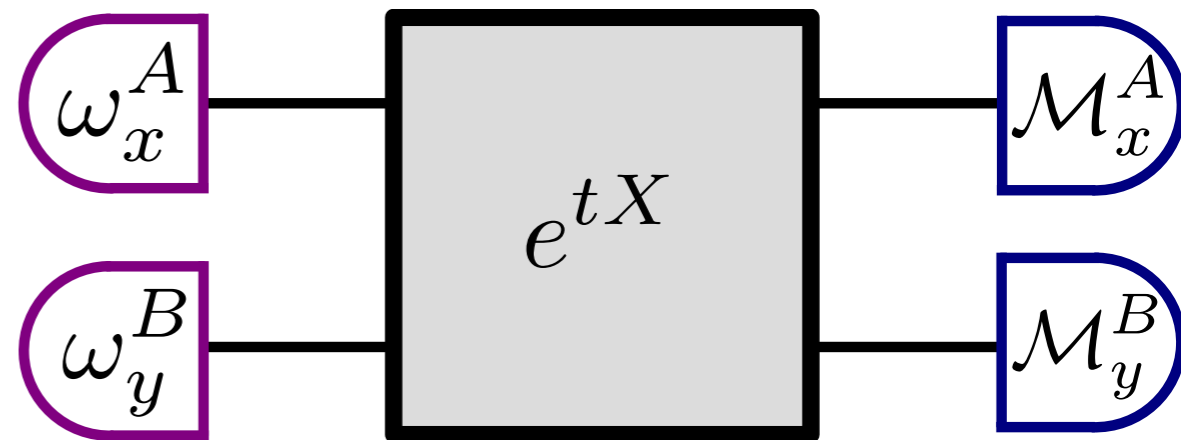
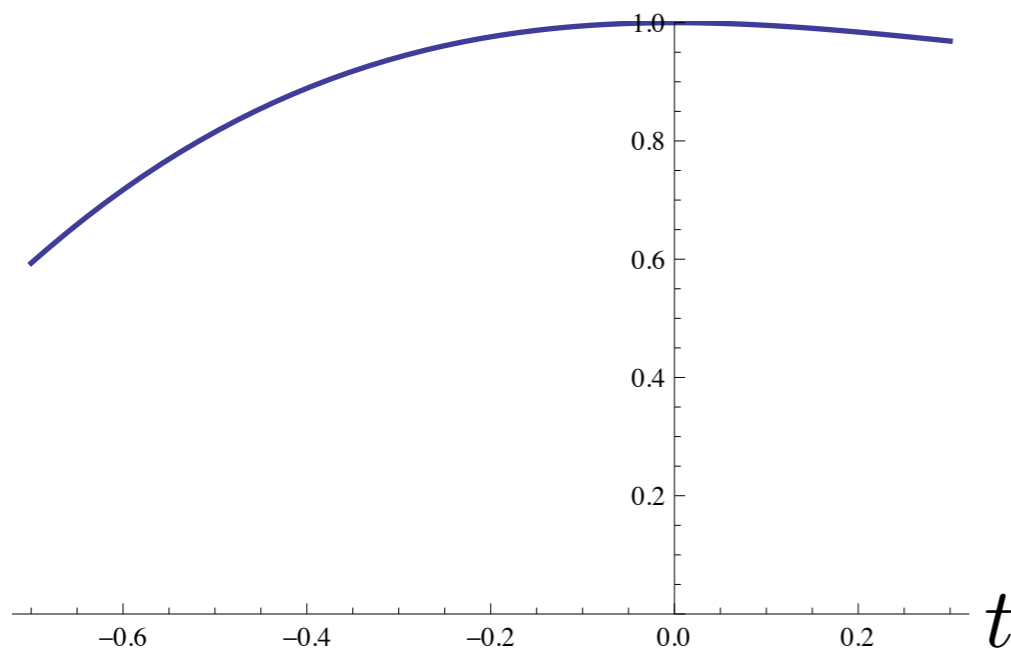


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- But this equals 1 for  $t = 0$ , thus

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- If  $d \neq 3$ , the only  $X$  satisfying them all are of the form  $X = X^A + X^B$  with local rotation generators  $X^A, X^B$ .

These generate non-interacting dynamics.

**Theorem: From Postulates 1-4 it follows that  $d=3$ .**

Proof idea (Ll. Masanes, MM, D. Pérez-García, R. Augusiak, arXiv:1111.4060)

- We get several constraints on  $X \in \mathfrak{g}^{AB}$  :

$$\mathcal{M}_x \otimes \mathcal{M}_y X \omega_x \otimes \omega_y = 0,$$

$$\mathcal{M}_x \otimes \mathcal{M}_y X^2 \omega_x \otimes \omega_y \leq 0, \quad \dots$$

- If  $d \neq 3$ , the only  $X$  satisfying them all are of the form  $X = X^A + X^B$  with local rotation generators  $X^A, X^B$ .

These generate non-interacting dynamics.

- For  $d \geq 3$ , evaluating constraints involves integrals like

$$X \mapsto \int_{SO(d-1)} G^A \otimes \mathbf{1}^B X (G^A)^{-1} \otimes \mathbf{1}^B dG^A.$$

This behaves very differently if  $SO(d-1)$  is Abelian, i.e. iff  $d=3$ . □



Theorem: From Postulates 1-4, it follows that the state space of two direction bits is **2-qubit quantum state space** (i.e. the set of 4x4 density matrices), and time evolution is given by a **one-parameter group of unitaries**,

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- We have  $d=3$ . Embed the 3-ball in the unit trace matrices of  $\mathbb{C}_{s.a.}^{2 \times 2}$

$$(r_1, r_2, r_3) \mapsto \begin{pmatrix} \frac{1}{2} + r_3 & r_1 - ir_2 \\ r_1 + ir_2 & \frac{1}{2} - r_3 \end{pmatrix}.$$

- Thus, global states will be unit trace matrices in  $\mathbb{C}_{s.a.}^{2 \times 2} \otimes \mathbb{C}_{s.a.}^{2 \times 2} = \mathbb{C}_{s.a.}^{4 \times 4}$

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- Thus, global states will be unit trace matrices in  $\mathbb{C}_{s.a.}^{2 \times 2} \otimes \mathbb{C}_{s.a.}^{2 \times 2} = \mathbb{C}_{s.a.}^{4 \times 4}$
- Now some  $X \neq X^A + X^B$  satisfy constraints. But they all generate maps of the form  $e^{tX}(\rho) = U\rho U^\dagger$  with  $U \in SU(4)$ .

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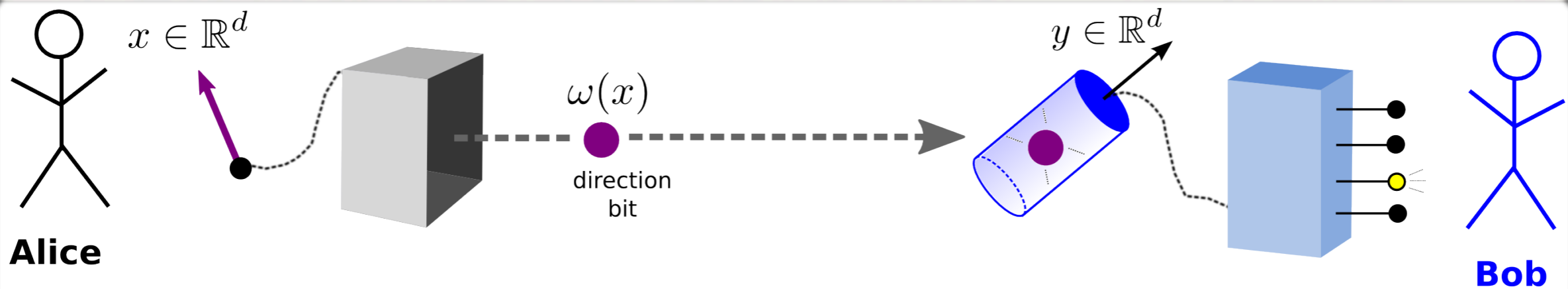
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- We have at least **one entangling unitary** (Postulate 3) and all **local unitaries** (rotations). This generates **all unitaries**!
- But these generate **all 4-level quantum states**.
- If there were additional states, these would generate negative probabilities. □

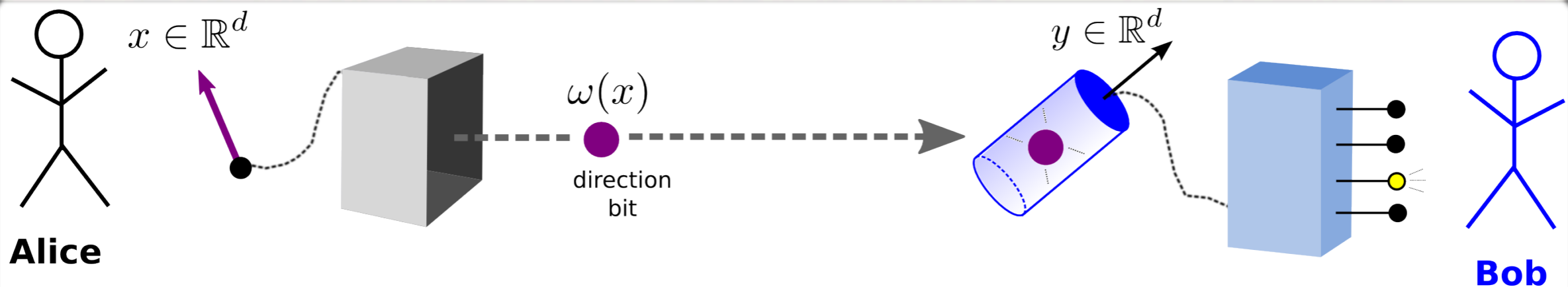
# 5. Conclusions

Attempt to clarify **relationship between geometry and the qubit**  
(still clumsy - first step only):



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Attempt to clarify **relationship between geometry and the qubit** (still clumsy - first step only):



- Start with  $d$  spatial dimensions, not assuming quantum theory.
- **Four “information-theoretic” postulates** on the relation between spatial geometry (rotations) and probability
- Proof that these determine  $d=3$  and **quantum theory** on 2 bits.

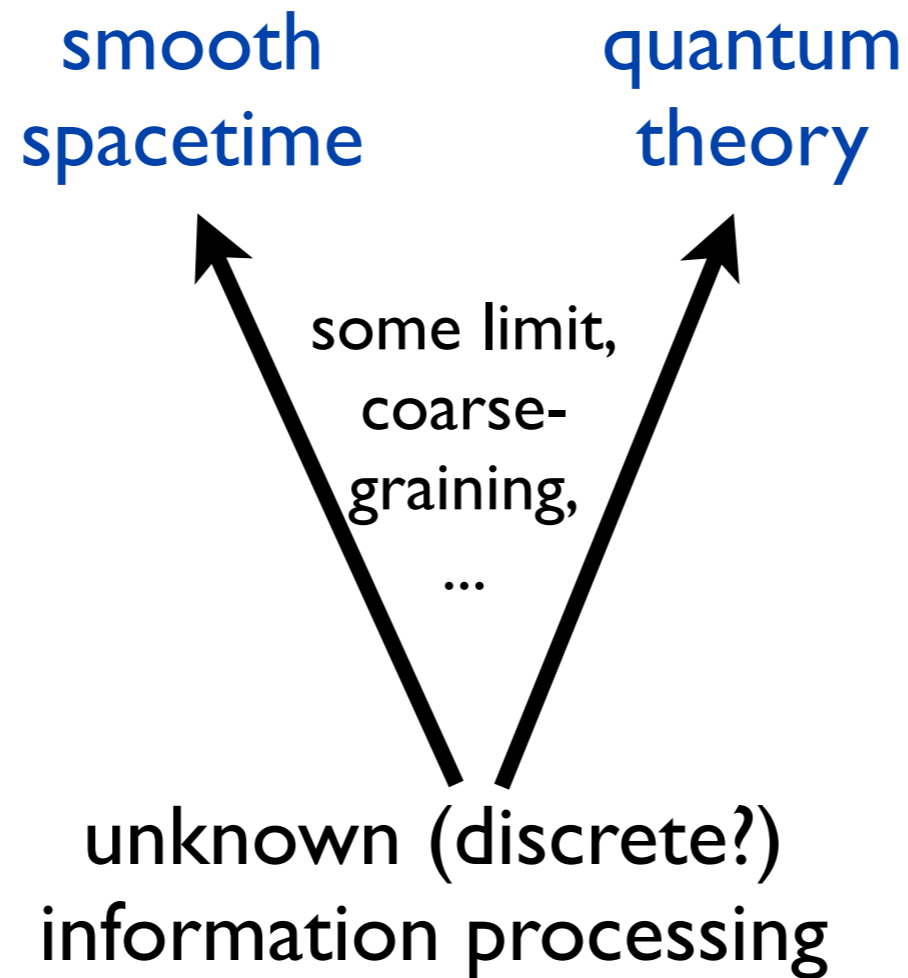


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What does this tell us? Some speculation...

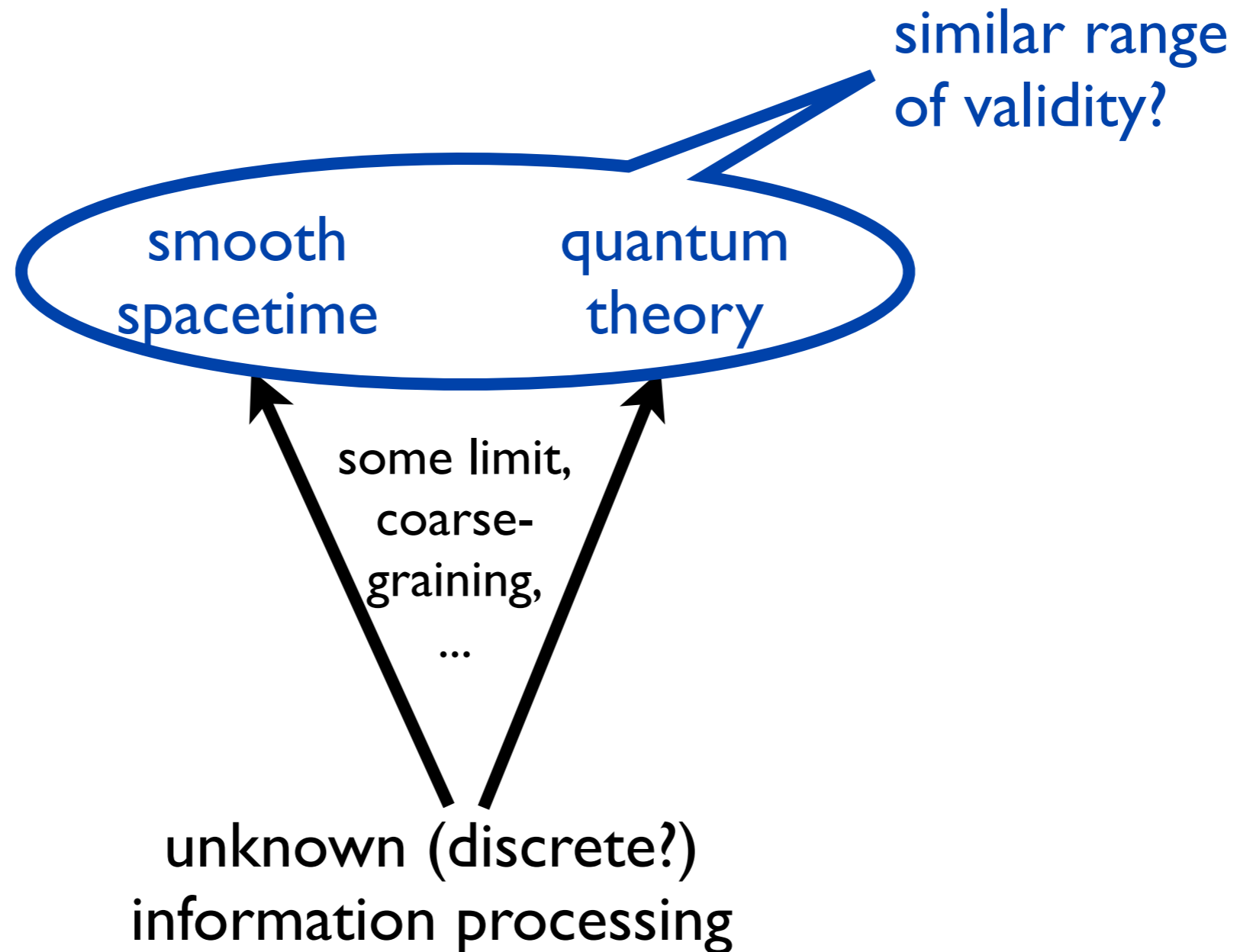
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ruling out  $d \neq 3$ :

LI. Masanes, MM, D. Pérez-García, R. Augusiak, arXiv:1111.4060

$d=3$  implies quantum theory:

G. de la Torre, LI. Masanes, T. Short, MM, Phys. Rev. Lett. **109**, 090403 (2012)  
arXiv:1110.5482

this talk:

MM, LI. Masanes, arXiv:1206.0630

# Thank you!