

# Reversibility as an axiom for quantum theory and the search for its closest cousins

Markus P. Müller

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Joint work with Lluís Masanes, Tony Short, ...

# Outline

1. Motivation
2. Axiomatization of QT
3. Reversibility as a strong axiom
4. QT's closest cousins
5. Geometry and probability

# I. Motivation

John A. Wheeler, New York Times, Dec. 12 2000:

*„Quantum physics [...] has explained the structure of atoms and molecules, [...] the behavior of semiconductors [...] and the comings and goings of particles from neutrinos to quarks.*

*Successful, yes, but mysterious, too.  
Why does the quantum exist?“*



The New York Times

## Testing Quantum Mechanics

STEVEN WEINBERG\*

*Theory Group, Department of Physics,  
University of Texas, Austin, Texas 78712*

Received March 6, 1989

This paper presents a general framework for introducing nonlinear corrections into ordinary quantum mechanics, that can serve as a guide to experiments that would be sensitive to such corrections. In the class of generalized theories described here, the equations that determine the time-dependence of the wave function are no longer linear, but are of Hamiltonian type. Also, wave functions that differ by a constant factor represent the same physical state and satisfy the same time-dependence equations. As a result, there is no difficulty in combining separated subsystems. Prescriptions are given for determining the states in which observables have definite values and for calculating the expectation values of observables for general states, but the calculation of probabilities requires detailed analysis of the method of measurement. A study is presented of various...



### WEINBERG'S NON-LINEAR QUANTUM MECHANICS AND SUPRALUMINAL COMMUNICATIONS

N. GISIN

*Group on Applied Physics, University of Geneva, 1211 Geneva 4, Switzerland*

Received 16 October 1989; accepted for publication 3 November 1989

Communicated by J.P. Vigièr

We show with an example that Weinberg's general framework for introducing non-linear corrections into quantum mechanics allows for arbitrarily fast communications.

Recently Weinberg has proposed a general framework for introducing non-linear corrections into ordinary quantum mechanics [1,2]. Although we fully support his emphasis on the importance of testing quantum mechanics, we would like in this Letter to draw attention to the difficulty of modifying quantum mechanics without introducing arbitrarily fast actions at a distance. Below we show how to construct, within Weinberg's framework, an arbitrarily fast telephone line. In ordinary quantum mechanics

to know what such an apparatus is... do you know what is inside your phone?) In order to simplify we consider only a single-bit message. The two directions  $z$  and  $u$  are in the  $xz$ -plane orthogonal to the incoming flow of particles, and are  $45^\circ$  from each other. The way the inhomogeneous magnetic field acts on the particles is well-known from experimental evidence. After the apparatus there are two counters. For each particle one of the counters will click. This click will be amplified until all readers of



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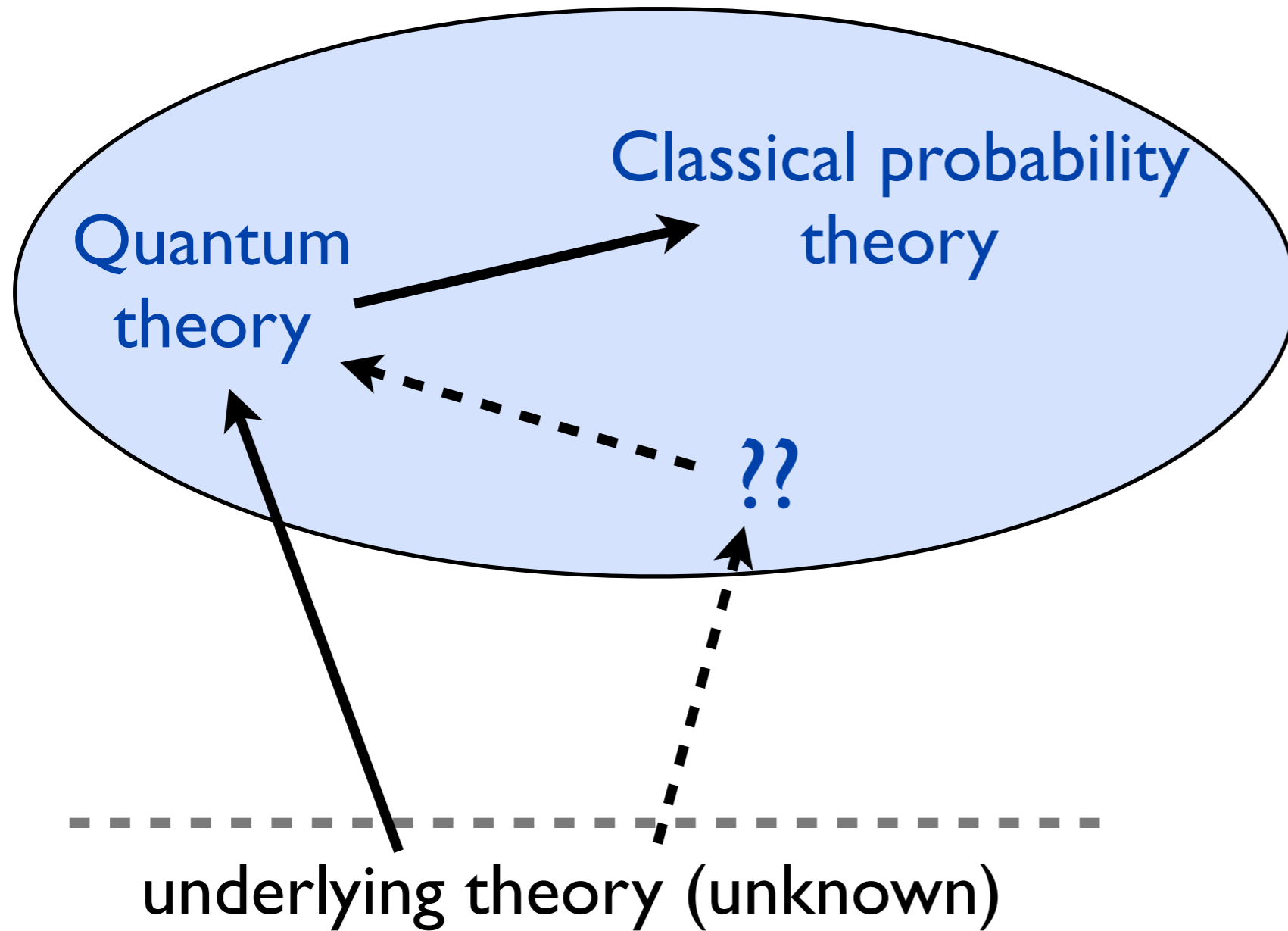
In this talk:

## Reversible Dynamics

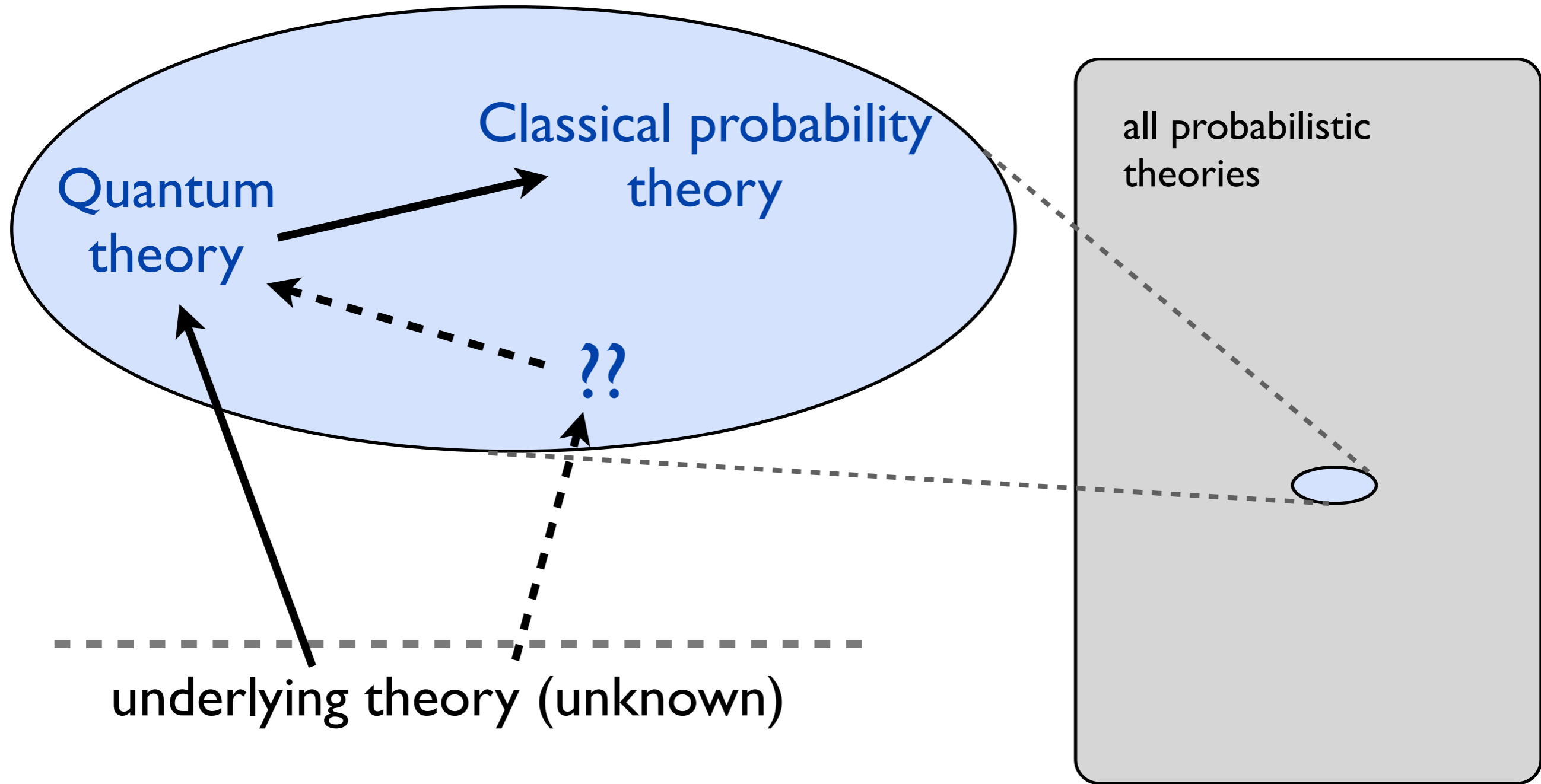
- determines to large extent the **structure of QT**,
- allows to explore physically natural **modifications of QT**,
- suggests that **geometry and probability** might be fundamentally related.



# Philosophy:

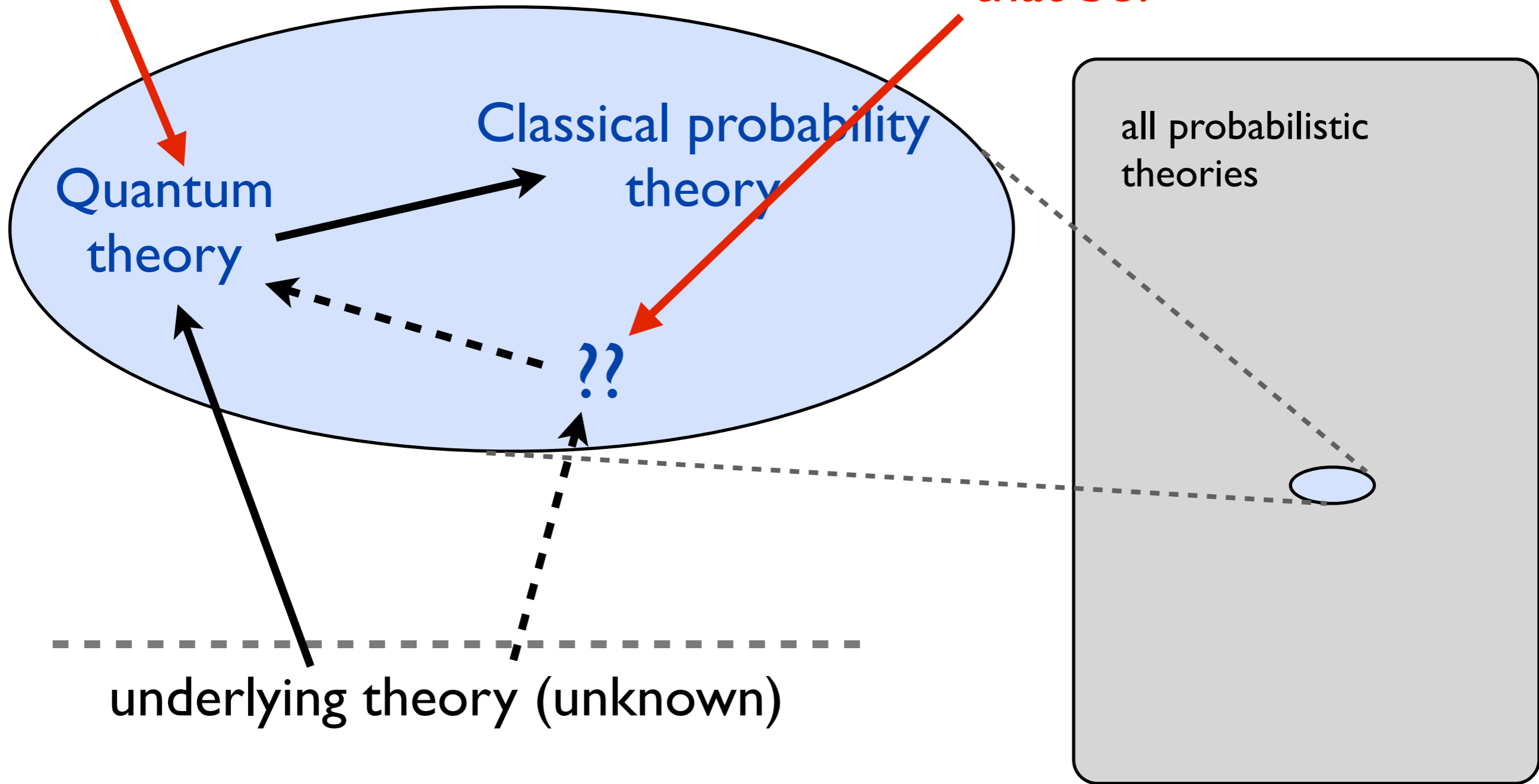






Why QT?

What could that be?



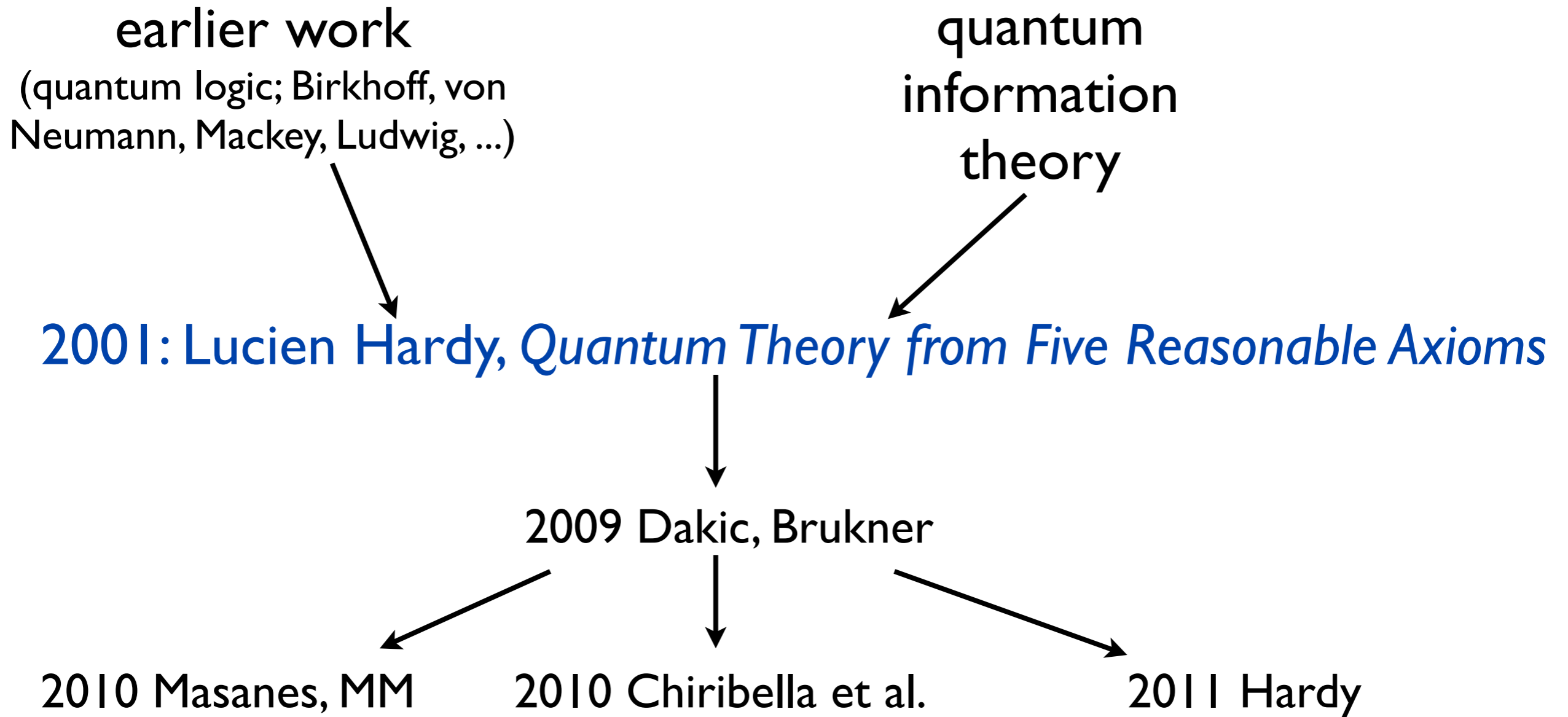
## 2. Axiomatization of QT

earlier work  
(quantum logic; Birkhoff, von  
Neumann, Mackey, Ludwig, ...)

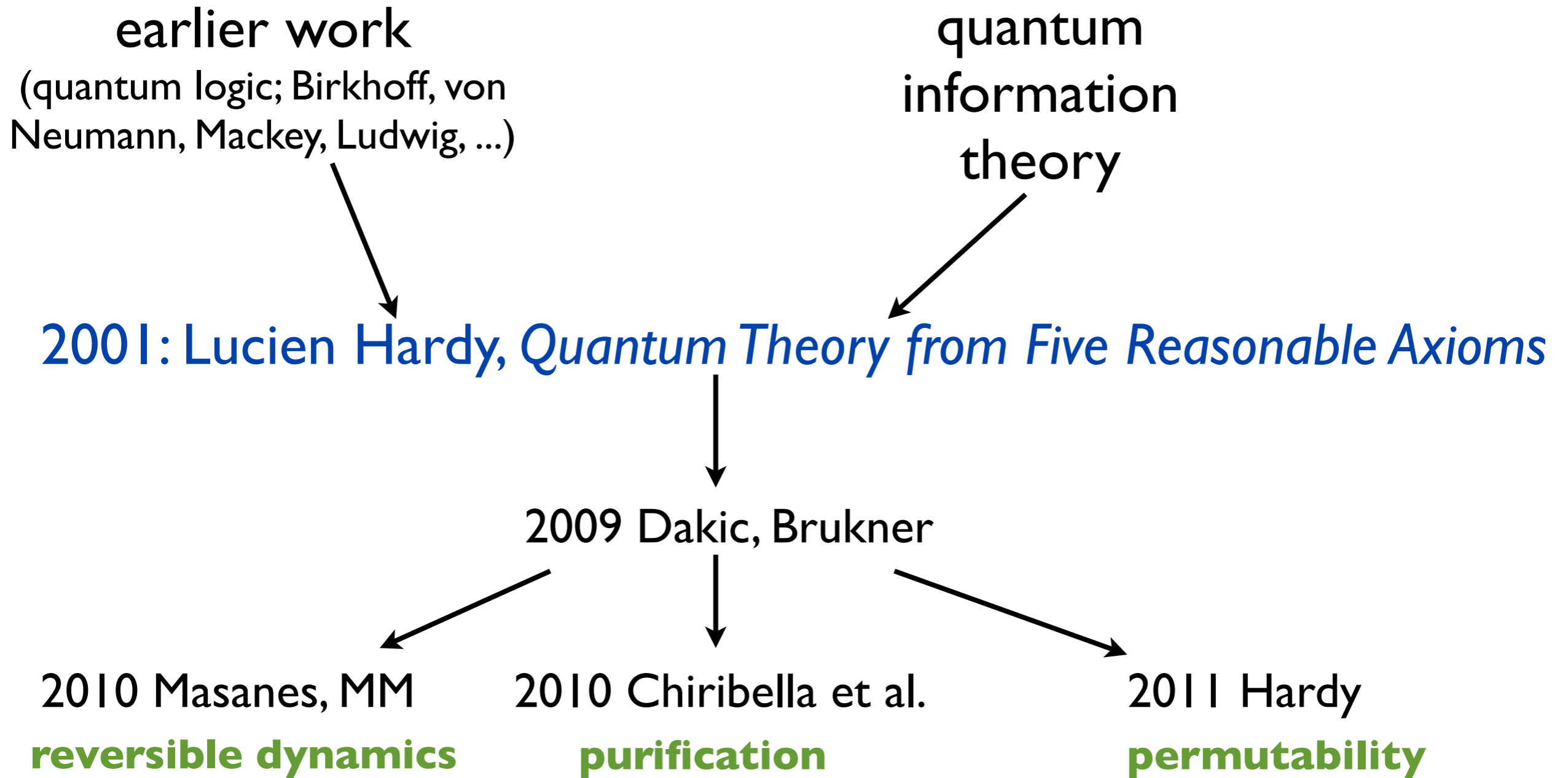
quantum  
information  
theory

2001: Lucien Hardy, *Quantum Theory from Five Reasonable Axioms*

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quantum information theory

2001: Lucien Hardy, *Quantum Theory from Five Reasonable Axioms*

2009 Dakic, Brukner

• close to physics  
• power of group theory

2010 Masanes, MM  
**reversible dynamics**

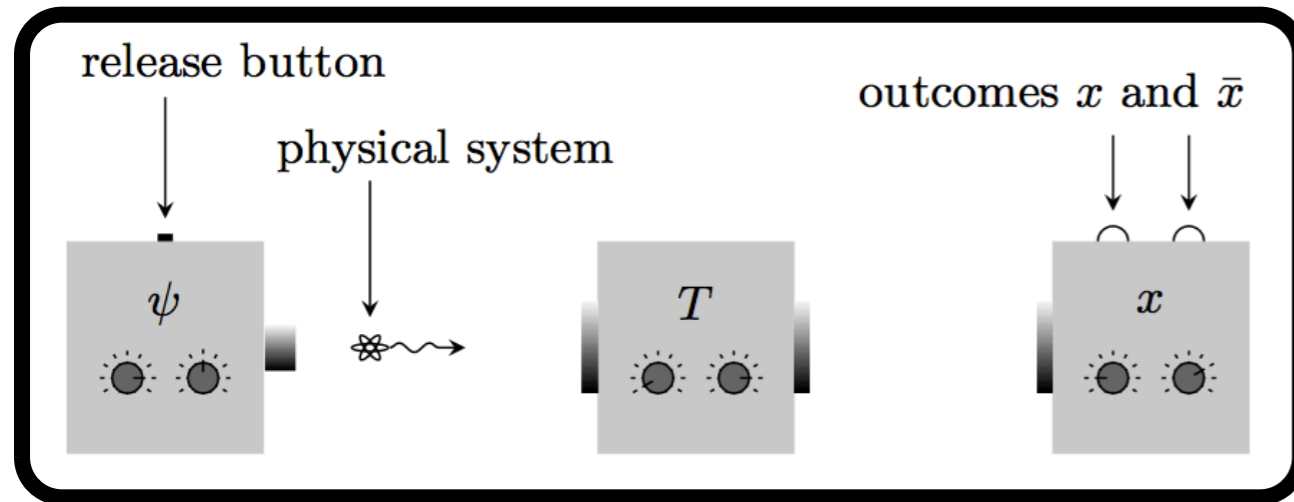
2010 Chiribella et al.  
**purification**

2011 Hardy  
**permutability**



# Setting the stage: probabilistic theories

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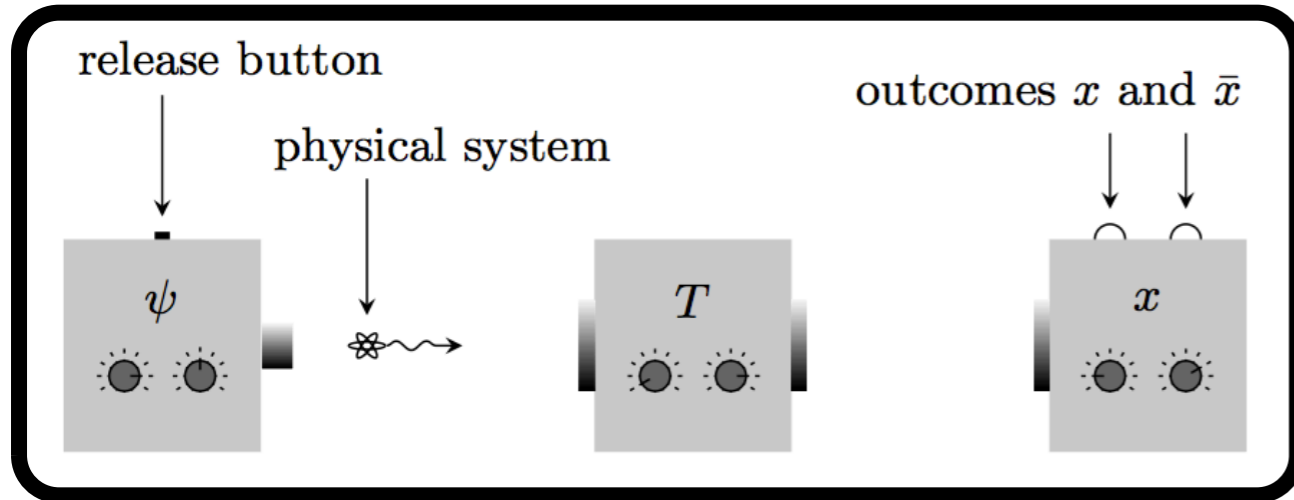


(Unnormalized) state  $\omega =$   
list of all probabilities of „yes“-  
outcomes of all possible measurements.

$$\omega = (p_1, p_2, p_3, p_4, p_5, p_6, \dots)$$



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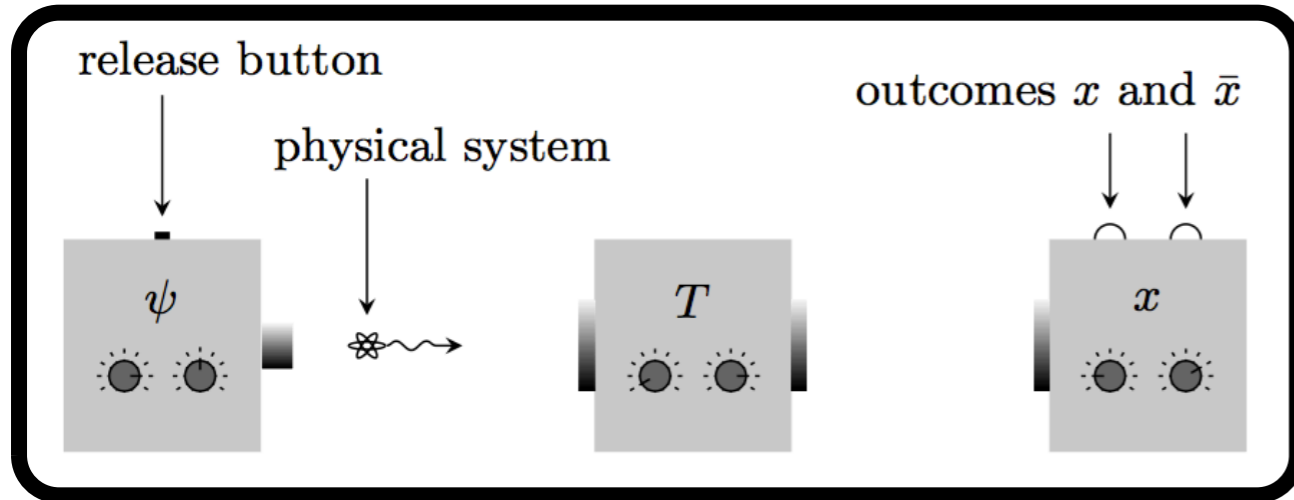
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Sometimes, all  $\omega$  span a **finite-dimensional subspace**. Ex.: Qubit.

- What's the prob. of „spin up“ in **X**-direction?
- What's the prob. of „spin up“ in **Y**-direction?
- What's the prob. of „spin up“ in **Z**-direction?
- Is the particle there **at all**?

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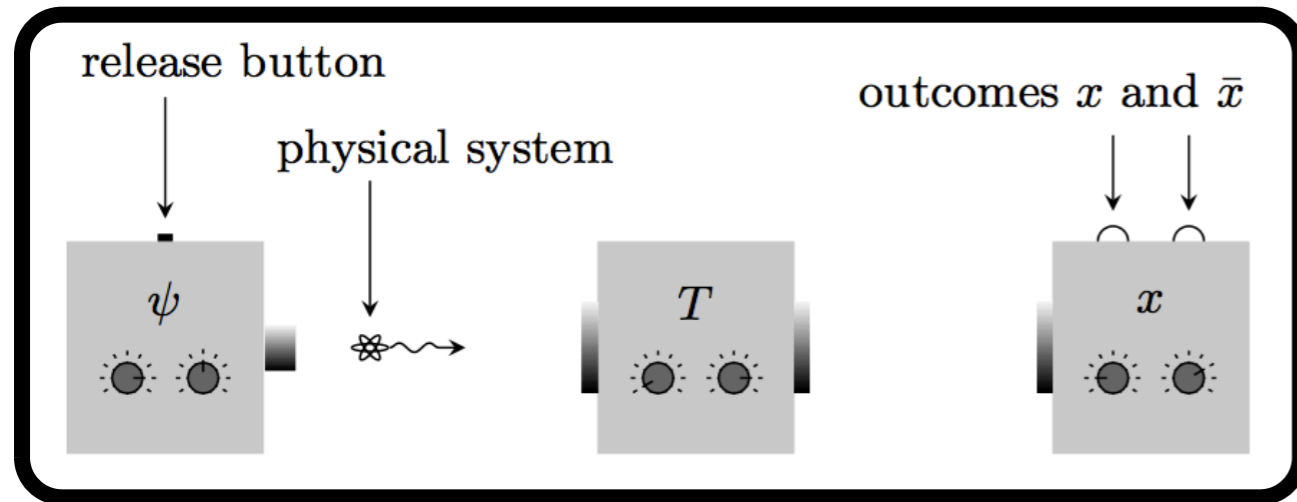
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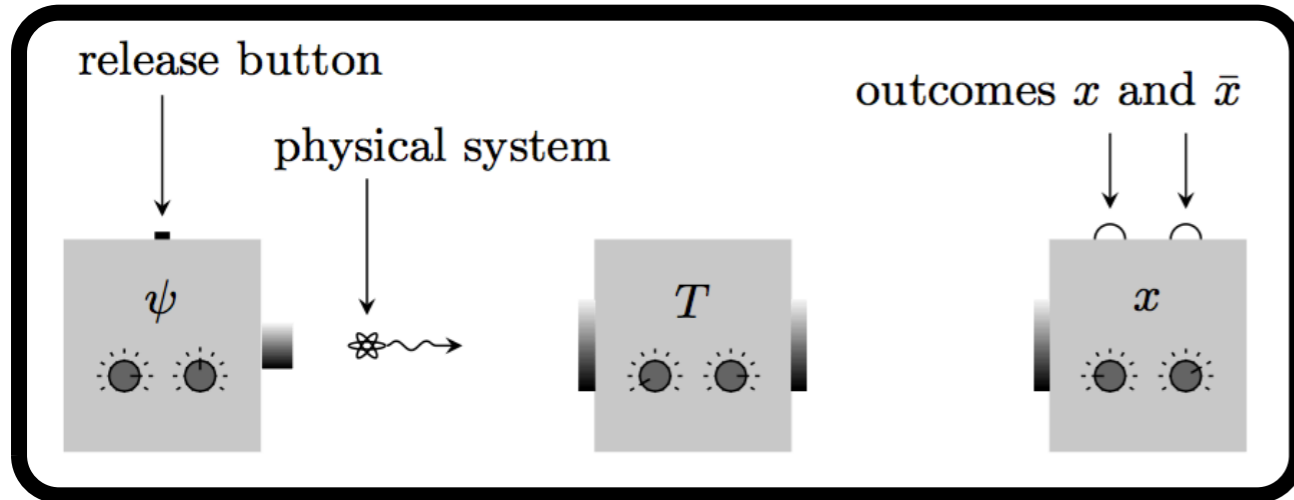
**Axiom I: All state spaces are finite-dimensional.**

# Setting the stage: probabilistic theories



Prepare state  $\omega$  or  $\varphi$  with prob.  $\frac{1}{2}$ . Result:  $\frac{1}{2}\omega + \frac{1}{2}\varphi$

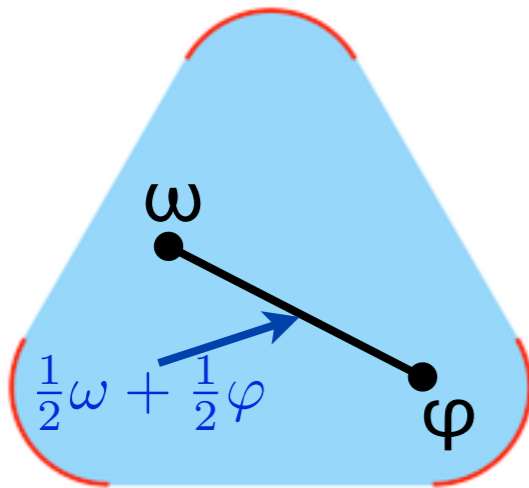
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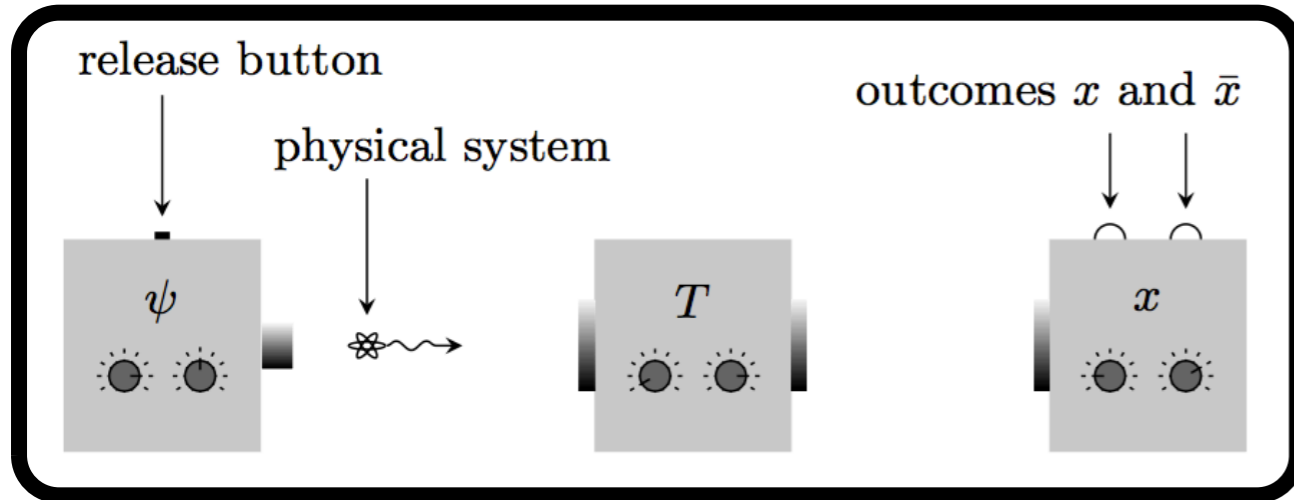
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State spaces are **convex sets**.

Extreme points are **pure**, others **mixed**.



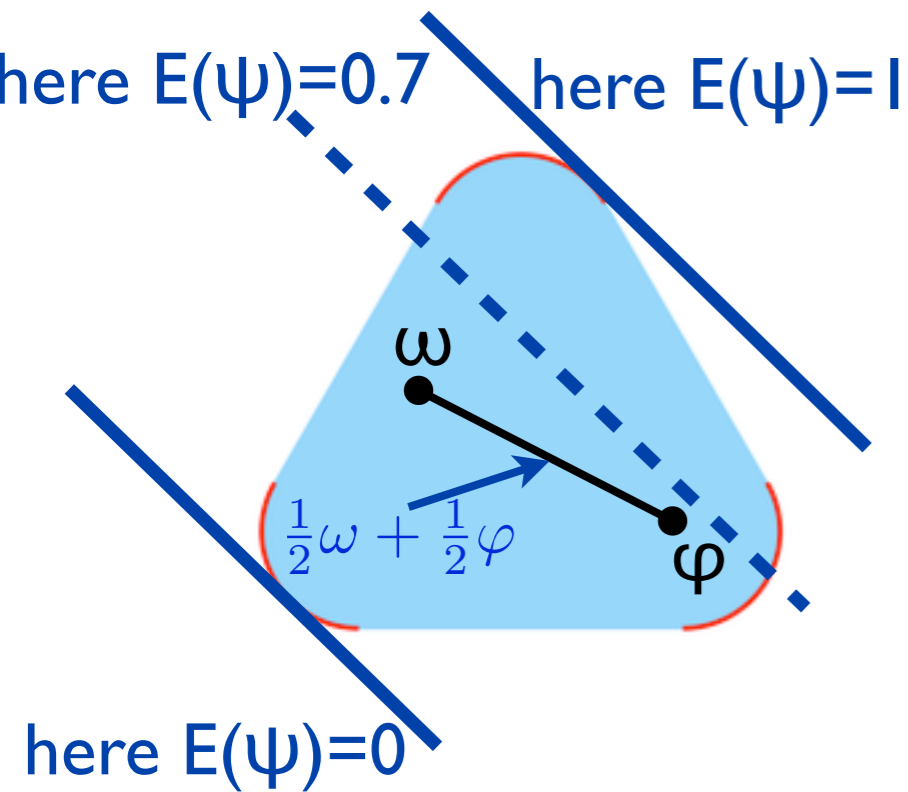
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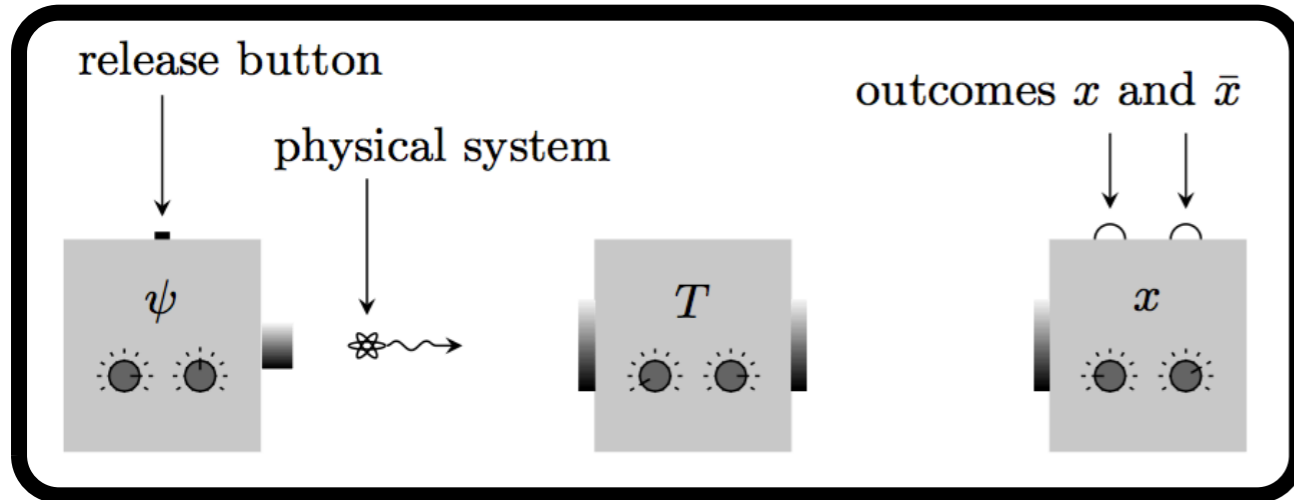
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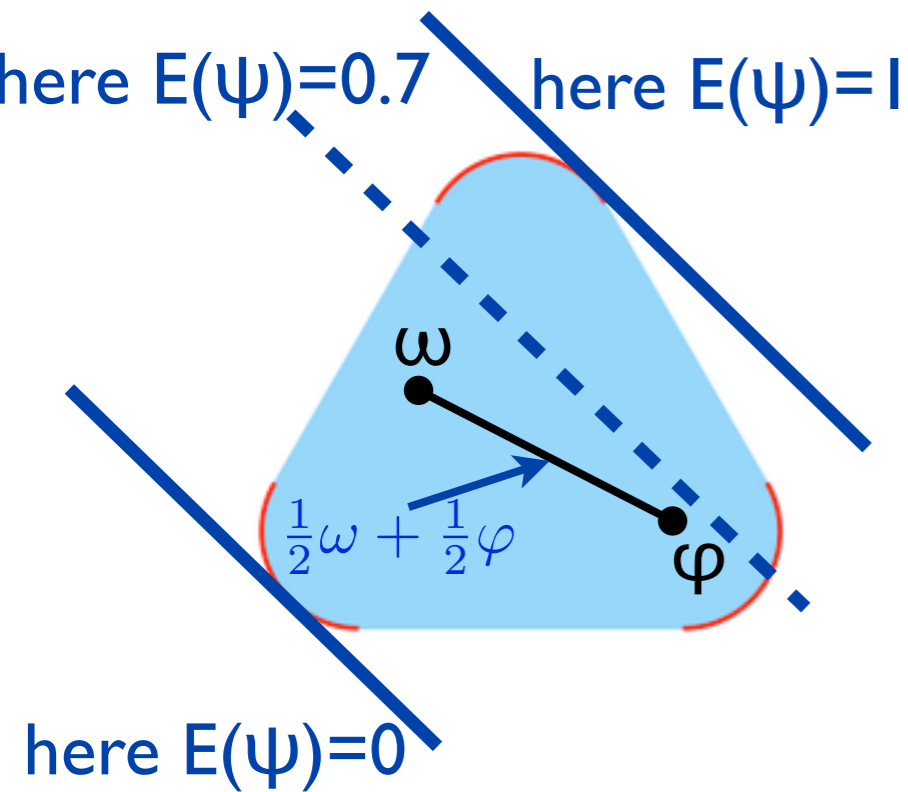
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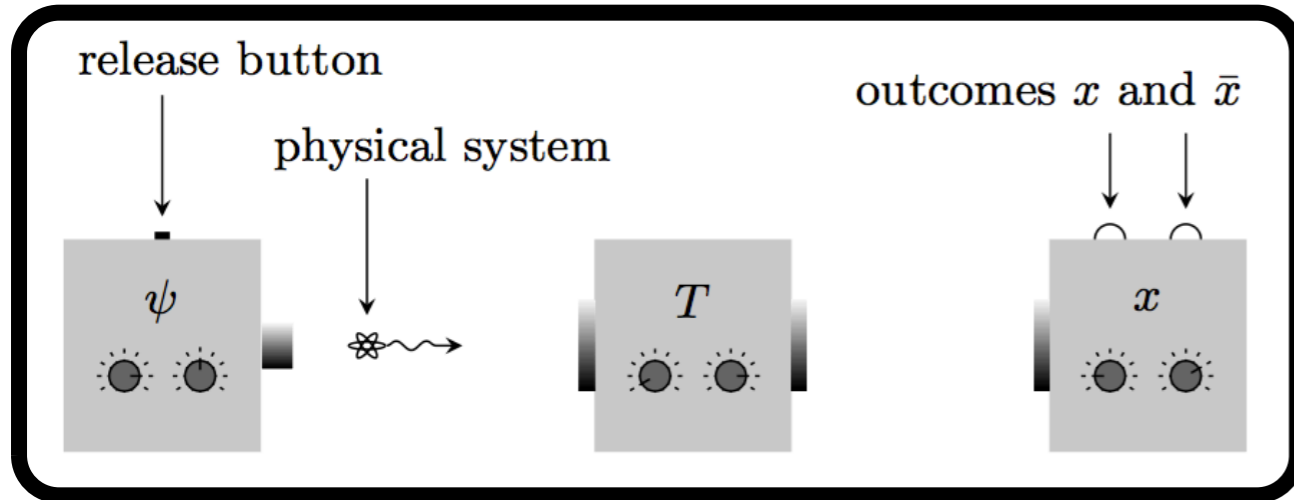
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**Measurements** are  $(E_1, E_2, \dots, E_k)$  with  $\sum_i E_i(\psi) = 1$  for all  $\psi$ .

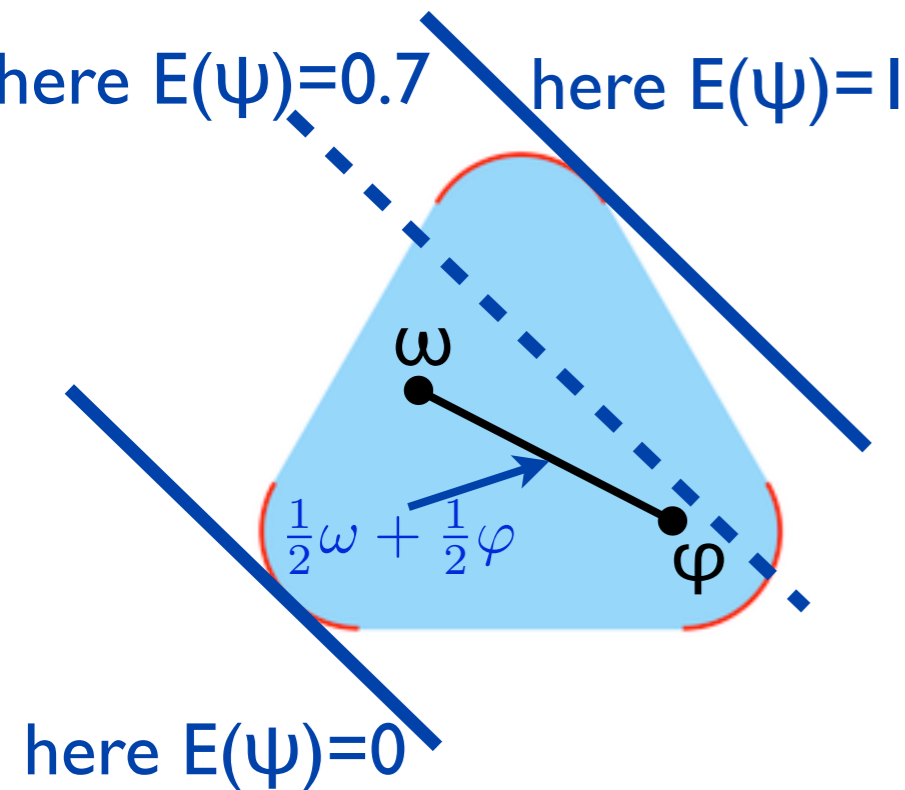
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**Axiom II: No further restrictions on the set of possible measurements.**

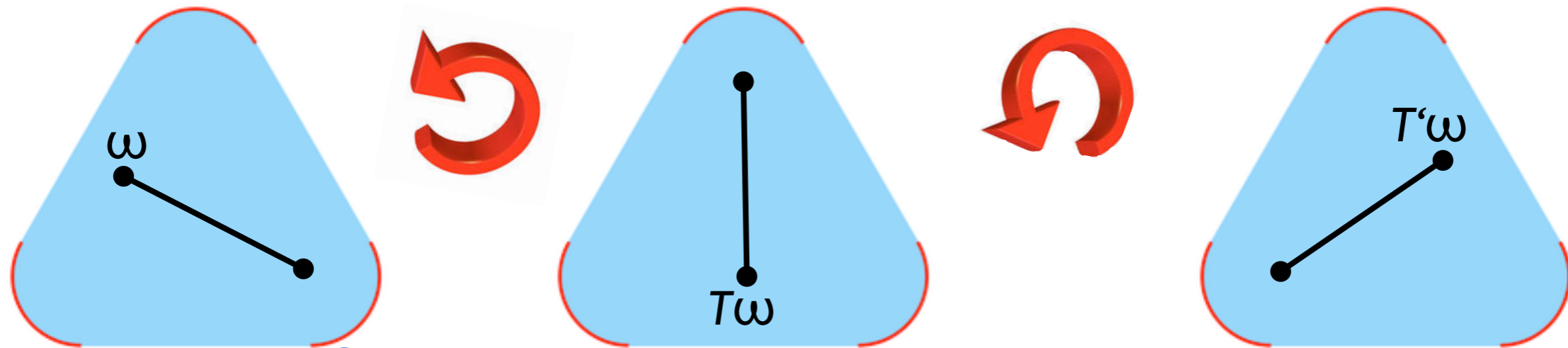
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**Reversible transformations** form a group  $\mathcal{G}_A$ . In quantum theory:  $\rho \mapsto U\rho U^\dagger$

They are symmetries of state space:  $T(\Omega_A) = \Omega_A$

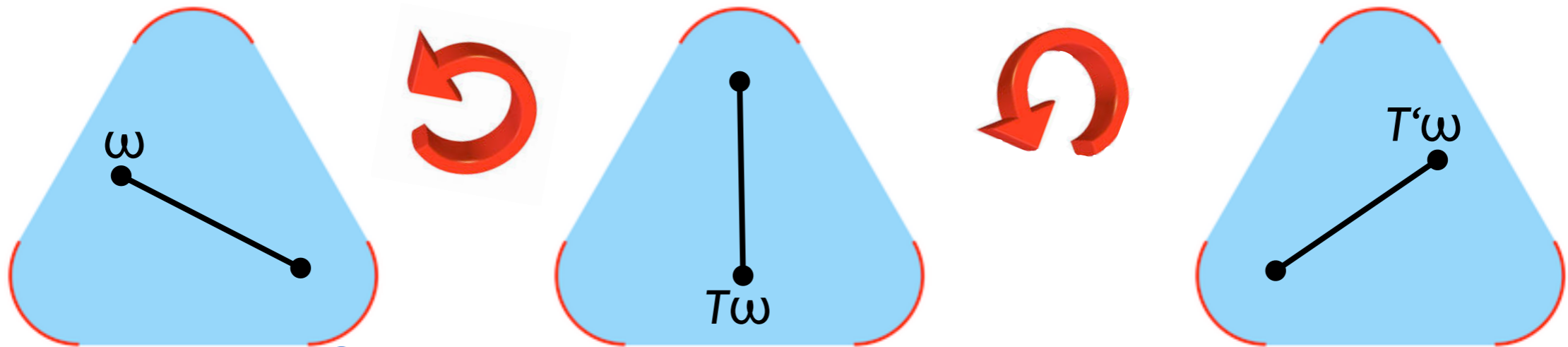


Normalized state space  $\Omega_A$

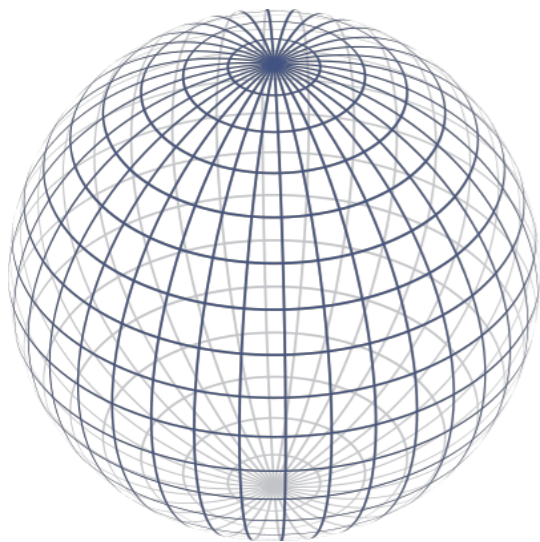
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Normalized state space  $\Omega_A$



**Qubit:**  $\Omega_A$  is the 3D unit ball,

$$\mathcal{G}_A = SO(3) \text{ (no reflections!)}$$

$\Rightarrow$  A **system** is a pair  $(\Omega_A, \mathcal{G}_A)$ .

state space

reversible transformations

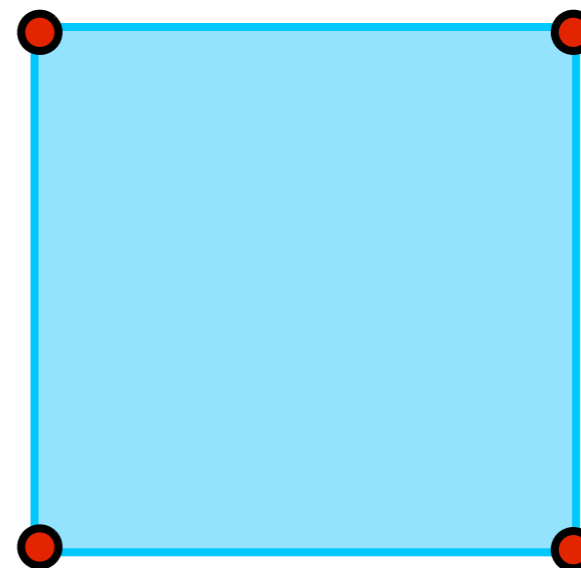
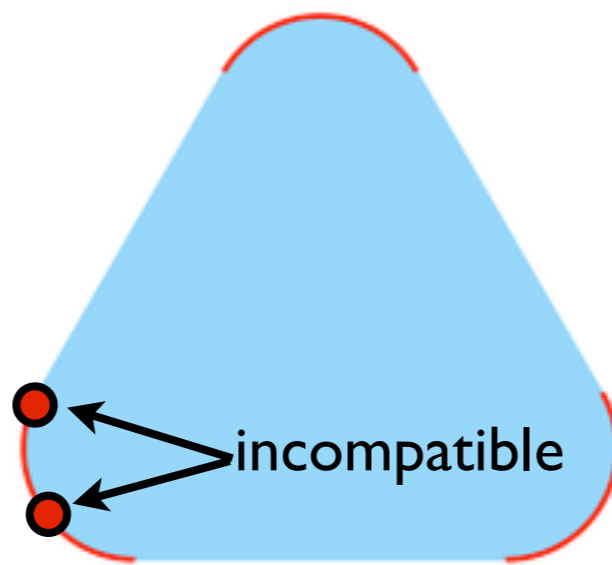
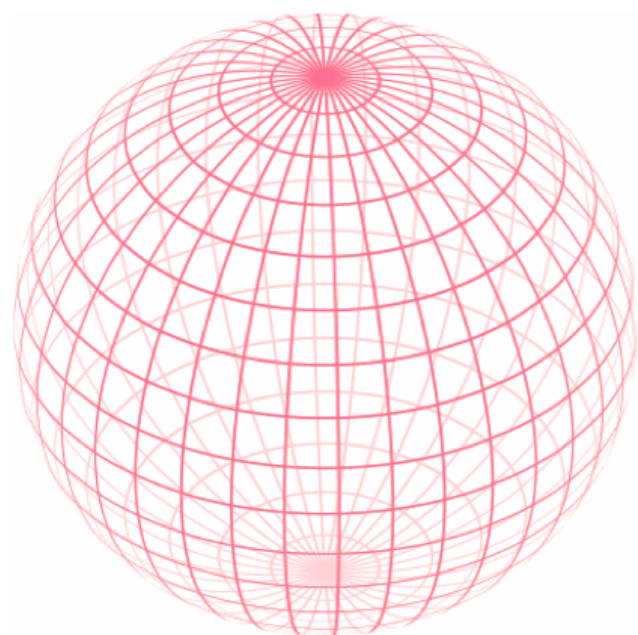
Axiom III: For every pair of pure states  $\varphi, \omega$ , there is a **reversible** transformation  $T \in \mathcal{G}_A$  such that  $T\varphi = \omega$ .

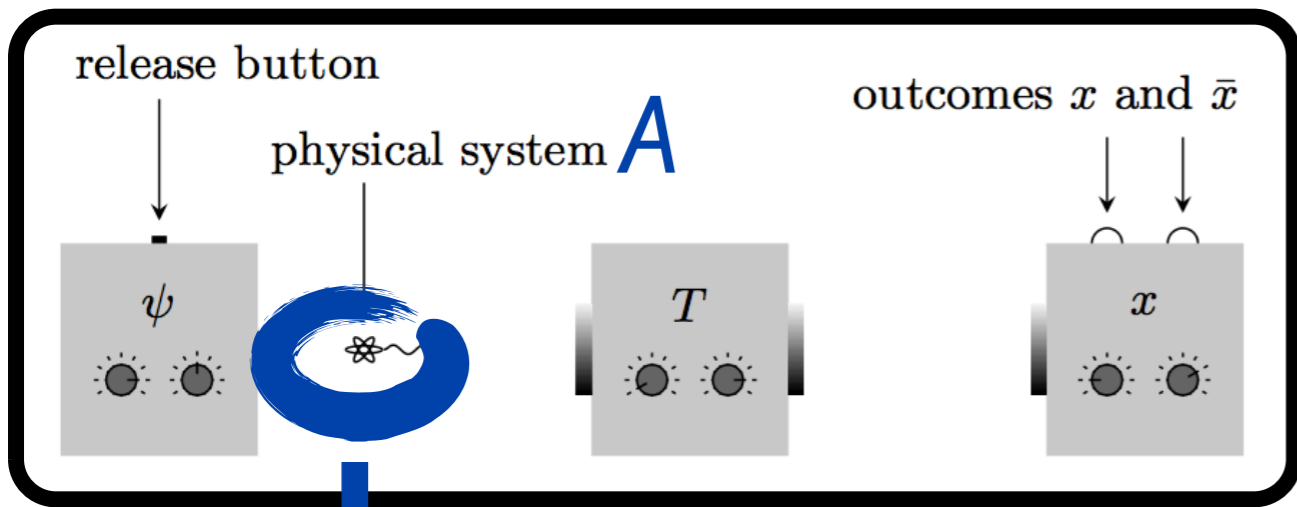


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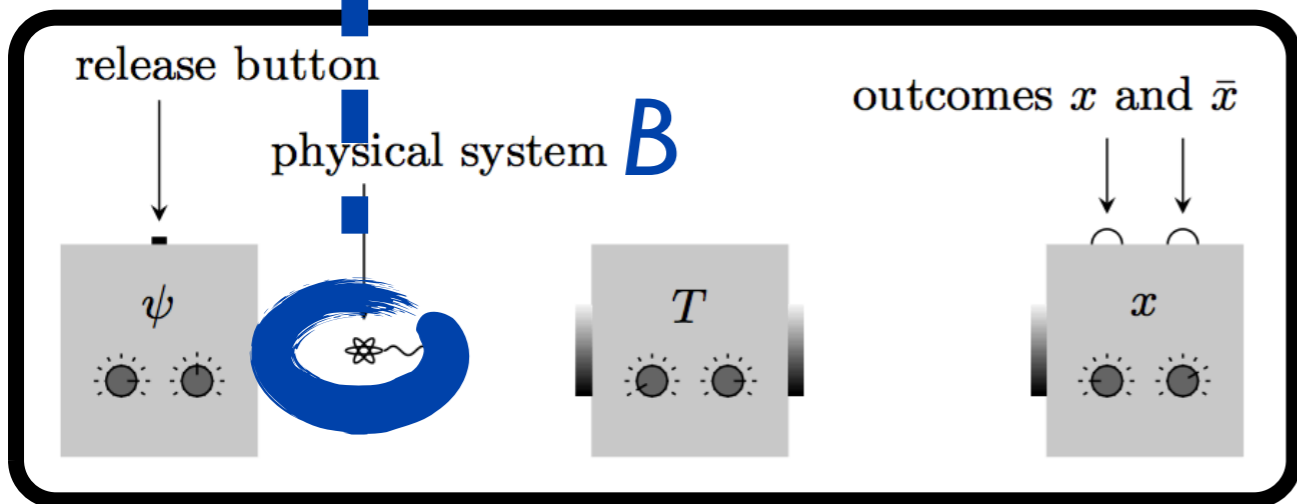


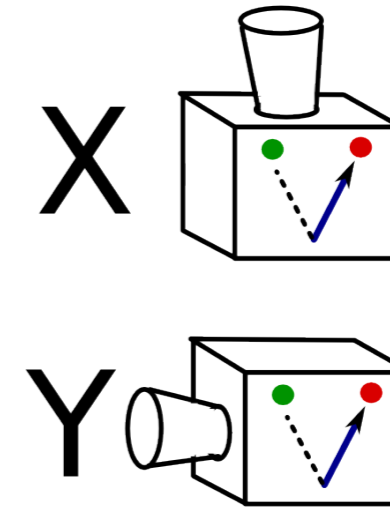
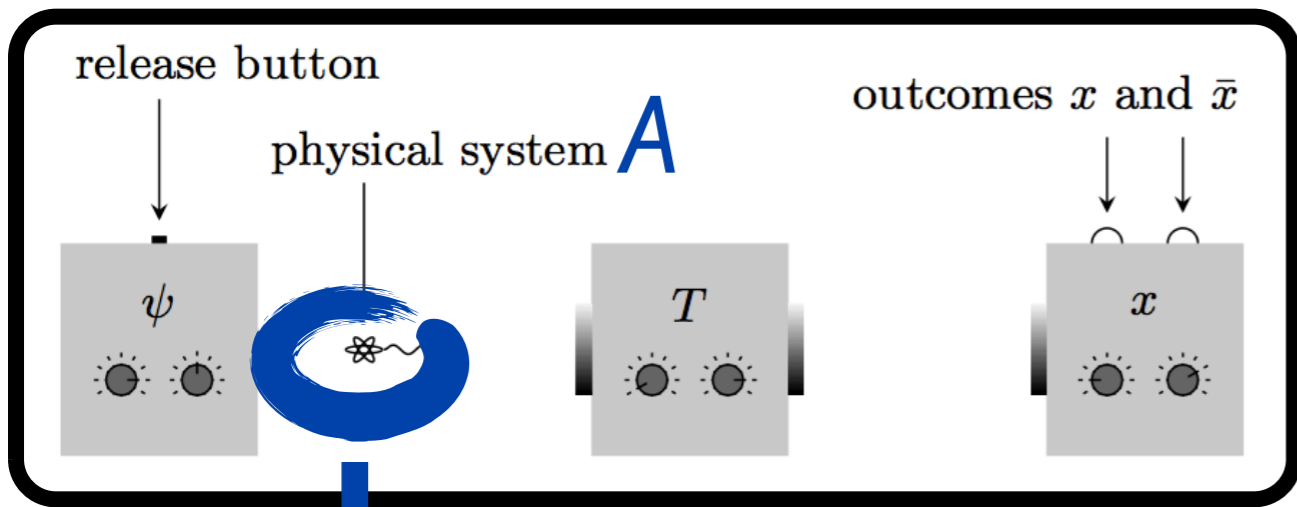
Enforces **symmetry** in state space:



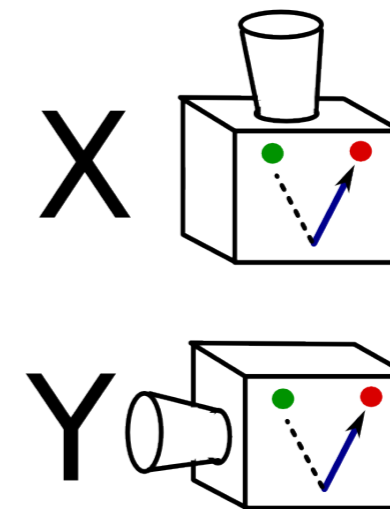
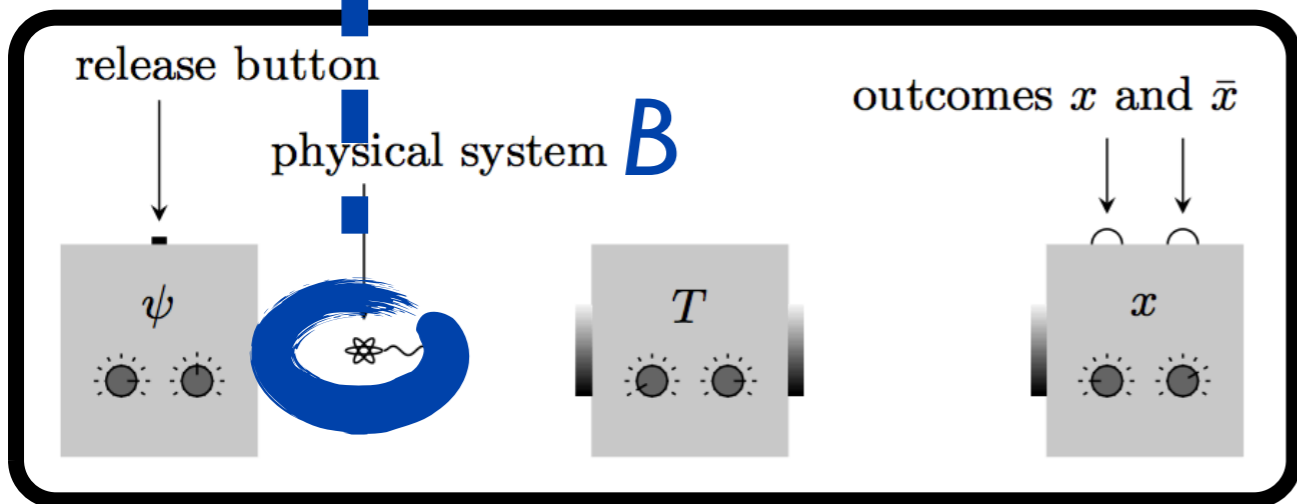


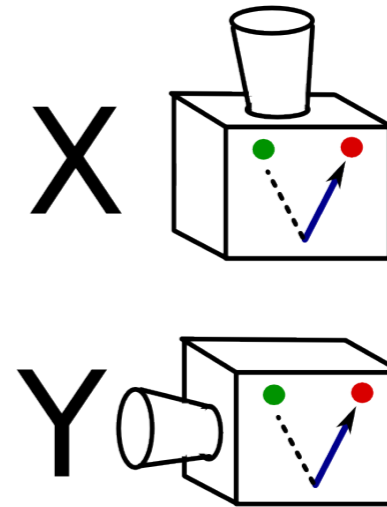
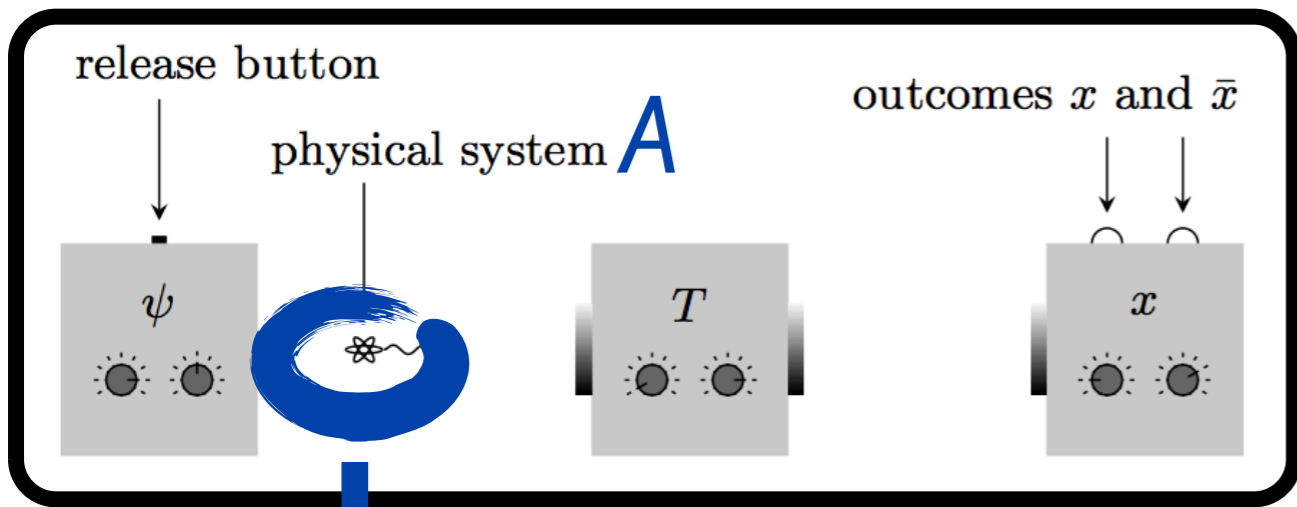
state on AB:  
correlations





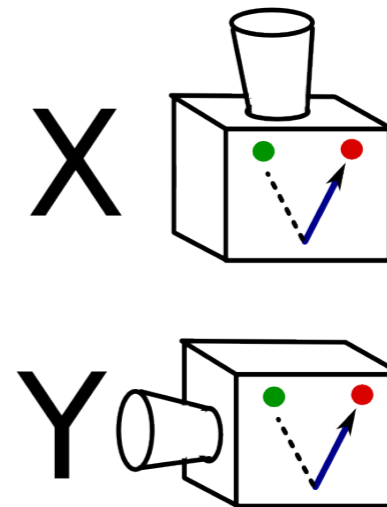
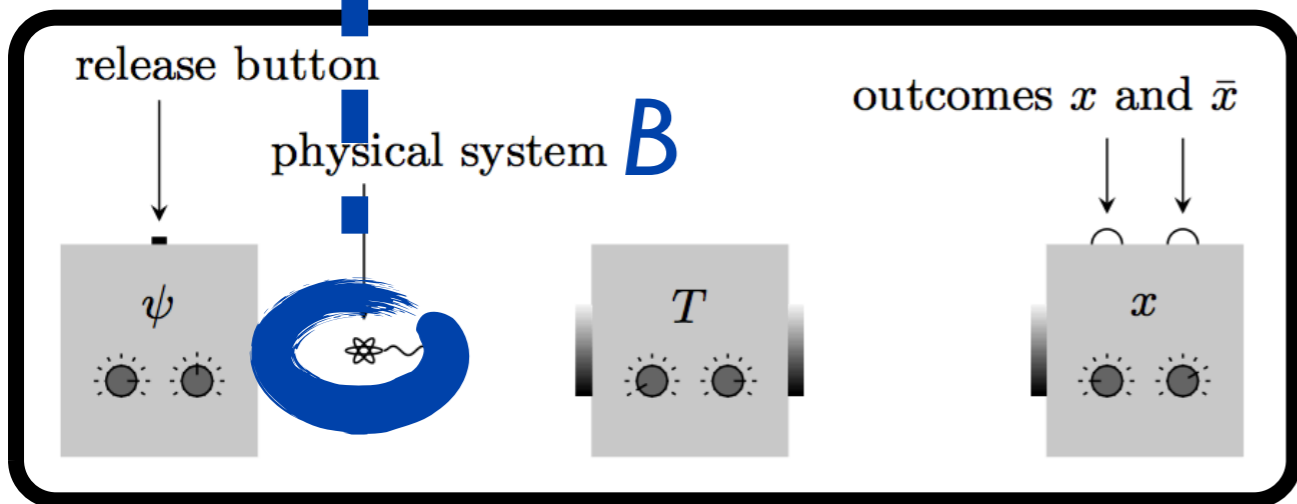
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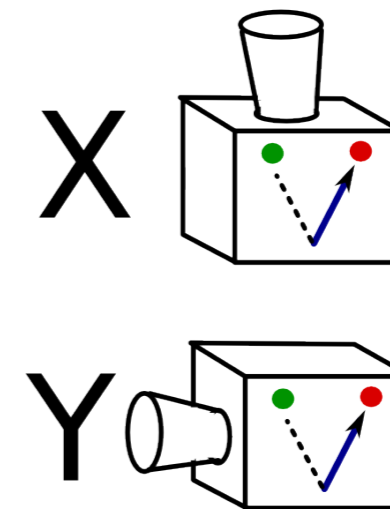
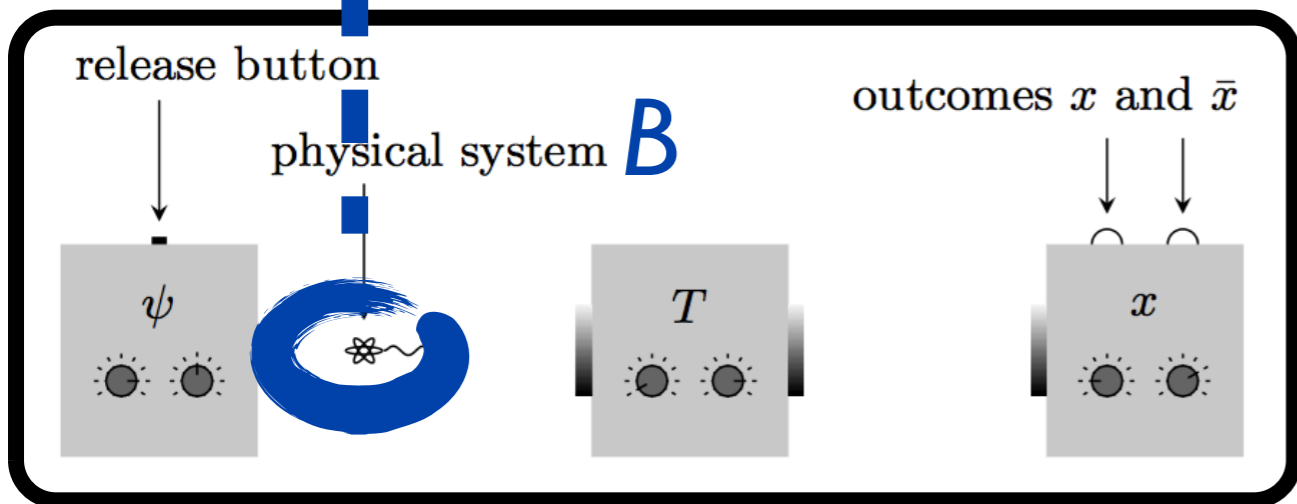
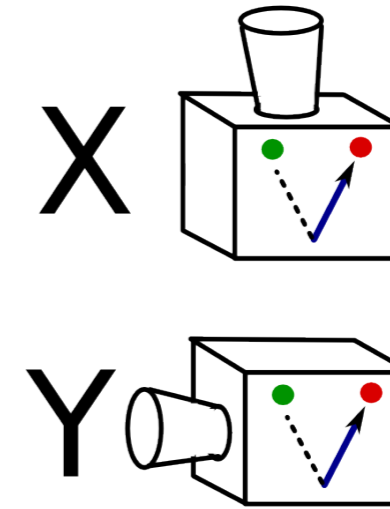
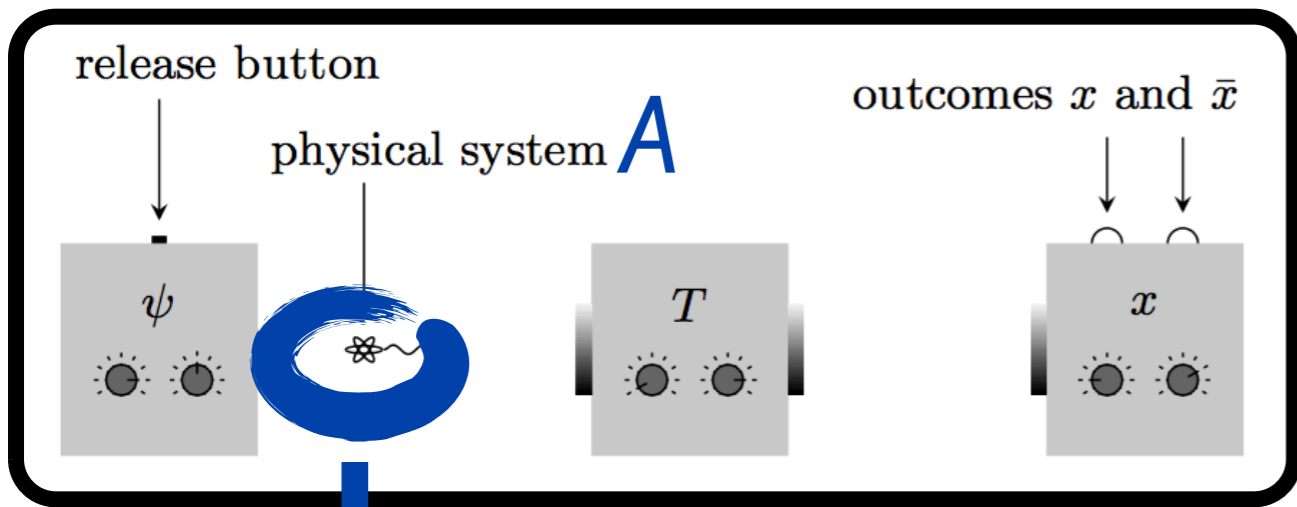




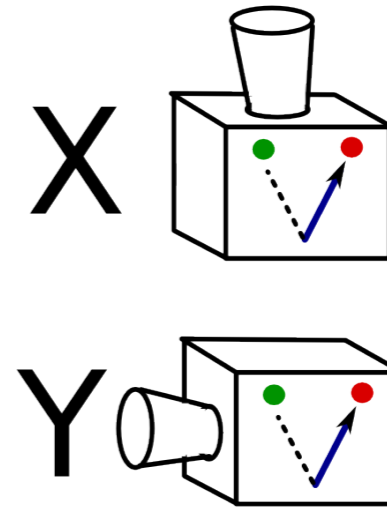
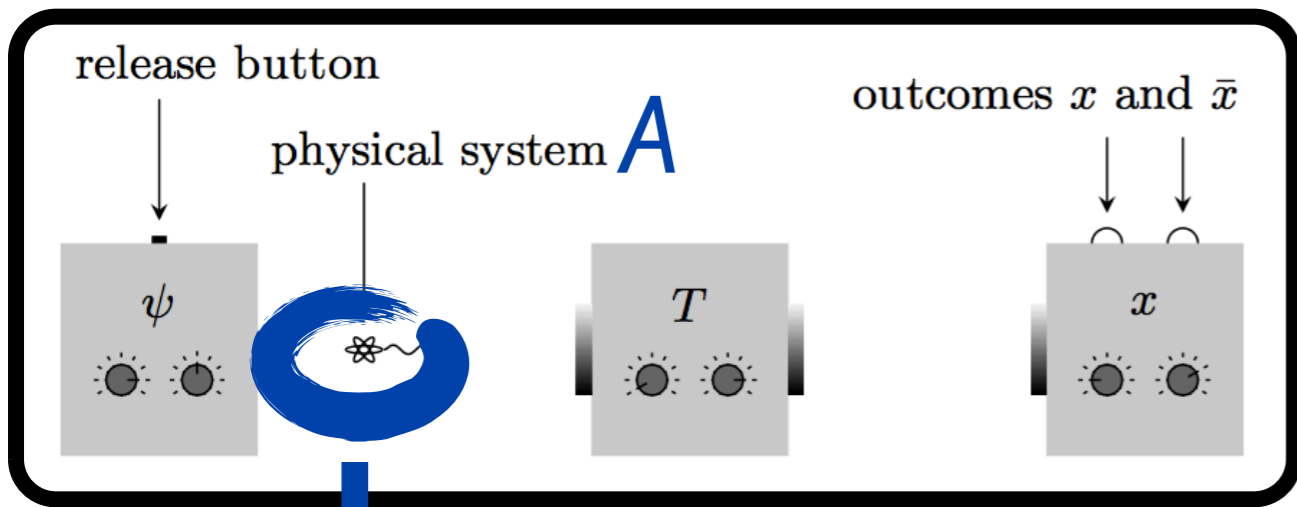
state on AB:  
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No-signalling condition:  
Alice's probabilities do not depend on  
Bob's choice of measurement.

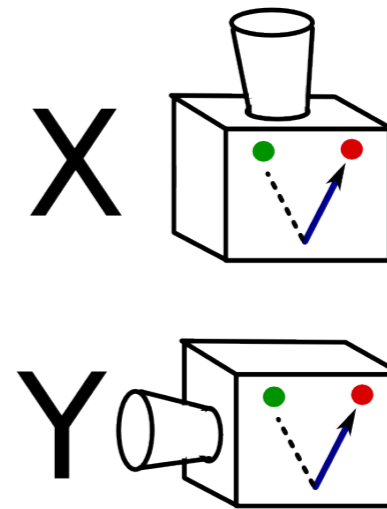
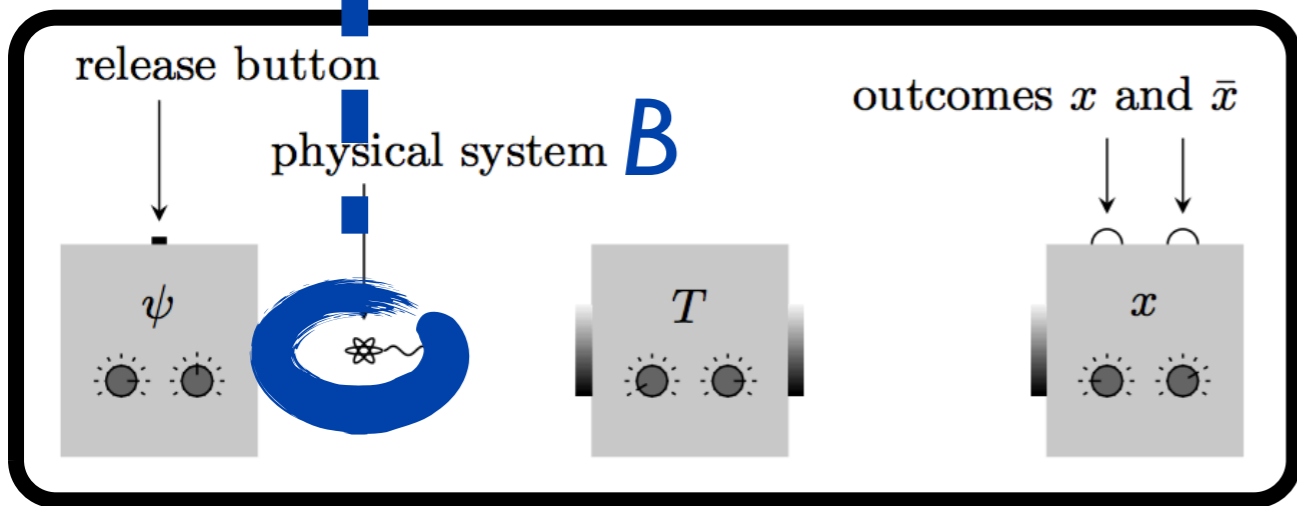




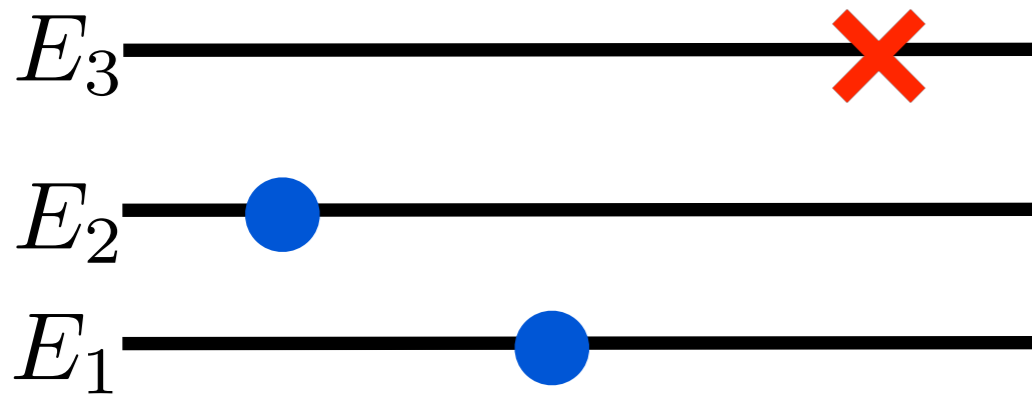




Axiom IV (“local tomography”): States on  $AB$  are uniquely determined by statistics of **local** measurements.

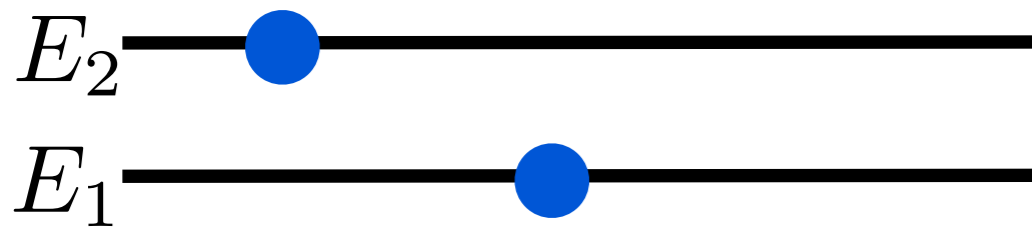
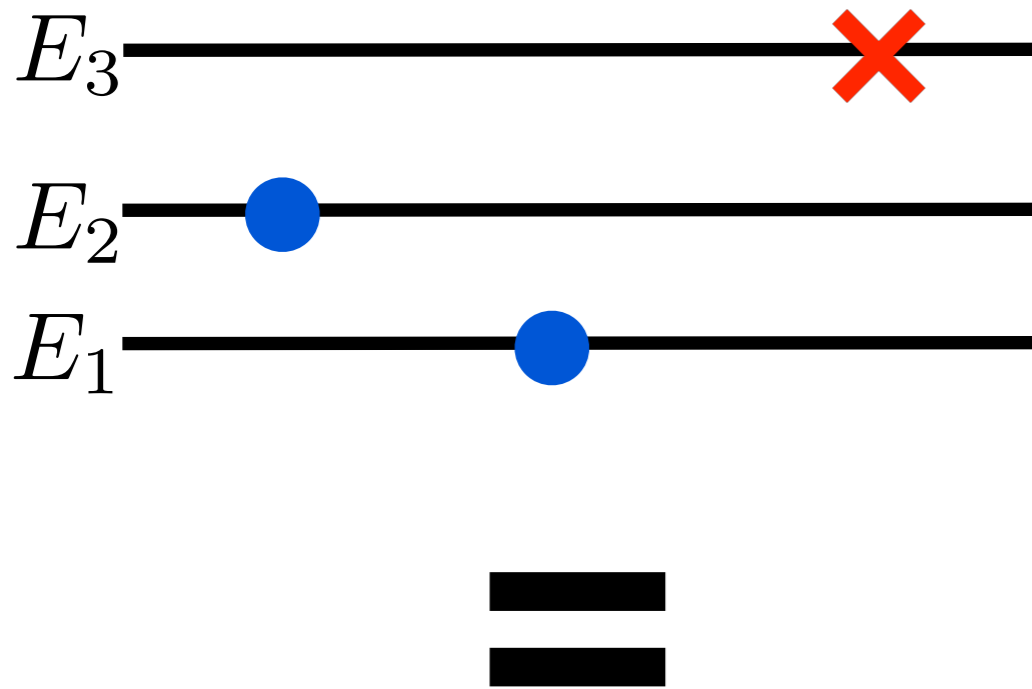


Some 3-level system:



Impossible to put system in 3rd level  
 $\Rightarrow$  find particle there with probab. 0

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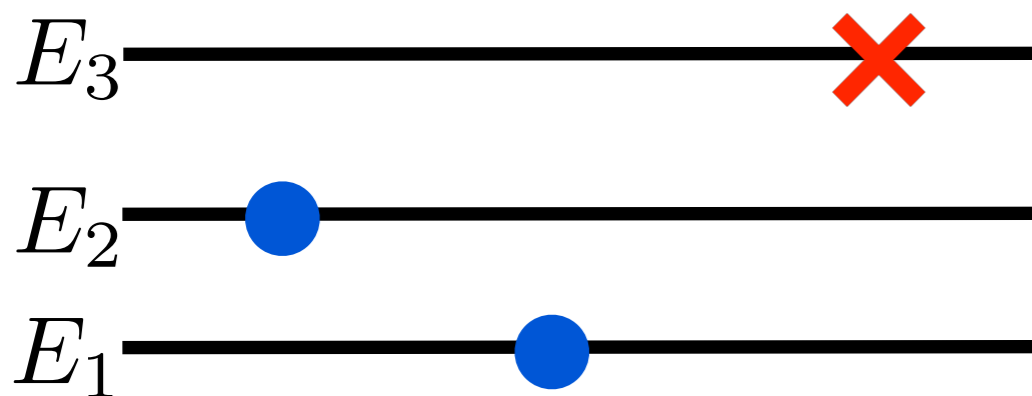
2-level system.

**Impossible** to put system in 3rd level  
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QT:  $\rho^{(3)} = \begin{pmatrix} \bullet & \bullet & 0 \\ \bullet & \bullet & 0 \\ 0 & 0 & 0 \end{pmatrix} \longrightarrow \rho^{(2)} = \begin{pmatrix} \bullet & \bullet \\ \bullet & \bullet \end{pmatrix}$

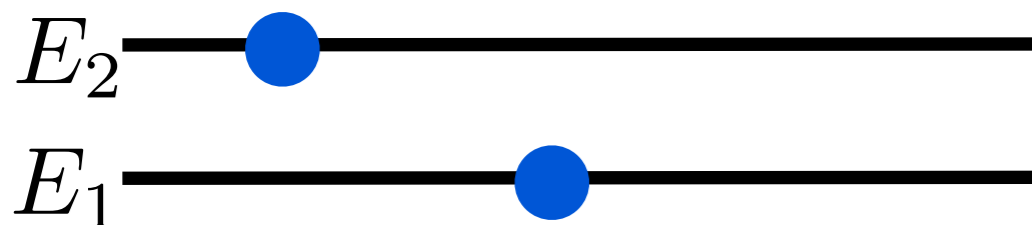
CPT:  $P^{(3)} = (P_1, P_2, 0) \longrightarrow P^{(2)} = (P_1, P_2)$

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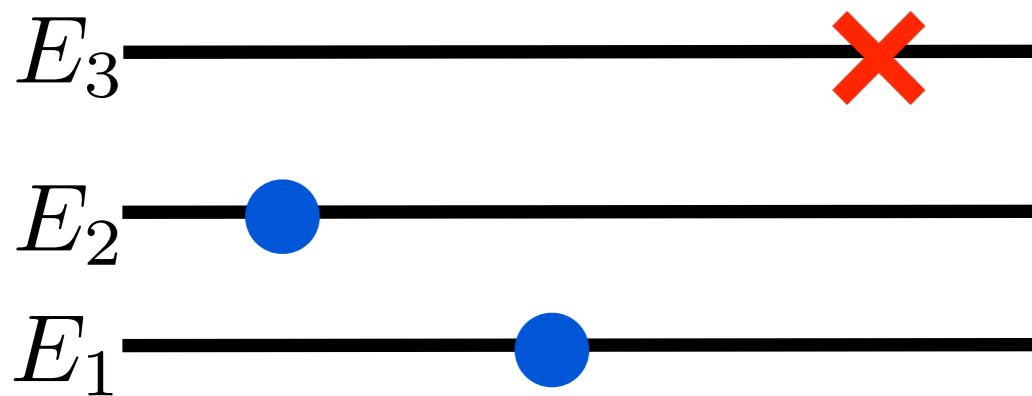
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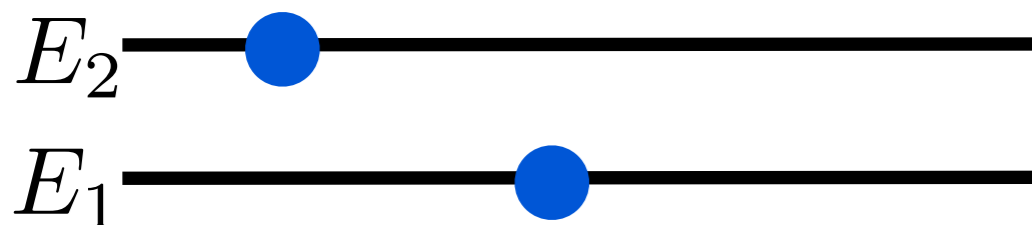
Axiom V: Subset of an  $N$ -outcome state space with  $P_N=0$  is equivalent to  $(N-1)$ -outcome state space.

Some 3-level system:



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“Subspace Axiom”

Axiom V: Subset of an  $N$ -outcome state space with  $P_N=0$  is equivalent to  $(N-1)$ -outcome state space.

Li. Masanes, MM, New J Phys. 13, 063001 (2011):

- I. All state spaces finite-dimensional
- II. No additional restrictions on measurements
- III. Reversibility
- IV. Local tomography
- V. Subspace axiom

Thm.: CPT and QT are the only probabilistic theories satisfying Axioms I-V.

Ll. Masanes, MM, New J Phys. 13, 063001 (2011):

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**Theorem:** Every theory satisfying Axioms I-V  
is equivalent to  $(\Omega_N, \mathcal{G}_N)$ , where

- $\Omega_N$  are the **density matrices** on  $\mathbb{C}^N$ ,
- $\mathcal{G}_N$  is the **group of unitaries**, acting by conjugation,
- the measurements are exactly the POVMs.

Li. Masanes, MM, New J Phys. 13, 063001 (2011):

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- $\mathcal{G}_N$  is the **group of unitaries**, acting by conjugation,
- the measurements are exactly the POVMs.

singles out uniquely

all probabilistic theories

QT



# Reversibility alone as a powerful axiom



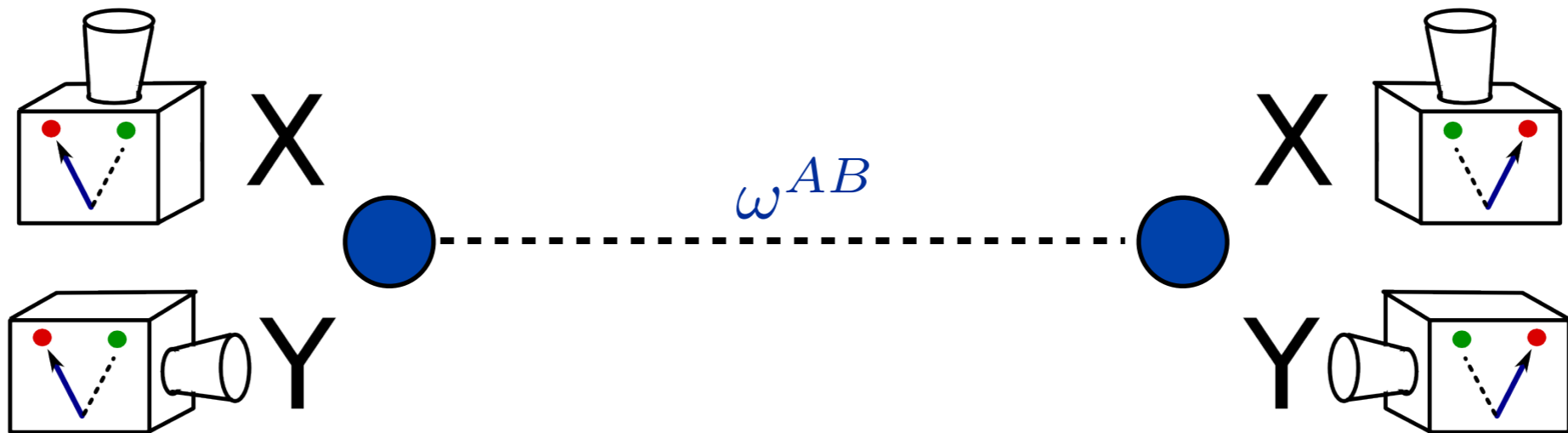
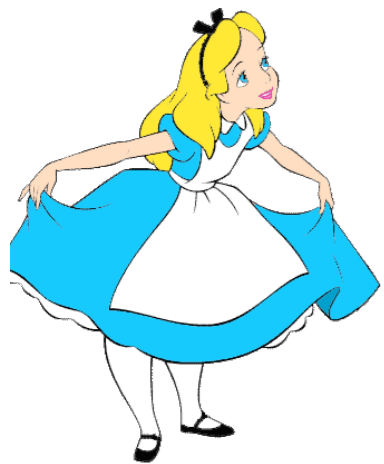
Reversibility alone as a powerful axiom

No reversible dynamics in **boxworld**:



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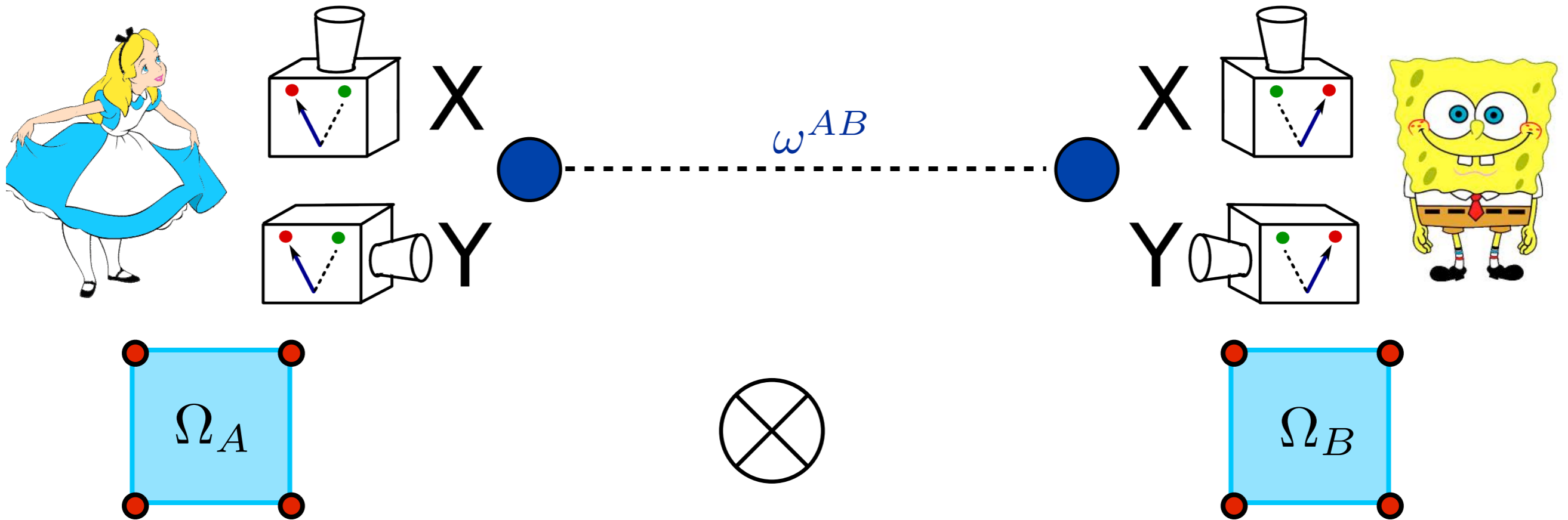
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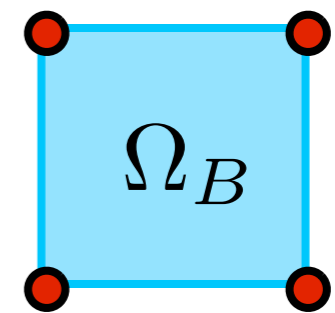
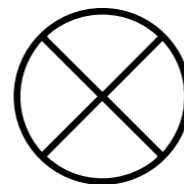
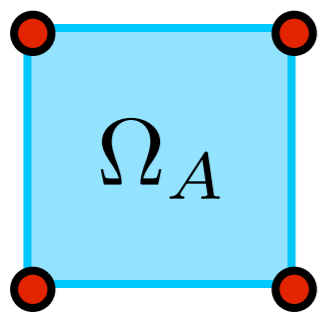


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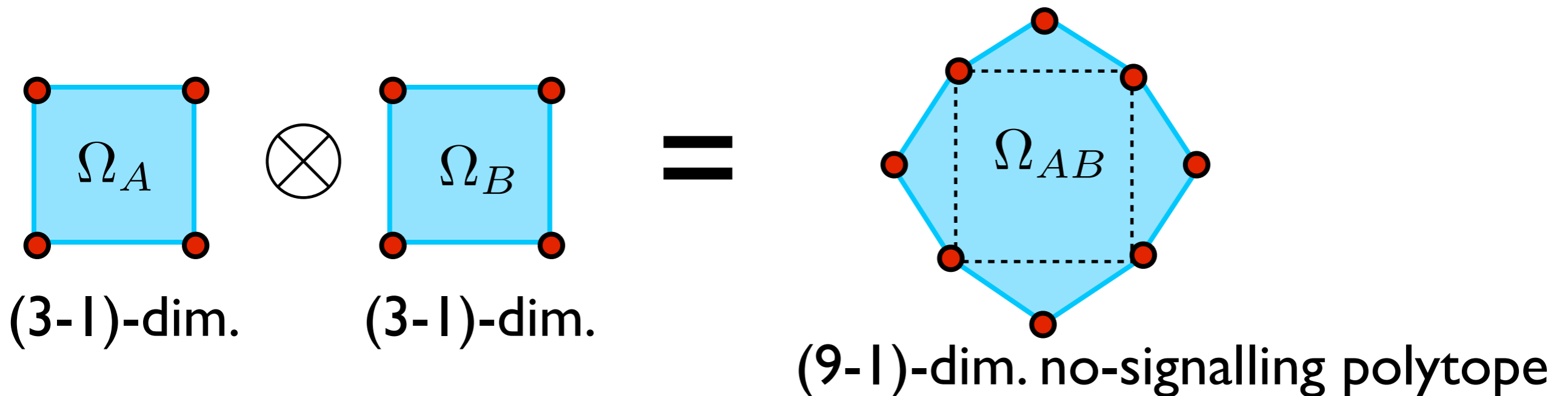


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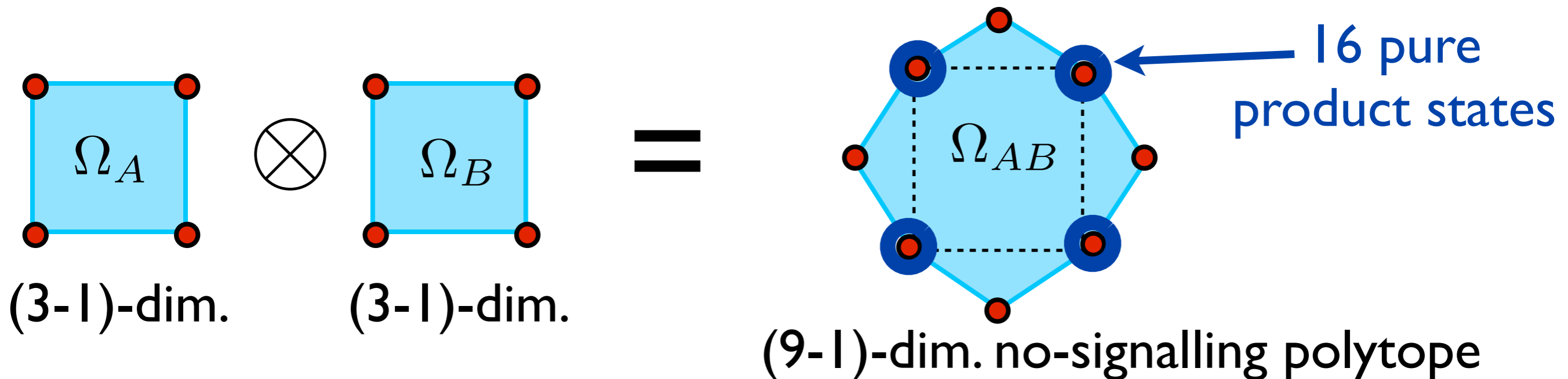


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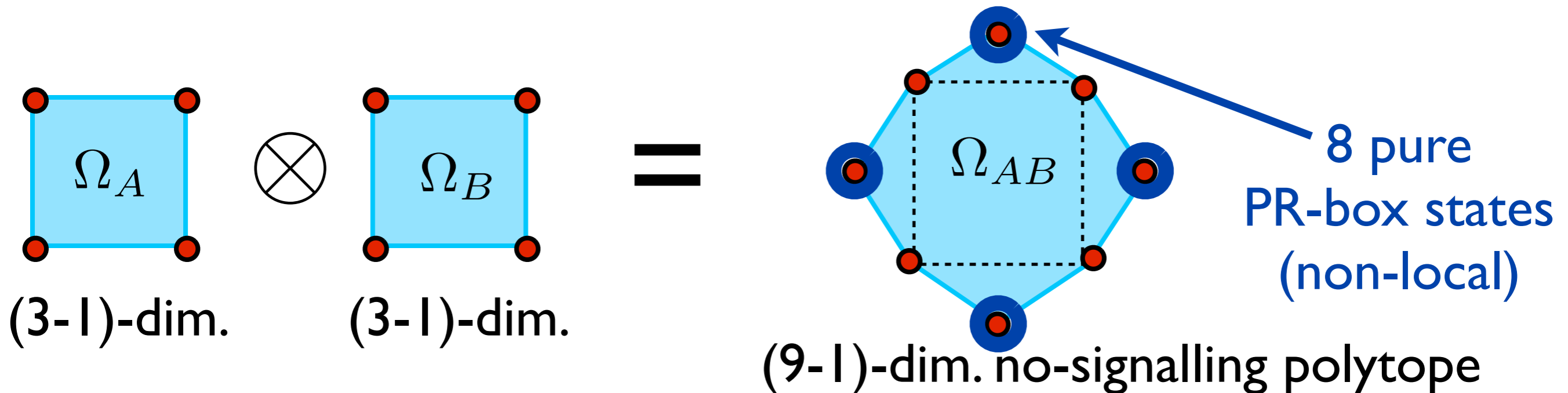


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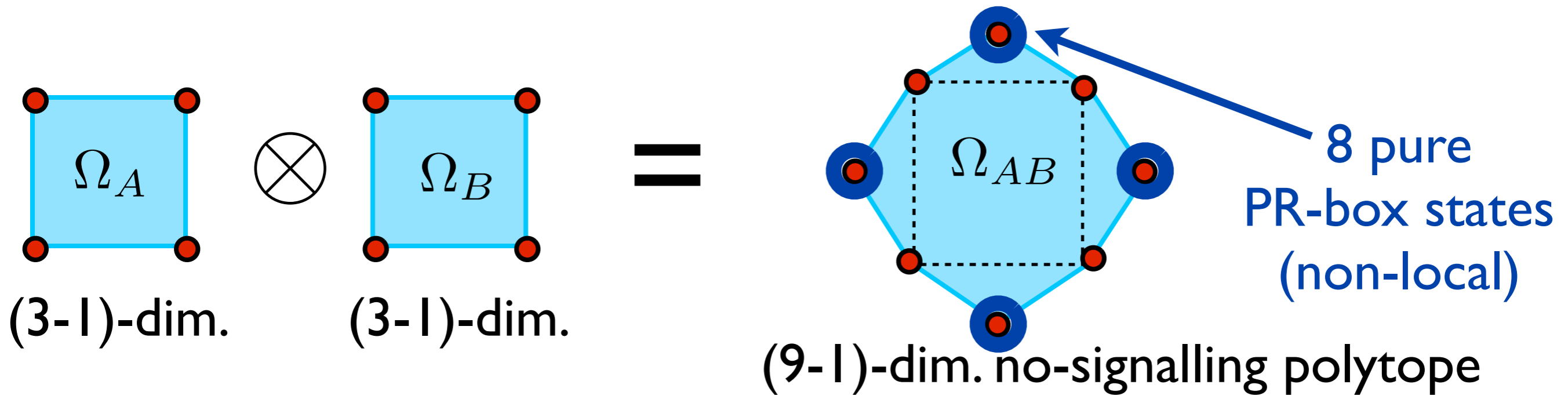




# Reversibility alone as a powerful axiom



No reversible transformation can map a product state to a PR-box state.



# Reversibility alone as a powerful axiom



D. Gross, MM, R. Colbeck, O. Dahlsten, PRL 104, 080402 (2010):

# Reversibility alone as a powerful axiom



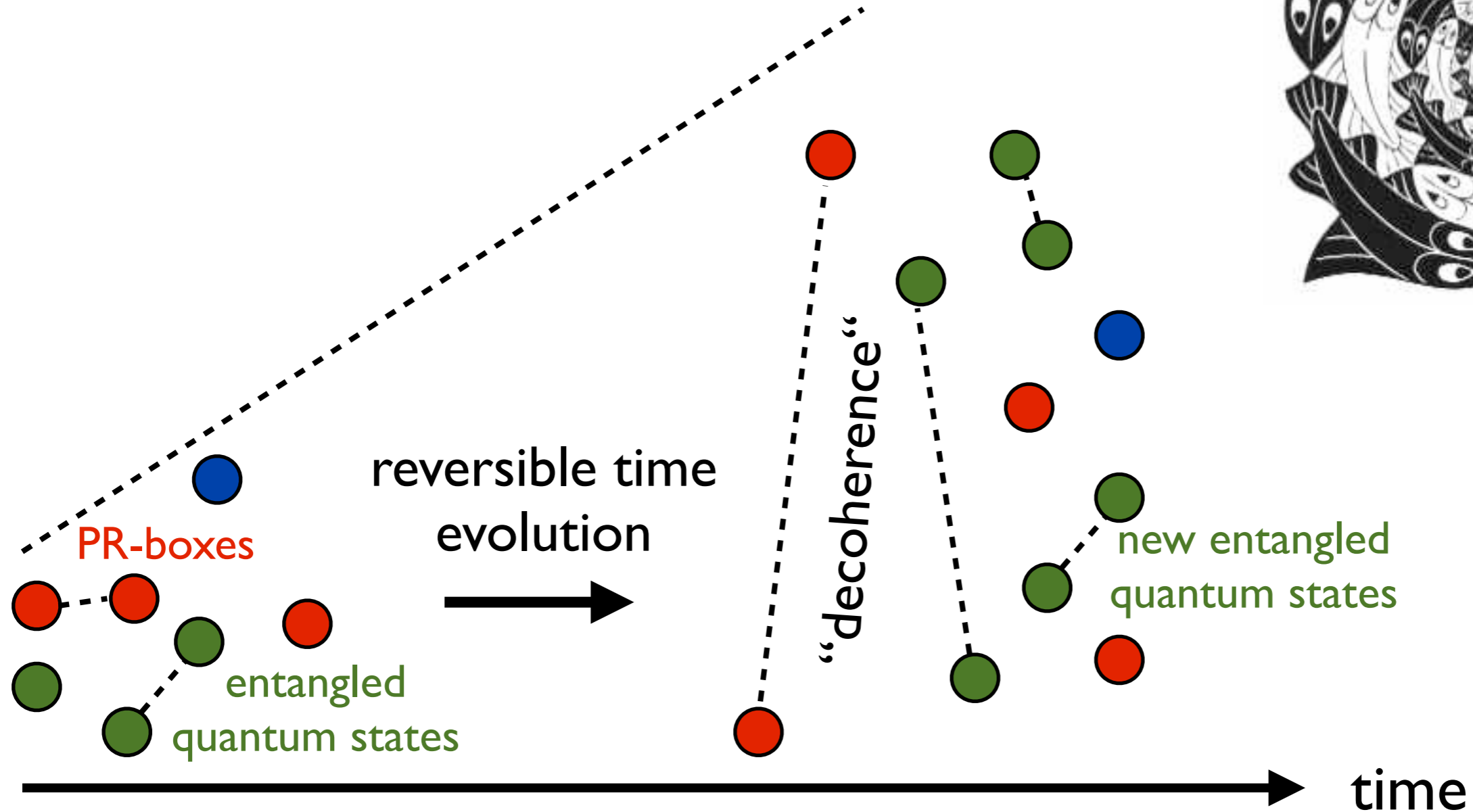
D. Gross, MM, R. Colbeck, O. Dahlsten, PRL 104, 080402 (2010):

Thm.: For any number of parties,  $M \geq 2$  measurements, and outcomes, the **only reversible transformations** in boxworld are

- local relabellings, and
- permutations of subsystems.

Thm.: Even if all parameters vary arbitrarily from site to site, **no reversible transformation can map product states to entangled states.**

# Reversibility alone as a powerful axiom



PR-boxes get lost over time...

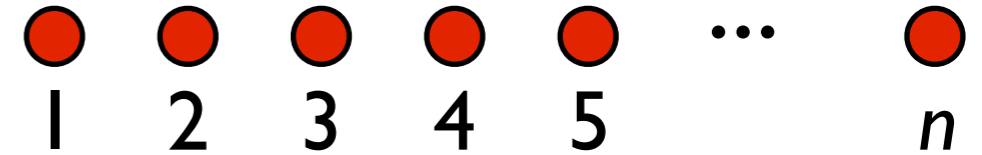
Does **locally** quantum  $\stackrel{?}{\implies}$  **globally** quantum?

Does **locally** quantum  $\xRightarrow{?}$  **globally** quantum?

- Barnum, Beigi, Boixo, Elliott, Wehner, PRL **104**, 140401 (2010):  
If  $A$  and  $B$  are quantum systems, and  $AB$  any composition, then all correlations on  $AB$  are quantum correlations.
- Acin, Augusiak, Cavalcanti, Hadley, Korbicz, Lewenstein, Masanes, Piani, PRL **104**, 140404 (2010):  
There are quantum systems  $A, B, C$  and a composition  $ABC$  which contains post-quantum correlations (not allowed in QT).

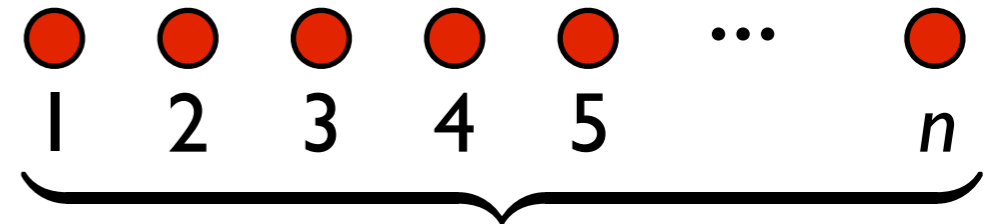
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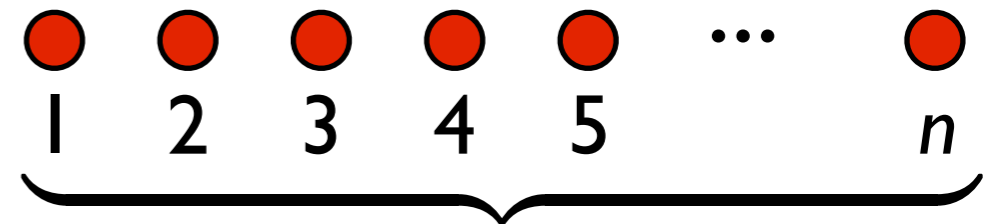
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“all systems the same“:  
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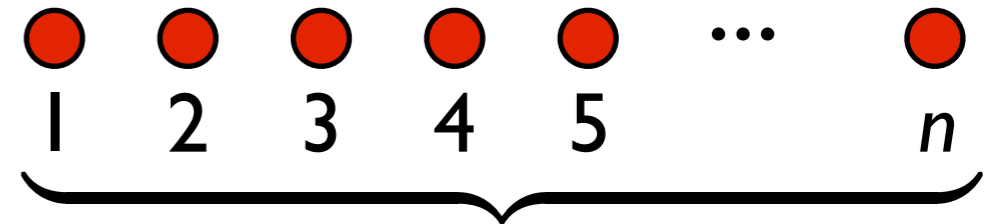
de la Torre, Masanes, Short, MM, arXiv: 1110.5482:

Thm.: Consider any **locally-tomographic** theory in which the individual systems are identical qubits. If the theory admits at least **one continuous reversible interaction** between systems, then the allowed **states, measurements, and transformations must be exactly those of quantum theory.**



Fundamental failure of QT on “large scales“ a bit more unlikely.

locally: qubit



“all systems the same“:  
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**QT is self-dual!**  $\langle \rho, P \rangle := \text{Tr}(\rho P).$

Def.: If there is an inner product such that the effects are

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then the state space is **strongly self-dual**.

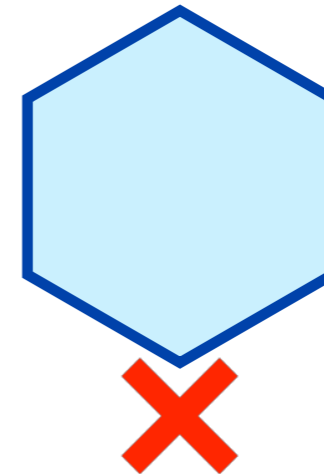
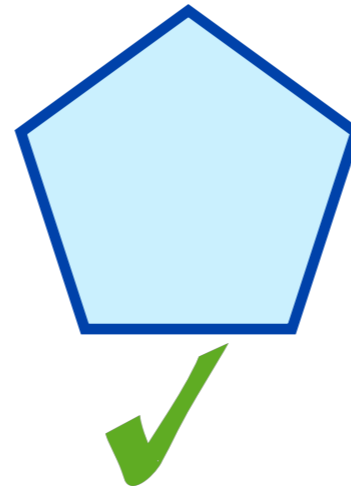
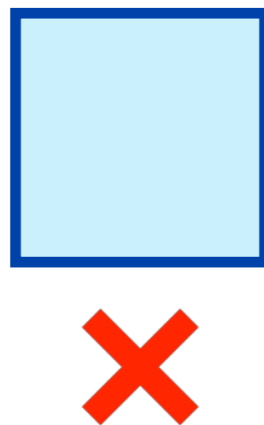
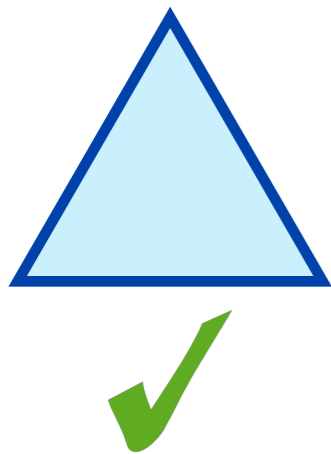


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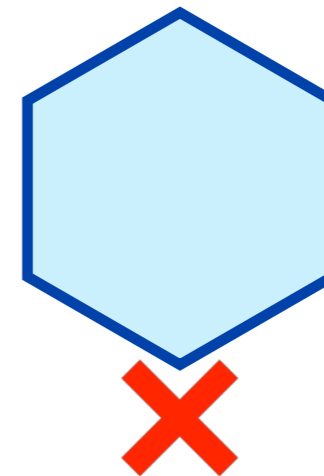
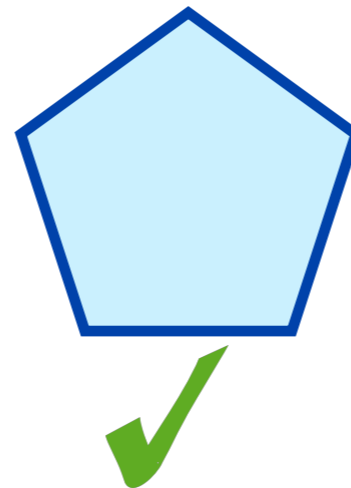
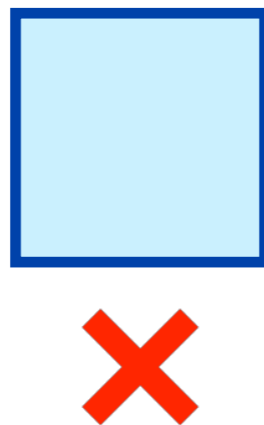
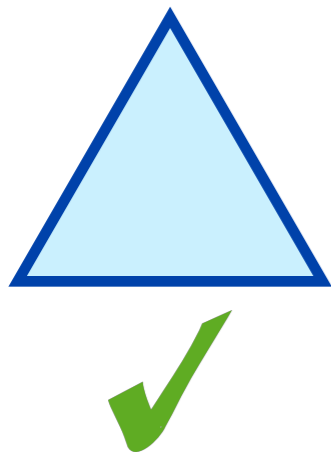


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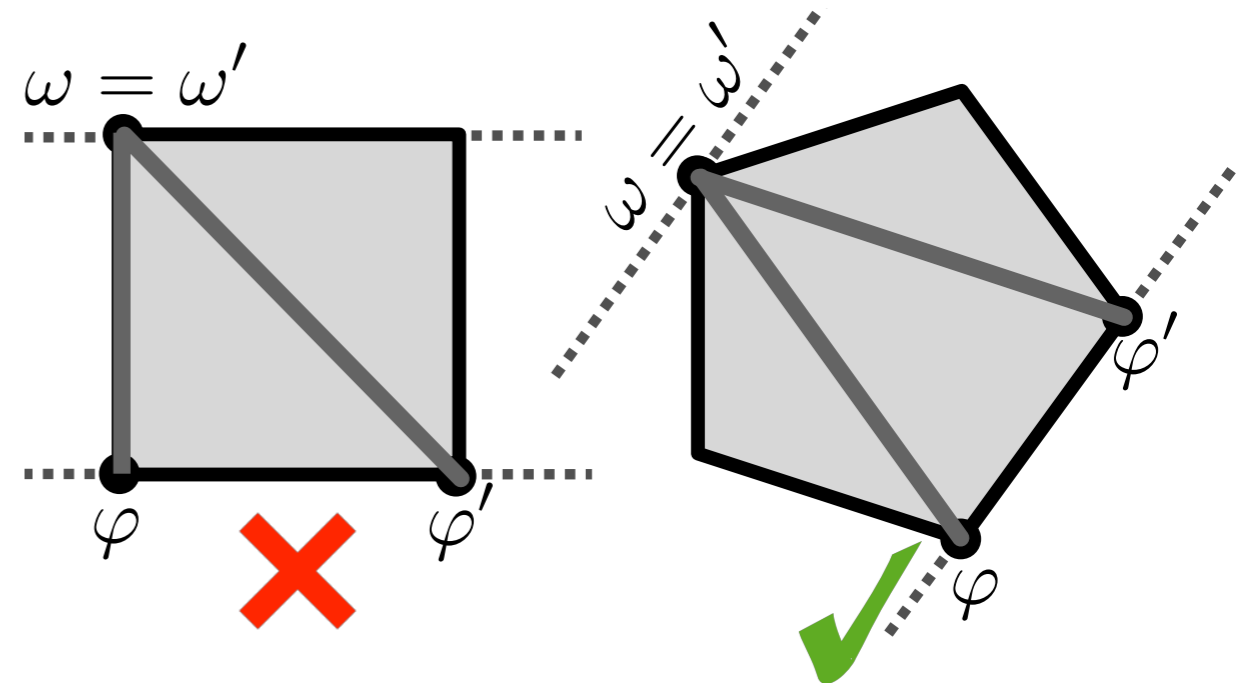
- H. Barnum and A. Wilce: several operational approaches to strong self-duality, cf. A. Wilce, arXiv:1110.6607

H. Barnum, R. Duncan, A. Wilce, arXiv:1004.2920.

Thm.: If a theory is **bit-symmetric**, then it is strongly self-dual.  
Moreover, inner product can be chosen **invariant**,  
**non-negative** on states,  $\langle \omega, \omega \rangle = 1$  iff  $\omega$  is pure, and  
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**Bit symmetry:** If  $\omega, \varphi$  are perfectly distinguishable pure states, and so are  $\omega', \varphi'$ , then there is a reversible transformation  $T$  such that  $T\omega = \omega'$  and  $T\varphi = \varphi'$ .



# QT's closest cousins?



- I. All state spaces finite-dimensional
- II. No additional restrictions on measurements
- III. Continuous reversibility
- IV. Local tomography
- V. Subspace axiom

singles out uniquely

all probabilistic theories

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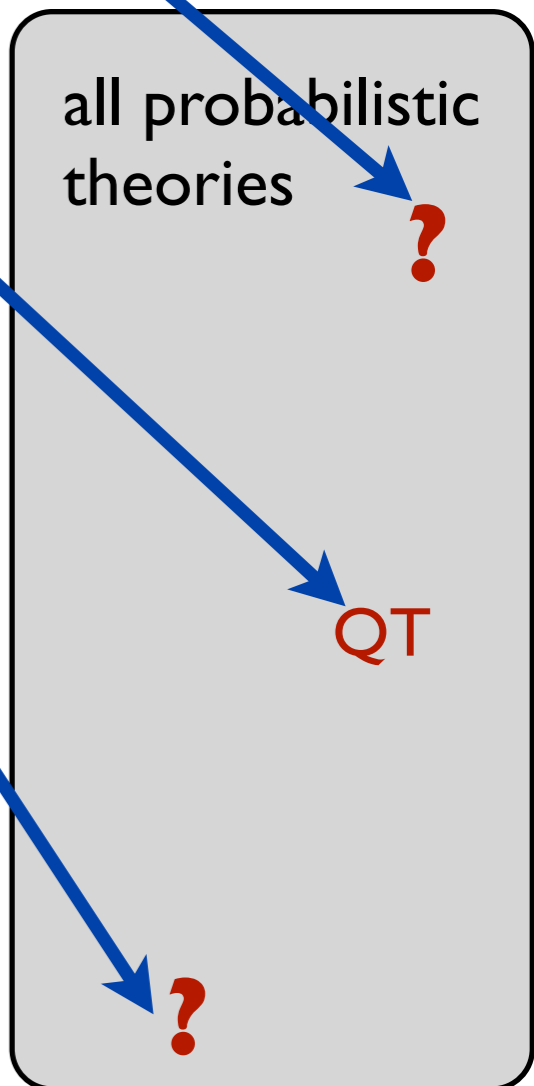
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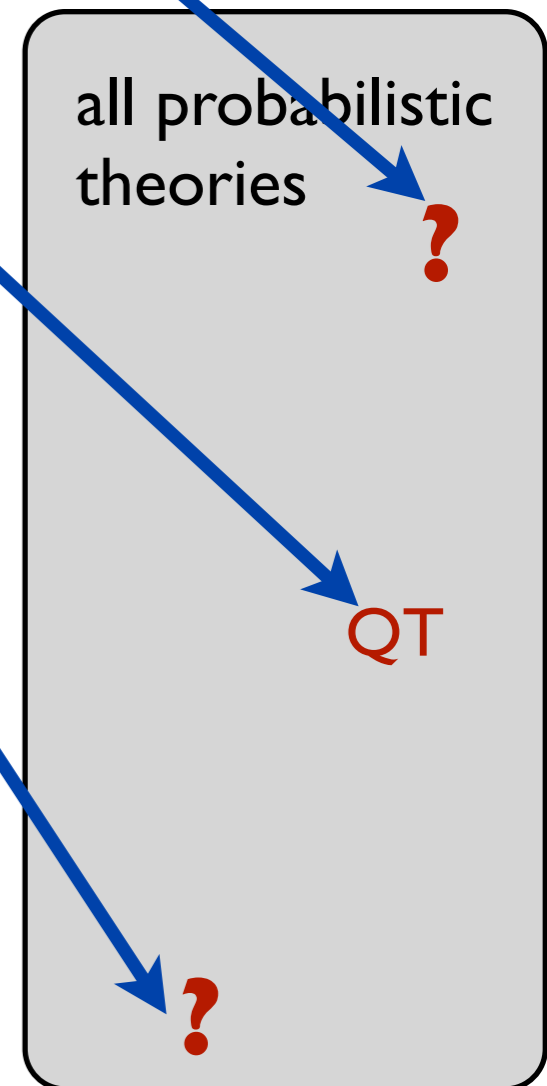
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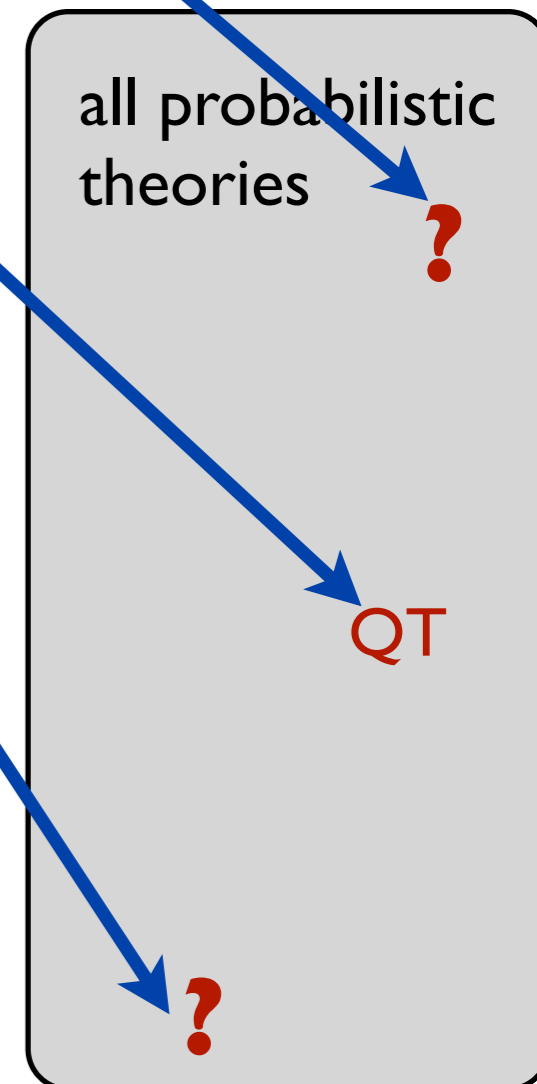
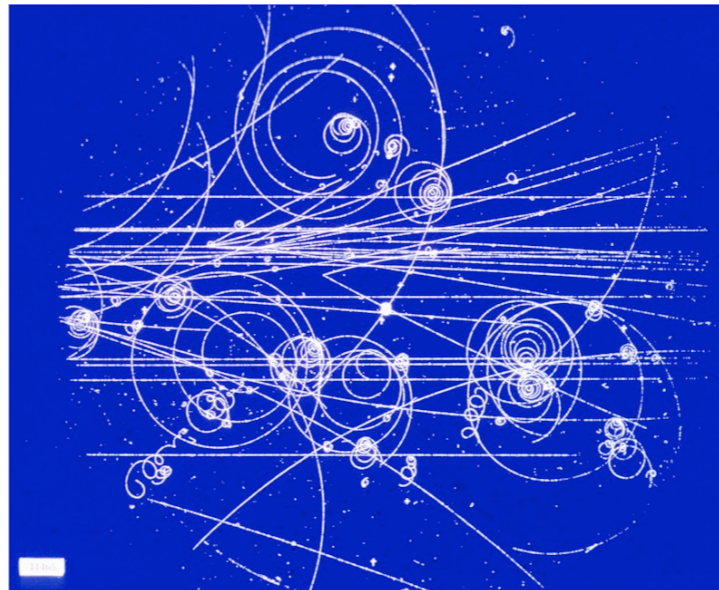
- If QT remains the only solution: exciting!
- Otherwise: find a few “closest cousins” of QT.  
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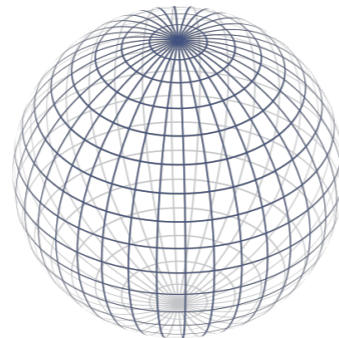
- Like in particle physics, group theory leaves only “few“ possibilities.



Consider **two** (generalized) **bits**, described by **ball state spaces**.



class. bit

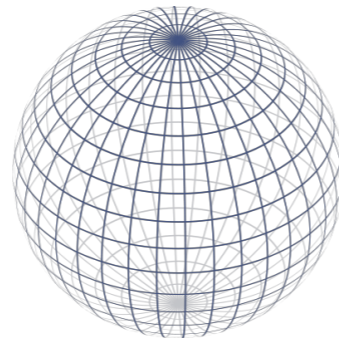


qubit

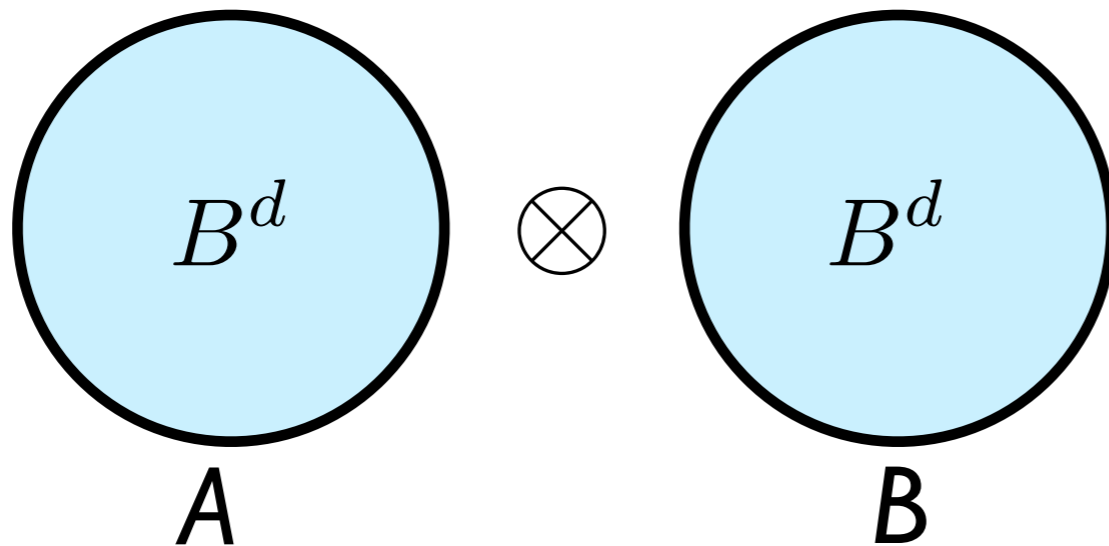
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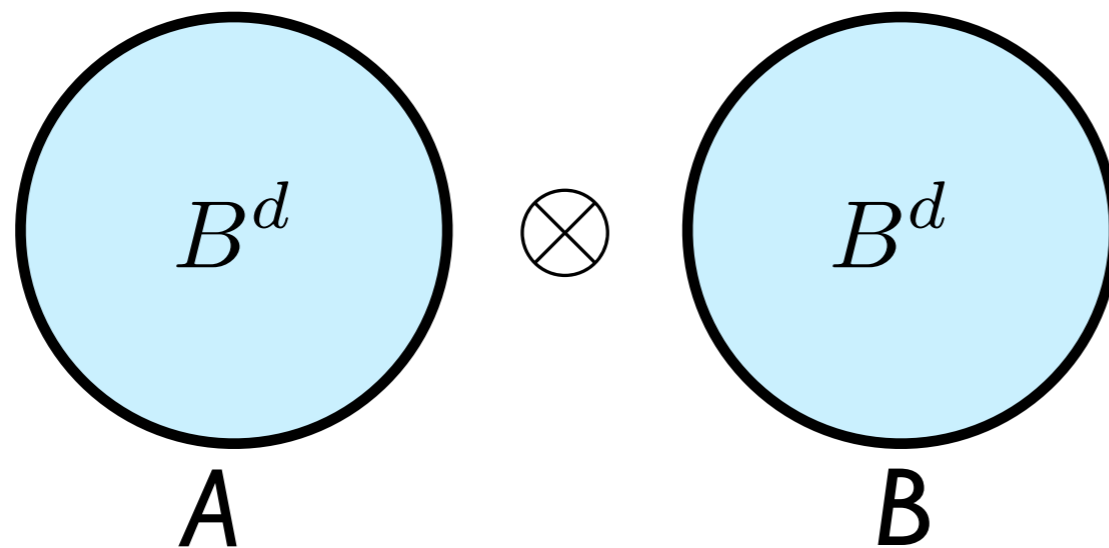
class. bit ( $d=1$ )



qubit ( $d=3$ )

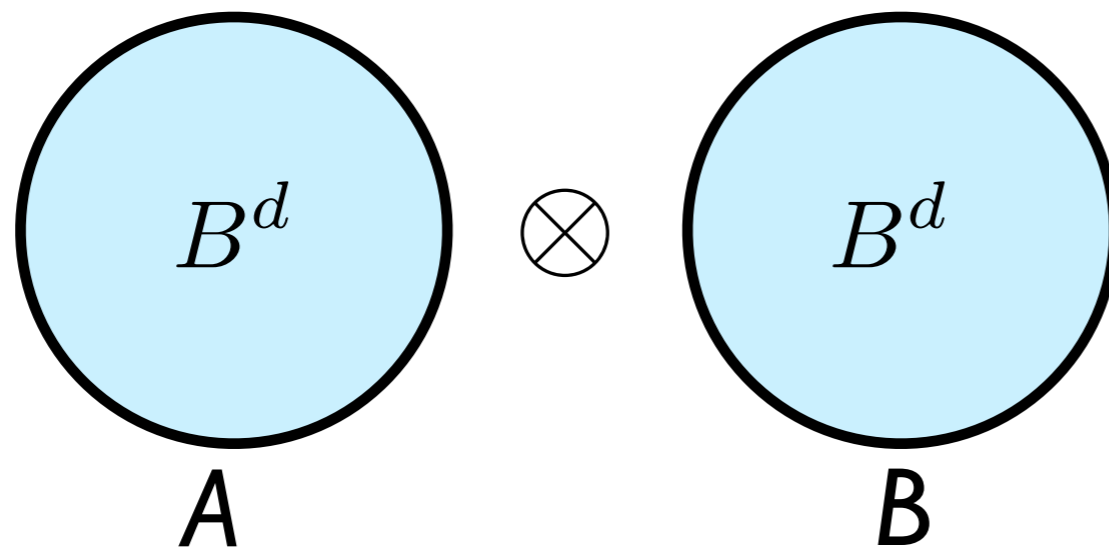


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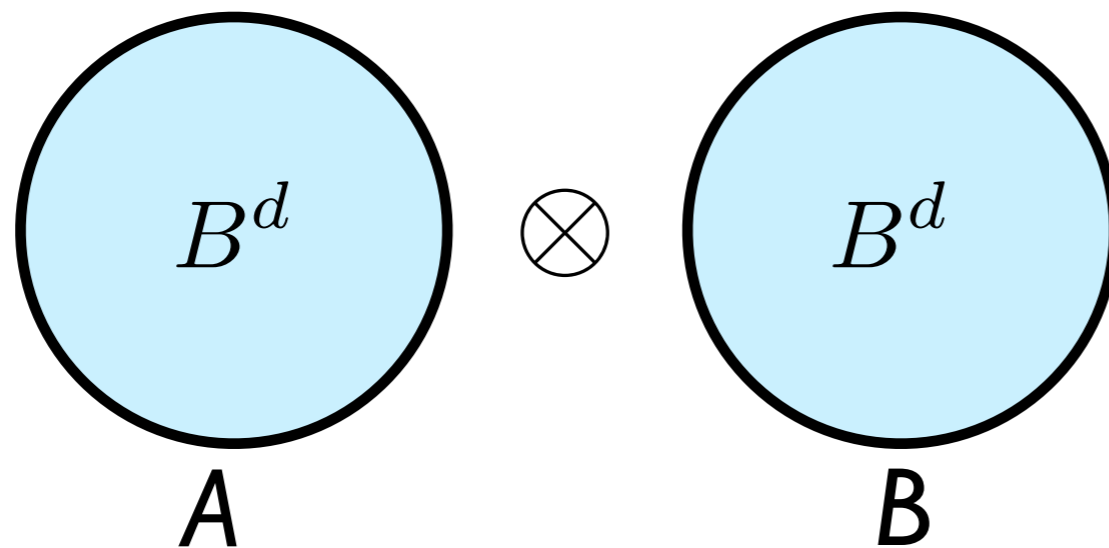
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Not needed.

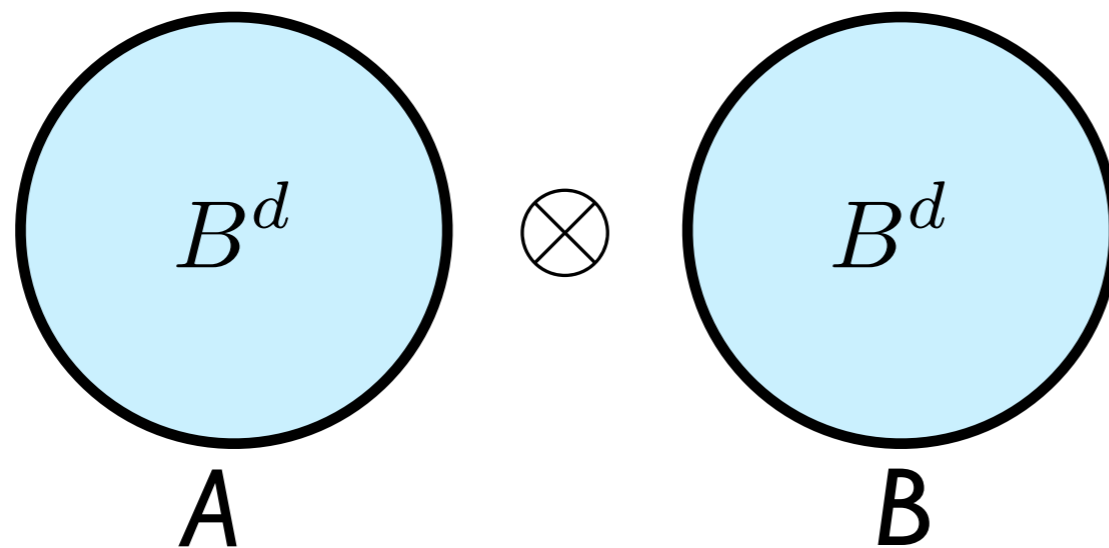




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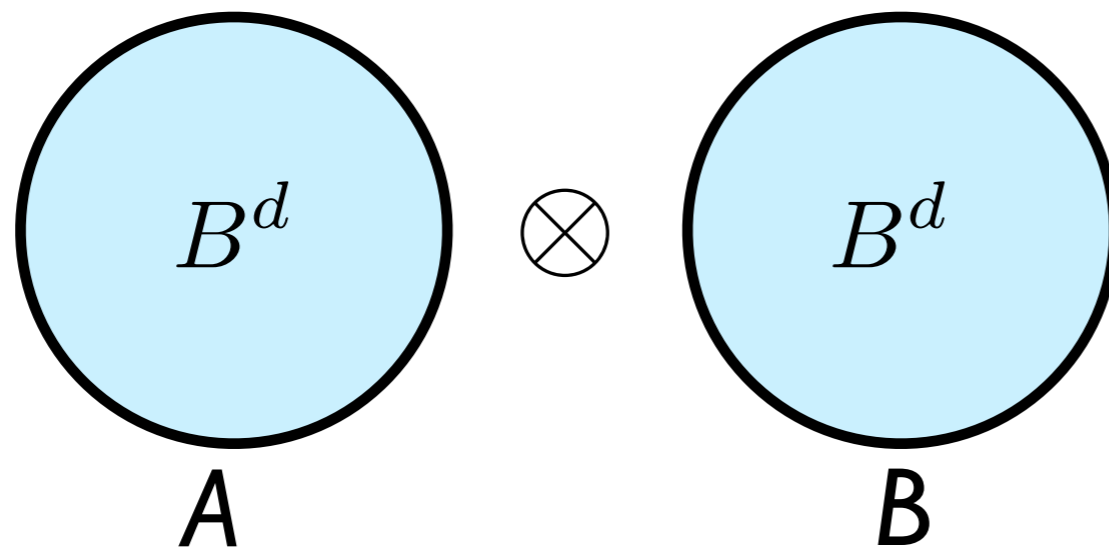
Not needed.



Actually, only need to assume “strict convexity” (see paper).

Thm.: The only **interacting theory in this family is QT**:

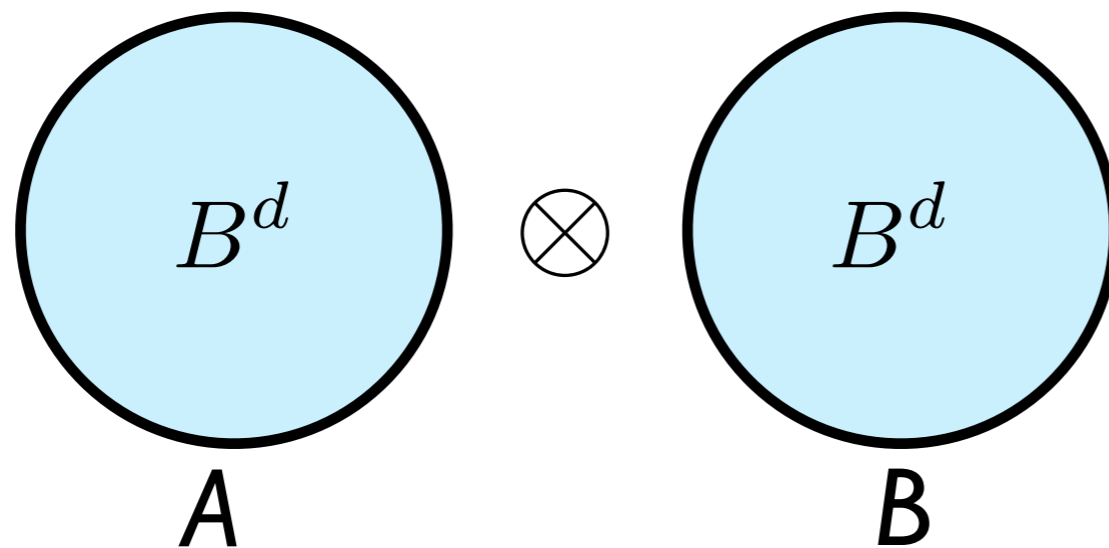
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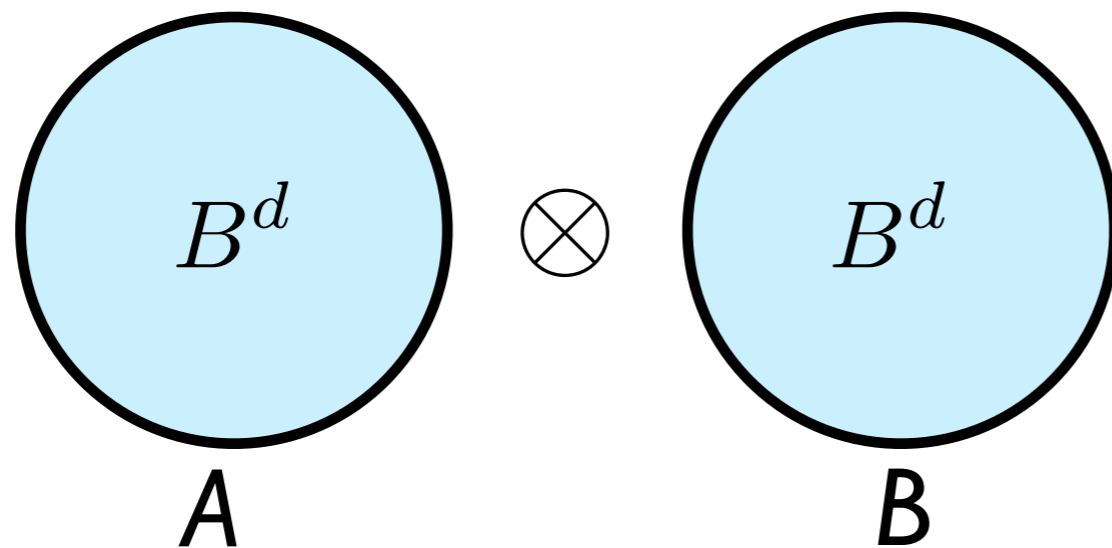
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First, guess the *local* transformation group:



abstract groups	$d$
$SO(d)$	3, 4, 5 ...
$SU(d/2)$	4, 6, 8 ...
$U(d/2)$	2, 4, 6, 8 ...
$Sp(d/4)$	8, 12, 16 ...
$Sp(d/4) \times U(1)$	8, 12, 16 ...
$Sp(d/4) \times SU(2)$	4, 8, 12 ...
$G_2$	7
$Spin(7)$	8
$Spin(9)$	16

In the following, restrict to  $SO(d)$ .

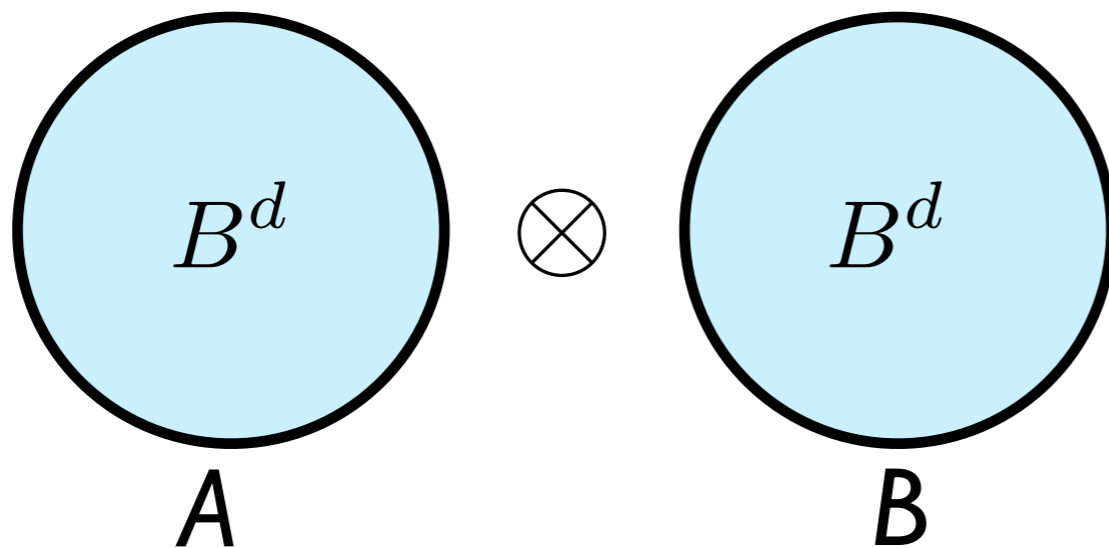


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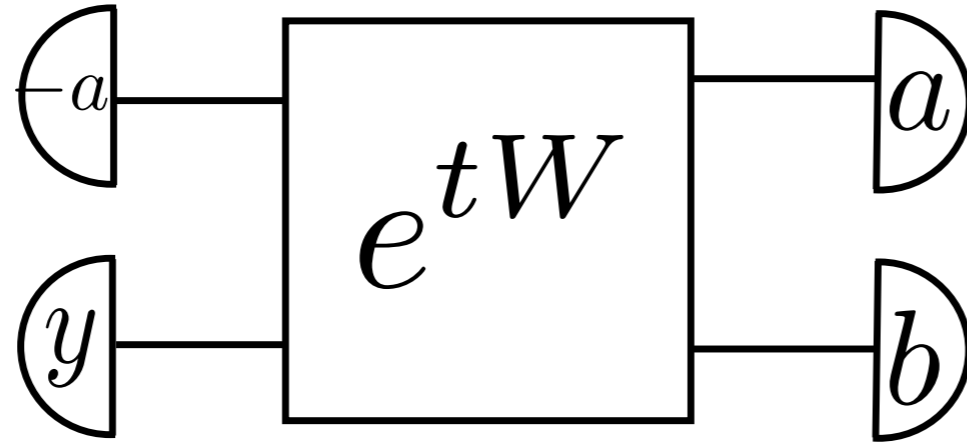
- local states:  $\omega = \begin{pmatrix} 1 \\ a \end{pmatrix}$ ,  $a \in \mathbb{R}^d$ ,  $|a| \leq 1$ .

- local effects:  $E(\omega) = E \cdot \omega$ ,  $E = \frac{1}{2} \begin{pmatrix} 1 \\ x \end{pmatrix}$ ,  $x \in \mathbb{R}^d$ ,  $|x| \leq 1$ .

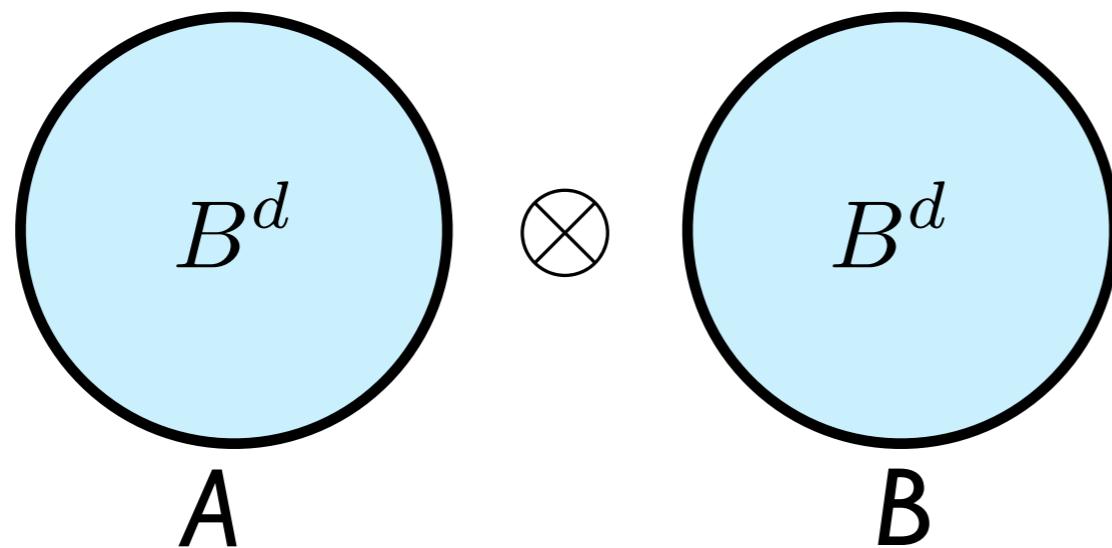
- product states:  $\omega^A \otimes \omega^B = \begin{pmatrix} 1 \\ a \end{pmatrix} \otimes \begin{pmatrix} 1 \\ b \end{pmatrix} = \begin{pmatrix} 1 \\ b \\ a \\ a \otimes b \end{pmatrix}$ .



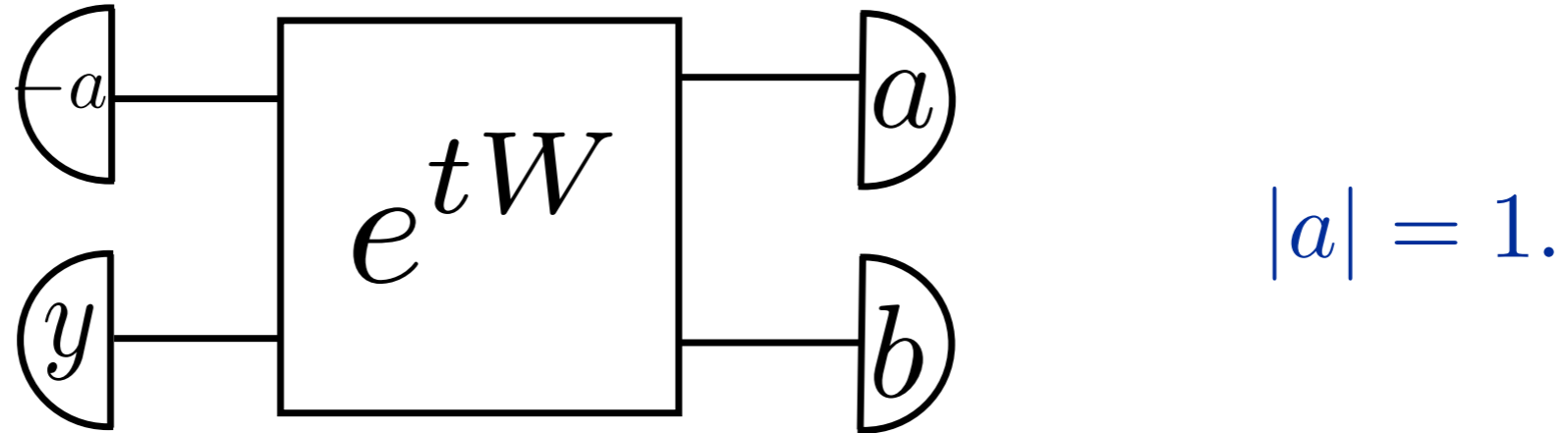
Consider  $G(t) = e^{tW}$  transformations in global Lie group  $\mathcal{G}^{AB}$ .



$$|a| = 1.$$

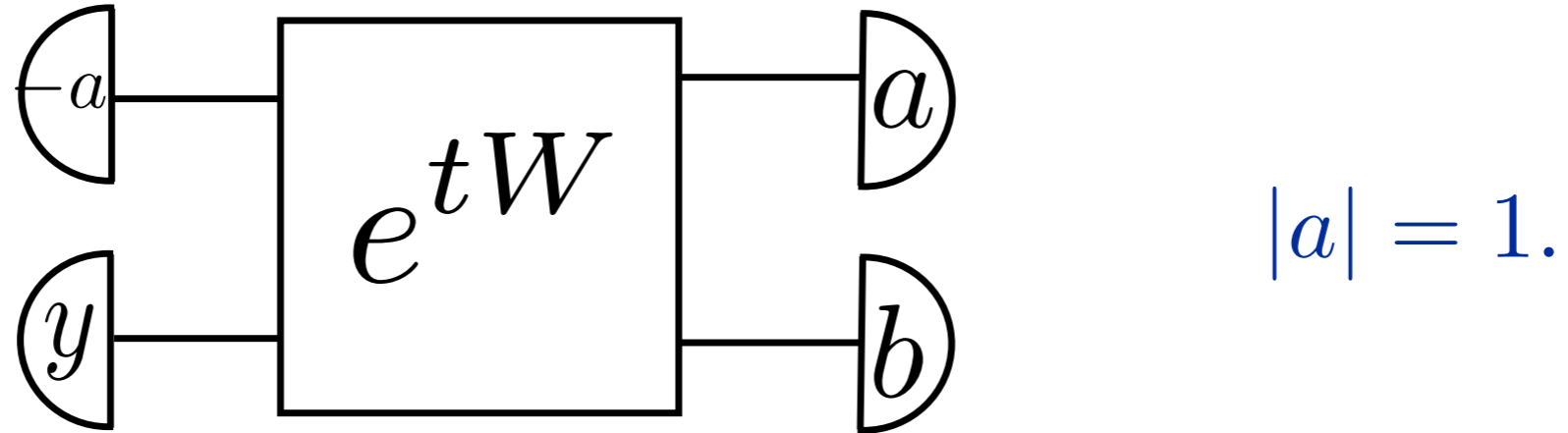


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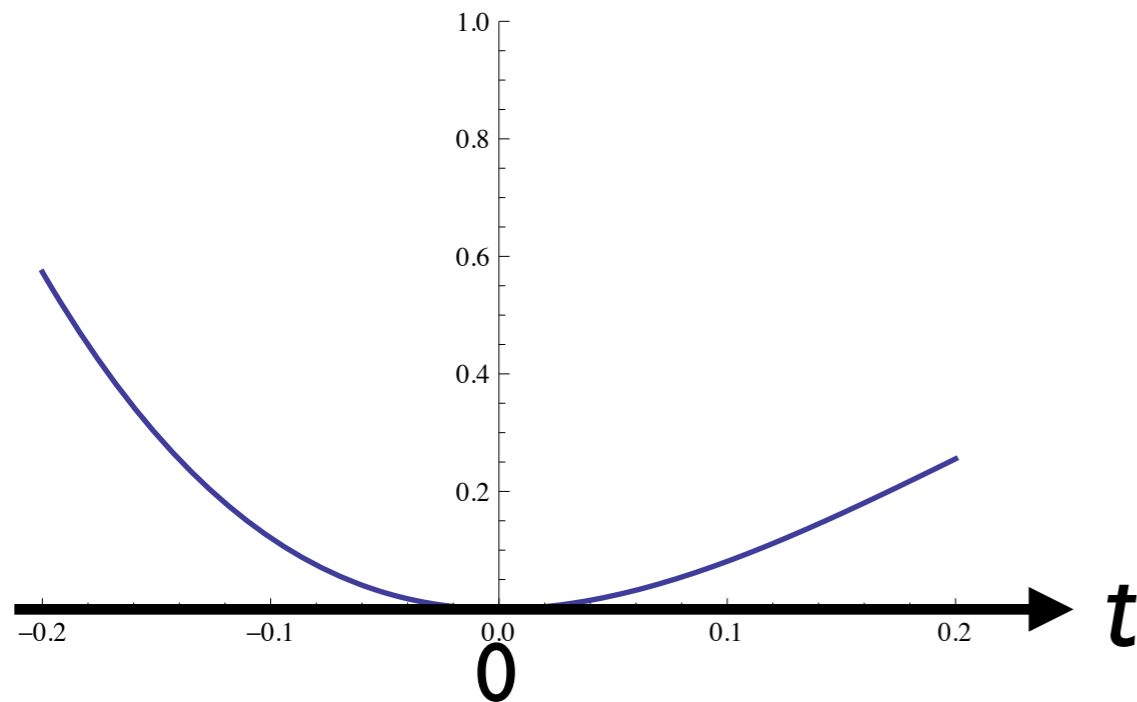


$$f(t) := \frac{1}{4} \begin{pmatrix} 1 \\ a \end{pmatrix} \otimes \begin{pmatrix} 1 \\ b \end{pmatrix} e^{tW} \begin{pmatrix} 1 \\ -a \end{pmatrix} \otimes \begin{pmatrix} 1 \\ y \end{pmatrix} \in [0, 1] \quad \forall t.$$

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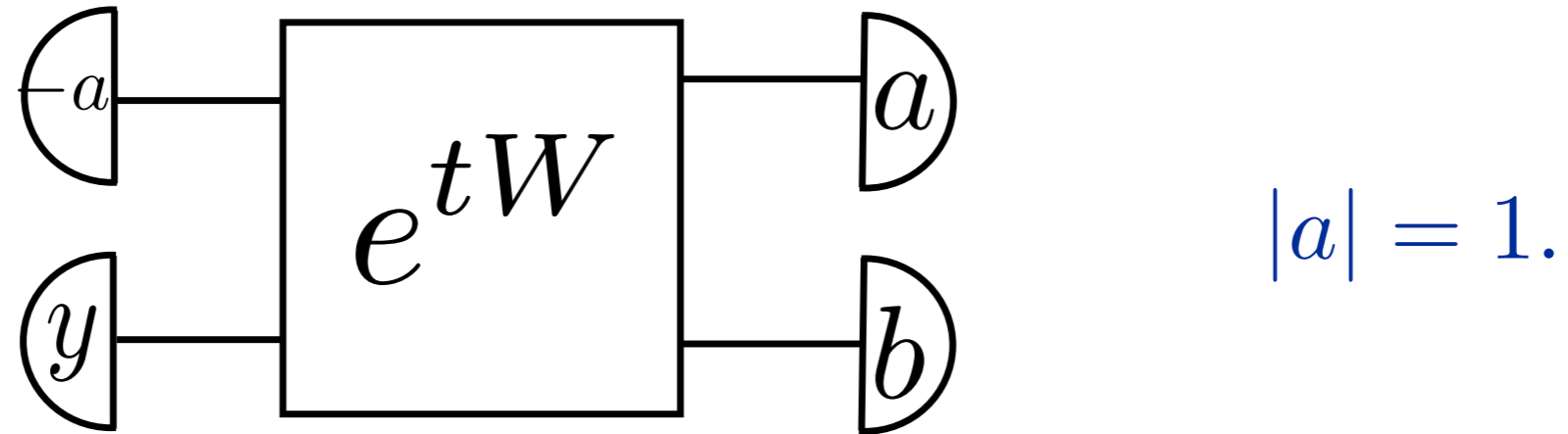


We have  $f(0) = 0$ .

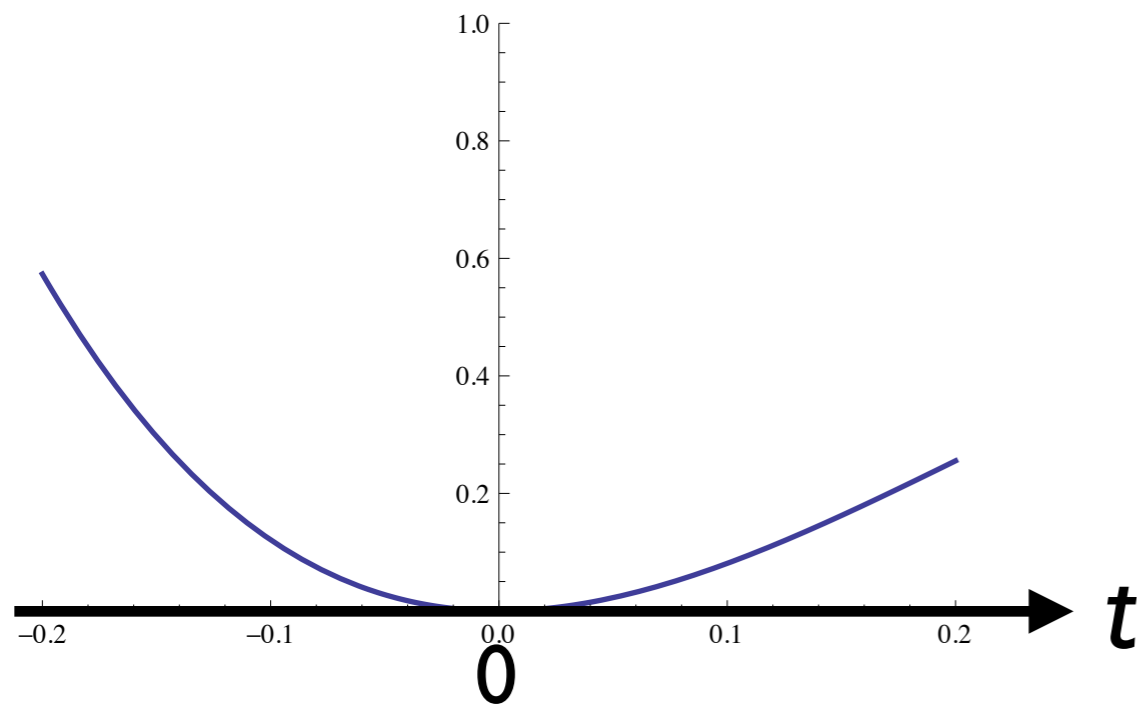
Thus  $f'(0) = 0$  and  $f''(0) \geq 0$ .



Consider  $G(t) = e^{tW}$  transformations in global Lie group  $\mathcal{G}^{AB}$ .



$$f(t) := \frac{1}{4} \begin{pmatrix} 1 \\ a \end{pmatrix} \otimes \begin{pmatrix} 1 \\ b \end{pmatrix} e^{tW} \begin{pmatrix} 1 \\ -a \end{pmatrix} \otimes \begin{pmatrix} 1 \\ y \end{pmatrix} \in [0, 1] \quad \forall t.$$



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$$\begin{aligned} \begin{pmatrix} 1 \\ a \end{pmatrix} \otimes \begin{pmatrix} 1 \\ b \end{pmatrix} W \begin{pmatrix} 1 \\ -a \end{pmatrix} \otimes \begin{pmatrix} 1 \\ y \end{pmatrix} &= 0 \\ \begin{pmatrix} 1 \\ a \end{pmatrix} \otimes \begin{pmatrix} 1 \\ b \end{pmatrix} W^2 \begin{pmatrix} 1 \\ -a \end{pmatrix} \otimes \begin{pmatrix} 1 \\ y \end{pmatrix} &\geq 0. \end{aligned}$$

For  $d \geq 4$ , it turns out that the only global Lie algebra elements  $W$  that satisfy all constraints are of the form

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$d=7$  with  $G_2$   
works almost!

# Geometry and probability?

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Wikipedia on Weizsäcker's “ur-alternatives“ (1966+):

“Physicist Carl Friedrich von Weizsäcker's theory of ur-alternatives... is a kind of digital physics as it axiomatically constructs quantum physics from the distinction between empirically observable, binary alternatives.

Weizsäcker used his theory to derive the 3-dimensionality of space [...]“

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Now assume 3 operational postulates:



2 outcomes:  
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I. Rotation of device makes some difference:

For every  $v \in S^{d-1}$  there is a state  $\omega$  such that  $E_v(\omega) = 1$   
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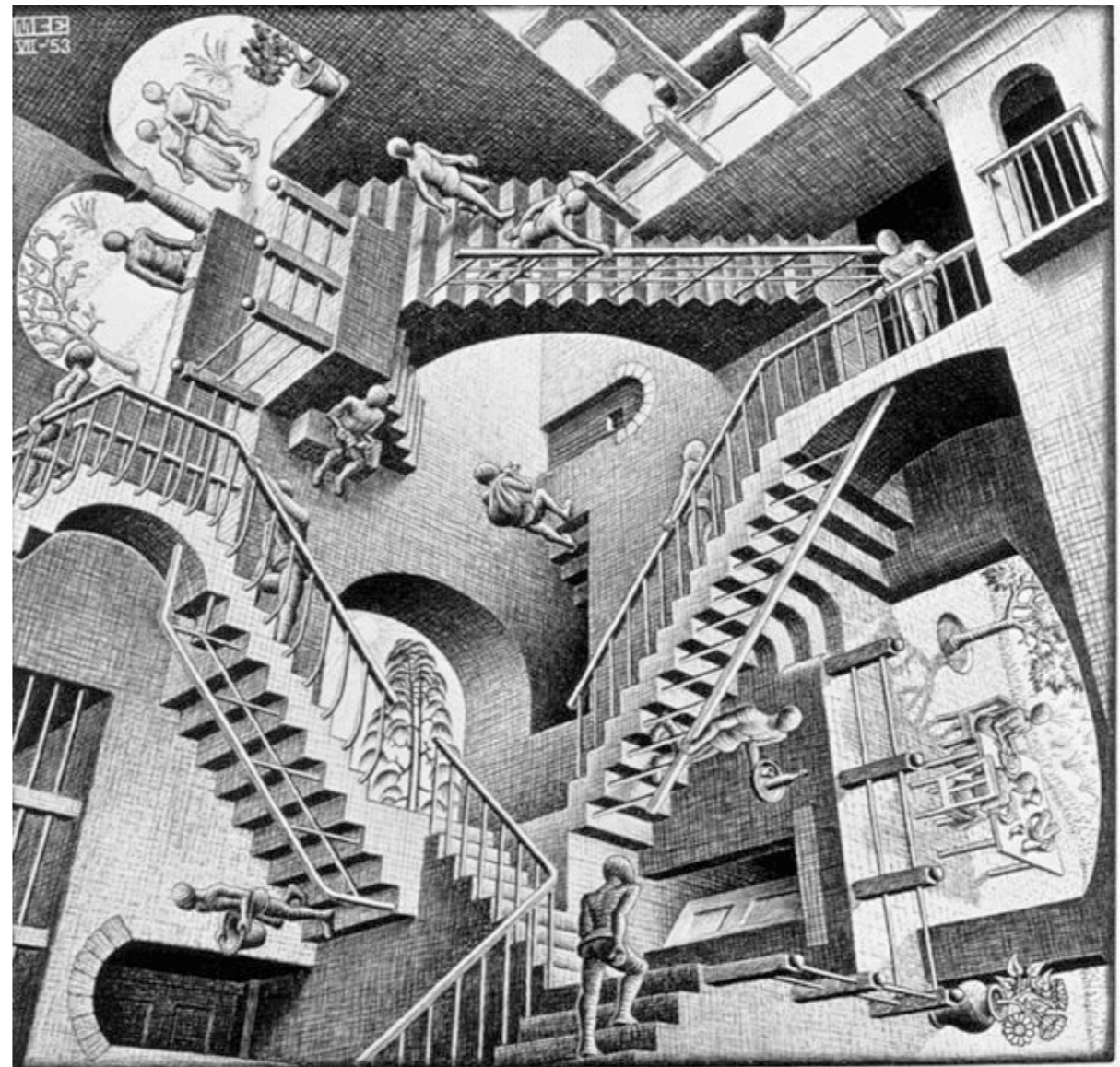
### 3. Two uncorrelated direction units can become correlated by continuous reversible time evolution, and bipartite states are uniquely determined by local measurements.

Thm.: If 1.-3. hold, then necessarily  $d=3$ , direction units are qubits, **devices** are (possibly noisy) spin measurements, two direction units combine to **quantum** state space, reversible time evolution is unitary & generated by some Hamiltonian.



A challenge:

Are there other aspects of space-time geometry that can be derived operationally / on information-theoretic grounds?



# Conclusions

- Axiomatization of QT
- Reversibility as a strong axiom for QT:
  - Rules out boxworld
  - Locally qubits  $\Rightarrow$  globally quantum
  - bit symmetry  $\Rightarrow$  strong self-duality
  - singles out  $d=3$  balls
- Geometry and probability?

Thank you!

